Role of Abnormally Enhanced MJO over the Western Pacific in the Formation and Subseasonal Predictability of the Record-breaking

Pang-Chi Hsu¹, Yitian Qian^{1, 2}, Yu Liu^{1, 4}, Hiroyuki Murakami^{2, 3}, Yingxia Gao¹

¹Key Laboratory of Meteorological Disaster of Ministry of Education/Joint International Research Laboratory of Climate and Environment Change/Collaborative Innovation Center on Forecast and Evaluation of Meteorological Disasters, Nanjing University of Information Science & Technology, Nanjing, China

² National Oceanic and Atmospheric Administration/Geophysical Fluid Dynamics Laboratory, Princeton, NJ, USA

³University Corporation for Atmospheric Research, Boulder, CO, USA

⁴ Hainan Meteorological Observatory and Key Laboratory of South China Sea Meterological Disaster Prevention and Mitigation of Hainan Province, Haikou, China

Submitted to Journal of Climate

Revised on Dec. 21, 2019

Corresponding author: Pang-Chi Hsu, Key Laboratory of Meteorological Disaster of

Ministry of Education, Nanjing University of Information Science and Technology,

Nanjing, China.

Email: pangchi@nuist.edu.cn; pangchi.hsu@gmail.com

Early Online Release: This preliminary version has been accepted for publication in *Journal of Climate*, may be fully cited, and has been assigned DOI 10.1175/JCLI-D-19-0337.1. The final typeset copyedited article will replace the EOR at the above DOI when it is published.

© 2020 American Meteorological Society

Abstract

2	In the summer of 2018, Northeast Asia experienced a heatwave event that broke
3	the existing high-temperature records in several locations in Japan, the Korean
4	Peninsula and northeastern China. At the same time, an unusually strong Madden-
5	Julian Oscillation (MJO) was observed to stay over the western Pacific warm pool.
6	Based on reanalysis diagnosis, numerical experiments and assessments of real-time
7	forecast data from two subseasonal-to-seasonal (S2S) models, we discovered the
8	importance of the western Pacific MJO in the generation of this heatwave event, as
9	well as its predictability at the subseasonal timescale.
10	During the prolonged heat extreme period (11 July to 14 August), a high
11	pressure anomaly with variability at the intraseasonal (30-90 days) timescale
12	appeared over Northeast Asia, causing persistent adiabatic heating and clear skies in
13	this region. As shown in the composites of MJO-related convection and circulation
14	anomalies, the occurrence of this 30-90-day high anomaly over Northeast Asia was
15	linked with an anomalous wave train induced by tropical heating associated with the
16	western tropical Pacific MJO. The impact of the MJO on the heatwave was further
17	confirmed by sensitivity experiments with a coupled GCM. As the western Pacific
18	MJO-related components were removed by nudging prognostic variables over the
19	tropics towards their annual cycle and longer timescales (>90 days) in the coupled
20	GCM, the anomalous wave train along the East Asian coast disappeared and the
21	surface air temperature in Northeast Asia reduced. The MJO over the western Pacific
22	warm pool also influenced the predictability of the extratropical heatwave. Our
23	assessments of two S2S models' real-time forecasts suggest that the extremity of this
24	Northeast Asian heatwave can be better predicted 1-4 weeks in advance if the

1

- 25 enhancement of MJO convections over the western Pacific warm pool is predicted
- 26 well.
- 27 Key words: heat wave; Madden–Julian Oscillation; subseasonal predictability

28 **1. Introduction**

29 Heatwaves, which are prolonged periods of extreme heat, have widespread 30 impacts on human health, ecosystems, agriculture, and infrastructure. In the summer 31 of 2018, many regions (northern Europe, North America, the Arctic Circle and 32 Northeast Asia) experienced record-breaking high temperatures, causing immense 33 economic damage and severe losses to human life [World Meteorological 34 Organization (WMO) 2018]. In Northeast Asia, high temperatures above 35°C were observed in several areas in Japan, the Korean Peninsula and northeastern China. 35 36 According to the Japan Meteorological Agency (JMA), the city of Kumagaya, located 37 north of Tokyo, recorded a maximum temperature of 41.1°C on 23 July – the highest ever observed in Japan. The Korean Meteorological Administration (KMA) reported 38 39 that 1 August, with a maximum temperature of 39.6°C, was the hottest day in Seoul over the past 111 years. Temperatures of up to 39°C were estimated in July and 40 41 August 2018 across the northeastern provinces of China, such as Liaoning and Jilin, reported by the China Meteorological Administration (CMA). Heat-related strokes 42 and diseases linked to the heatwave in summer 2018 caused at least 138 and 42 43 44 deaths in Japan and Korea, with more than 7,000 and 3,000 people requiring 45 hospitalization, respectively.

Due to the severe impacts of heat extremes, understanding the mechanisms that trigger heatwave occurrence and the sources of predictability are important issues in both research and operational communities. The occurrence of heatwave events has been commonly linked to persistent high-pressure (or anticyclonic) anomalies that result in adiabatic warming via anomalous downward motion and increased solar radiation over a certain region (Della-Marta et al. 2007; Dole et al. 2011; Schubert et

3

al. 2011; Lau and Kim 2012; Trenberth and Fasullo 2012; Schubert et al. 2014; Lu 52 and Chen 2016; Gao et al. 2018). The causes of anomalous high-pressure and 53 anticyclonic systems in different regions are various and could be related to local 54 dynamics and remote effects. The atmospheric blocking associated with 55 quasi-stationary Rossby waves has been found to play a primary role in heatwaves 56 over Europe, Russia and North America (Dole et al. 2011; Schubert et al. 2011; Lau 57 and Nath 2012; Teng et al. 2013; WMO 2018). Over East Asia, the westward 58 59 extension of the western North Pacific subtropical high (WNPSH) is the key 60 contributor to the occurrences of hot summer and heat extreme events (Li et al. 2015; 61 Lu and Chen 2016; Gao et al. 2018; Tao and Zhang 2019). The formation of a 62 quasi-stationary Rossby wave train and shift in the WNPSH can be further attributed to internal midlatitude dynamics and external low-boundary forcing, such as 63 anomalous sea surface temperatures (SSTs) over different basins (Dole et al. 2011; 64 Lau and Kim 2012; Trenberth and Fasullo 2012; Schubert et al. 2014; Lu and Chen 65 2016; Gao et al. 2018). For example, the European heatwave in 2010 was related to 66 67 an anomalous stationary wave pattern modulated by eastern Pacific SST anomalies associated with La Niña (Dole et al. 2011; Schubert et al. 2011; Schubert et al. 2014). 68 The anomalous quasi-stationary Rossby wave train responsible for the Russian 69 70 heatwave in 2010 was correlated with SST anomalies over the tropical Atlantic and 71 Indian oceans (Lau and Kim 2012; Trenberth and Fasullo 2012). The extension and 72 intensification of the WNPSH, which together induce heatwaves in East China, are 73 attributable to SST anomalies in the central-eastern equatorial Pacific (Li et al. 2015; 74 Gao et al. 2018).

For the Northeast Asian heatwave in summer 2018, a number of recently published studies have discussed the possible contributory factors. For instance,

Imada et al. (2019) and Qian et al. (2019) highlighted the important role of 77 78 anthropogenic climate change. Specifically, based on global and regional climate model simulations, Imada et al. (2019) indicated that this record-breaking heatwave 79 event would never have happened without anthropogenic warming. A similar 80 conclusion was drawn by Qian et al. (2019), in which their large-ensemble 81 82 simulations suggested that extreme heat events, like the Northeast Asian heatwave in 2018, are very rare without anthropogenic forcing. Not only the background warming 83 84 climate but also the anomalous large-scale circulation patterns contributed significantly to the extremely hot summer in Northeast Asia in 2018 (Ha et al. 2019; 85 86 Shimpo et al. 2019; Tao and Zhang 2019; Xu et al. 2019a, 2019b). In July and August 87 2018, the anticyclonic/subsidence anomaly that prevailed over Northeast Asia was related to the northwestward extension of the western Pacific subtropical high and the 88 89 eastward expansion of the South Asian high (Ha et al. 2019; Shimpo et al. 2019; Tao 90 and Zhang 2019; Xu et al. 2019a). This anomalous anticyclone could be further 91 linked with the upper-tropospheric wave trains, which originate from upstream 92 regions of 30°-100°E and propagate eastwards along the Asian westerly jet to East 93 Asia (Tao and Zhang 2019; Xu et al. 2019b). Chen et al. (2019) suggested that the cold SST anomaly in the southeast Indian Ocean may result in anomalous 94 95 cross-equatorial flow that then affects the subtropical circulations over the western 96 North Pacific. The shift of the WNPSH led to the occurrence of the Northeast Asian 97 heatwave in the summer of 2018.

In addition to the heating induced by tropical SST anomalies, equatorial convection associated with the Madden–Julian Oscillation (MJO; Madden and Julian 100 1971) can also generate large-scale circulation anomalies propagating towards extratropical regions to influence mid- and high-latitude weather regimes (Cassou

102 2008; Lin et al. 2010; Moon et al. 2013; Stan et al. 2017). The MJO, characterized by 103 planetary-scale circulation coupled with convection propagating eastwards along the equator, is the most prominent intraseasonal variability over the tropics (Madden and 104 105 Julian 1971, 1972). Through altering background flows, MJO-related circulation anomalies affect weather extremes significantly in tropical areas (Yang et al. 2010; 106 Hsu et al. 2016, 2017; Chen et al. 2018). Meanwhile, Rossby wave train patterns 107 108 induced by MJO heating in the warm pool and Asian monsoon areas (Ding and Wang 109 2005; Moon et al. 2013) also exert impacts on weather conditions in the remote 110 regions of North and South America, Australia and Eurasia (Jones et al. 2004; Donald 111 et al. 2006; Lin et al. 2010; Moon et al. 2013). Such modulations of weather systems 112 by the MJO provides a potential source of skillful prediction at lead times on the subseasonal timescale (Hsu et al. 2015; Lin 2018; Vitart and Robertson 2018), which 113 is currently one of the most challenging tasks for operational centers (Waliser et al. 114 2003; Vitart et al. 2017). 115

As will be shown in the following analysis, abnormally intensified MJO activity 116 117 over the western tropical Pacific, including the South China Sea and Philippine Sea, 118 occurred coincidently with the Northeast Asian heatwave event in summer 2018. Were there, however, any physical links between the enhanced western tropical 119 120 Pacific MJO and the occurrence of this heatwave? If yes, how and to what extent does the MJO prediction skill affect the fidelity of the extratropical heatwave forecast? 121 122 These are the two key questions that will be addressed in this study. The findings 123 could not only advance our understanding of heatwave mechanisms, but also offer a source of heatwave predictability at the subseasonal timescale - a gap between 124 short-term weather forecasting and long-term climate prediction - that needs to be 125 126 exploited in the future.

127 The rest of this paper is organized as follows: The data from reanalysis and operational prediction models, the diagnostic methods, and the numerical experiments 128 are introduced in section 2. The features and causes of the Northeast Asian heatwave 129 130 in the summer of 2018 are analyzed in section 3. Section 4 verifies the essential role of the MJO in this heatwave event based on sensitivity experiments using the coupled 131 GCM developed at the National Oceanic and Atmospheric Administration 132 (NOAA)/Geophysical Fluid Dynamics Laboratory (GFDL). Section 5 examines the 133 forecast skill of this heatwave in the CMA and JMA models that participated in the 134 subseasonal-to-seasonal prediction (S2S) project (Vitart et al. 2017). A summary and 135 136 some further discussion are provided in the final section.

137 **2. Data and methods**

138 **2.1 Data**

To obtain robust results, the surface air temperature (SAT) and associated 139 circulation anomalies in the summer (June-July-August, JJA) of 2018 relative to the 140 141 climatological state (1979–2017) from three global analysis/reanalysis datasets - the National Centers for Environmental Prediction (NCEP) final analysis (FNL) 142 (NOAA/NCEP, 2000), European Centre for Medium-Range Weather Forecasts 143 interim reanalysis (ERA-Interim) (Dee et al., 2011), and the Modern-Era 144 Retrospective Analysis for Research and Applications, version 2 (MERRA2) (Gelaro 145 et al. 2017) - were analyzed. In addition to the daily-mean SAT (T2m) data, 146 three-dimensional variables including zonal and meridional wind (u and v), vertical 147 p-velocity (ω), temperature and geopotential fields from 1000 to 100 hPa from 148

ERA-Interim were also utilized. The two-dimensional fields used were surface net shortwave radiation (SSR), surface net thermal radiation (STR), sensible heat flux (SHF), and latent heat flux (LHF). The spatial resolutions of all these fields from FNL, ERA-Interim and MERRA2 were $1^{\circ} \times 1^{\circ}$, $1.5^{\circ} \times 1.5^{\circ}$ and $1.5^{\circ} \times 1.5^{\circ}$, respectively. The variability and distribution of large-scale convections were illustrated by daily outgoing longwave radiation (OLR) on a $2.5^{\circ} \times 2.5^{\circ}$ grid from NOAA (Liebmann and Smith, 1996).

156 The S2S project was established to improve our understanding of the sources of predictability and forecast skill of subseasonal-to-seasonal prediction (Vitart et al. 157 2017). There are 11 operational models participating in the S2S project and providing 158 reforecasts and real-time forecasts up to 60 days. To assess the influences of 159 equatorial MJO on predicting the Northeast Asian heatwave, we used the reforecast 160 and real-time forecast data from two operational centers over East Asia: CMA and 161 162 JMA. Note that, although KMA is also an operational center over East Asia, the variables forecasted by the KMA model are limited when it comes to comparing the 163 prediction skill of the MJO and heatwave events against those in the CMA and JMA 164 165 models. The reforecast data from the CMA and JMA models cover a common period of 1999–2010, which was used to compute the climatology of the S2S prediction. The 166 real-time forecast frequency is daily for CMA but weekly for JMA. The CMA (JMA) 167 model provides prediction data with a forecast time range of 60 (33) days. Four and 168 five ensemble members are available for the CMA and JMA models, respectively. 169 170 Detailed descriptions and data of these S2S models can be found on the website of the dataset (https://software.ecmwf.int/wiki/display/S2S/home). The variables 171 S2S downloaded from the website included zonal winds at 850 and 200 hPa (U850 and 172 173 U200) and OLR, used to present the MJO activity, geopotential height (H500), as

174 well as SAT (T2m) for heatwave analysis.

175 **2.2 Definitions of MJO activity**

Following the method of Wheeler and Hendon (2004), we used the Real-time 176 177 Multivariate MJO (RMM) index derived from the empirical orthogonal function (EOF) analysis of the combined fields of equatorially (15°S-15°N) averaged daily 178 OLR and zonal winds at 850 and 200 hPa to define the phase evolution and intensity 179 of the MJO. The principal components of the first two EOF modes, RMM1 and 180 RMM2, have a quadrant phase difference and characterize the MJO signal 181 propagating eastwards over the equatorial region. RMM1 and RMM2 can be obtained 182 of 183 from the Australian Bureau Meteorology 184 (http://www.bom.gov.au/climate/mjo/graphics/rmm.74toRealtime.txt). Based on the two-dimensional phase diagram of RMM1 and RMM2, the life cycle of the MJO is 185 split into eight distinct phases (Wheeler and Hendon 2004). In phase 1, a weak MJO 186 187 convection initiates over the equatorial western Indian Ocean. It enhances and propagates eastwards towards the central and eastern Indian Ocean during phases 2-4. 188 During the subsequent phases of 5-7, the MJO convection moves continually 189 eastwards cross the Maritime Continent and western Pacific. It gradually dies out 190 during phase 8 when it passes the eastern Pacific cold tongue area. The strength of the 191 MJO is defined by the square root of the sum of squared RMM1 and squared RMM2 192 $[(RMM1^2+RMM2^2)^{1/2}]$. To test the effects of equatorial MJO with different strength 193 194 on the extratropical conditions, two criteria for defining enhanced MJO events (RMM 195 amplitude greater than 1 and 1.5) were used in this study.

196 **2.3 Diagnosis of the temperature budget equation**

9

To understand the physical processes responsible for the SAT changes, the temperature budget equation was diagnosed. The changes in temperature at each pressure level are controlled by the horizontal temperature advection, adiabatic process associated with vertical motion and static stability, and diabatic heating, which can be written as follows:

202
$$\frac{\partial T'}{\partial t} = -(\mathbf{V} \cdot \nabla T)' + (\omega \sigma)' + \frac{Q'}{C_p}, \qquad (1)$$

where *t* is time, *V* is the horizontal velocity vector, ∇ is the horizontal gradient operator, and σ represents the static stability [$\sigma = \partial T / \partial p - RT / C_p P$], in which *R* is the gas constant, *p* is the pressure and *C_p* is the specific heat at constant pressure. The prime in Eq. (1) indicates the MJO (30–90-day) component that was obtained using the Lanczos bandpass filtering method (Duchon 1979).

As discussed by Yanai et al. (1973), the apparent heat source, Q, includes the radiative heating, latent heat release, and surface turbulent heat fluxes. At the planetary boundary layer, Q is largely modulated by the net upward flux through the surface (Fs). To understand the major contributors to the near-surface heat source, the surface energy budget equation, shown in Eq. (2), was further diagnosed:

$$Fs = SSR + STR + SHF + LHF + G.$$
(2)

Here, SSR and STR are the net shortwave and thermal (longwave) radiation at the surface, respectively; SHF and LHF denote the sensible and latent heat fluxes, respectively; and G, the ground heat flux, is generally small and can be ignored in this study. All fluxes are positive upward.

218 **2.4 Model experiments**

219 To understand the influences of tropical heating at the subseasonal timescale on 220 the SAT and circulation changes over northeastern Asia, we performed model 221 experiments using the GFDL Low Ocean Atmosphere Resolution (LOAR; van der Wiel et al. 2016) of Coupled Model 2.5 (CM2.5; Delworth et al. 2012), which has 222 223 high capability in simulating the MJO (Xiang et al. 2015). The 224 atmospheric/land-surface components of the LOAR model have a C48 grid horizontal resolution $(2^{\circ} \times 2^{\circ})$ with 32 vertical levels. The ocean/sea ice components have $1^{\circ} \times$ 225 1° horizontal grids. 226

227 With a focus on natural variability, the control experiment (EXP_CTRL) was 228 integrated for 70 years with the constant radiative forcing in 1990. Using the same radiative forcing, the sensitivity experiment was also integrated for 70 years but the 229 230 model prognostic variables (e.g., u, v, q, T) over tropical regions (15°S–15°N) were 231 nudged towards their 90-day low-pass (LP90) filtered components derived from the 232 control experiment. In this case, the equatorial subseasonal variability with a periodicity shorter than 90 days was removed artificially, while other tropical 233 variations with periodicities of longer than 90 days were retained in the model. The 234 235 sensitivity experiment is referred to as EXP_LP90. Comparing the large-scale circulation and SAT over Northeast Asia simulated from EXP_CTRL and EXP_LP90, 236 one may verify the effects of tropical subseasonal heating on the extratropical 237 238 atmospheric conditions.

3. Features of the northeastern Asian heatwave in 2018 and the effects of the

240 **MJO**

241 Compared to the climatological summer (JJA)-mean SAT, remarkable increases 242 in SAT occurred over Eurasia in the summer of 2018 according to all the datasets 243 (Figs. 1a-c). ERA-Interim and MERRA2 consistently reveal that the maximum of 244 positive SAT anomalies in the summer of 2018 appeared over Northeast Asia, 245 including northeastern China, the Korean Peninsula and Japan (rectangles in Figs. 1a 246 and 1b). Although less evident, the positive SAT anomaly over Northeast Asia is also apparent in the FNL data (Fig. 1c). The area-averaged SAT over Northeast Asia 247 (32.5°-47.5°N, 110°-140°E) reached 23°C-27°C (around 3°C higher than the 248 249 climatology) from mid-July to mid-August (Figs. 1d-f), when the record-breaking heatwave events in northeastern China, Korea and Japan were reported (marked by 250 251 gray shading in Figs. 1d-f). Two peaks of SAT anomalies around 21 July and 1 252 August both exceed the values of the 90th percentile (green dots in Figs. 1d–f).

253 The Northeast Asian extreme heat in the summer of 2018 occurred consistently 254 with high-pressure anomalies associated with the eastward expansion of the South 255 Asian high (Fig. 2a) and the northwestward extension of the WPSH (Fig. 2b), 256 consistent with previous results (Shimpo et al. 2019; Tao and Zhang 2019; Xu et al. 2019a, 2019b). To further discuss the temporal evolution of the high anomalies within 257 258 the summer season, we examined the area-averaged 200- and 500-hPa geopotential height anomalies, in which the seasonal cycle was removed, over the heatwave 259 occurrence region (Figs. 2c, d). The daily geopotential height anomalies varied at the 260 261 intraseasonal timescale with a period of ~30–90 days (red curves in Figs. 2b and 2d). 262 The positive anomalies of geopotential height increased significantly from mid-July to early August, consistent with the timing of heatwave occurrence and maintenance. 263

The low-frequency circulation anomaly situated over Northeast Asia provided 264 favorable conditions for the occurrence of a prolonged heatwave. Figure 3a displays 265 the phase relationship between 30–90-day height and SAT anomalies. During the 266 267 heatwave period, the 30–90-day high-pressure anomaly is highly consistent with the increased SAT anomaly over Northeast Asia. The positive anomaly of 30-90-day SAT 268 over Northeast Asia is around 0.5°C–1.5°C (Fig. 3a), accounting for 20%–60% of the 269 270 total increases in SAT (2.5°C-3°C) associated with this heatwave event (Figs. 1d-f). Based on diagnosis of the temperature budget, the major contributor to the increases 271 272 in SAT anomalies was the adiabatic heating (Fig. 3b) caused by anomalous 273 descending motion associated with the high-pressure anomaly. The circulation 274 anomalies also led to positive warm advection, favoring the heatwave's occurrence 275 (Fig. 3b).

To further elucidate the source of negative diabatic heating anomaly near the 276 277 surface, we diagnosed the surface energy budget using the same ERA-Interim reanalysis dataset (Fig. 3c). The results show that the high anomaly-induced 278 279 subsidence and clear sky favored increased downward shortwave radiation (SSR in 280 Fig. 3c), which heated the surface. The increased surface heat was further radiated 281 back to the atmosphere as an upward thermal radiation (STR) anomaly and returned 282 to the atmosphere by enhanced SHF. The LHF associated with precipitation and 283 evapotranspiration also contributed positively to heat the atmosphere during the heatwave period. Their net effect (a downward heat flux) would have led to a warmer 284 285 surface temperature than the SAT. Although the surface energy budget result seems to be consistent with the 925-hPa air temperature budget, the estimations for each 286 budget term still contain some uncertainty because of precipitation, cloud and 287

radiation biases in the reanalysis system (Ma et al. 2018).

289 Based on the results of Figs. 1–3, the high-pressure anomaly, which seems to be 290 part of the low-frequency (30-90-day) wave train, played a key role in the 291 record-breaking heatwave over Northeast Asia in the summer of 2018. To understand 292 the source of the 30-90-day large-scale circulation anomalies, we examined the 293 tropical heating distributions, as previous studies (Nitta 1987; Lu 2001; Kosaka and 294 Nakamura 2006; Hsu and Lin 2007) have suggested that the anomalous convection 295 over the western Pacific warm pool region associated with seasonal SST anomalies 296 can generate a Rossby wave train and propagate towards the midlatitudes. At the 297 intraseasonal timescale, convection over the warm pool is closely modulated by the MJO (Madden and Julian 1971, 1994). Figure 4 shows the phase evolutions of the 298 299 MJO in summer 2018. Interestingly, the equatorial MJO convection stayed persistently in the RMM phases 5-6 with abnormally strong intensity (RMM 300 301 amplitude of 1.5–2) during the heatwave period of 11 July to 14 August (Fig. 4a). This distribution of 30-90-day OLR clearly shows the presence of enhanced 302 303 convection over the western Pacific warm pool, including the South China Sea and 304 Philippine Sea, during this heatwave event (Fig. 4b). The results suggest that the enhanced MJO convective heating could have induced the anomalous wave train 305 306 pattern associated with the extratropical heatwave occurrence.

To further elucidate the basic structures and dynamics of the wave train pattern, we examined the 30–90-day vorticity and the wave activity flux (WAF), defined by Takaya and Nakamura (2001), at different levels. As shown in Fig. 5, 30–90-day wavelike structures with a zonally elongated cyclonic anomaly over the South China Sea and Philippine Sea and an anticyclonic anomaly over the Northeast Asia appeared 312 during the heatwave period (Figs. 5a-c). These anomalous wavelike patterns along the western Pacific-East Asian coast present an equivalent barotropic vertical 313 structure titling slightly polewards with height. The lower- and mid-tropospheric 314 315 WAF exhibits northward-pointing vectors from the tropical western Pacific towards Northeast Asia (~40°N), suggesting a Rossby wave-like energy propagation (Figs. 316 5a-b). In contrast, in the upper troposphere, eastward WAF at 40°-50°N is evident, 317 and southward WAF over East Asia is also apparent (Fig. 5b). The vertical structures 318 319 and WAF of the intraseasonal wave train here (Fig. 5) resemble the Pacific-Japan pattern at the long-term (i.e., monthly, seasonal and interannual) timescales identified 320 321 by previous studies (Nitta 1987; Kosaka and Nakamura 2006; Hsu and Lin 2007).

322 The large-scale circulation anomalies over extratropical regions vary with 323 MJO-related heating of different amplitude and are situated in different locations (Ding and Wang 2007; Moon et al. 2013; Stan et al. 2017). As the western Pacific 324 325 MJO convection started to establish (phases 3-4) and strengthen (phases 5-6), significant high-pressure anomalies appeared and prevailed over Eurasia at the mid 326 327 and high latitudes (Figs. 6b and 6c). The extratropical circulation anomalies were 328 enhanced as the MJO convections became stronger (Figs. 6f and 6g). Once suppressed MJO convections appeared over the western Pacific warm pool regions 329 (phases 7–8 and 1–2), the midlatitude high-pressure anomalies moved polewards 330 331 (Figs. 6a, d, e, h), but a low-pressure anomaly occurred over East/Northeast Asia (Figs. 6d and 6h). Circulation and SAT anomalies induced by western Pacific MJO 332 333 heating can sustain for around two weeks. Consistent with the result of Fig. 6, the positive anomalies of geopotential height and SAT over Northeast Asia were of 334 stronger amplitude as the equatorial heating of the MJO intensified (Fig. 7). The 335 336 increased SAT, with its amplitude greater than 0.8 standard deviations, was able to

last 11 (9) days when the MJO's amplitude was greater than 1.5 (1), as equatorial
heating tends to induce a relatively stronger (weaker) high-pressure anomaly over
Northeast Asia.

340 The analyses above suggest a positive contribution of the western tropical 341 Pacific MJO to the Northeast Asian heatwave in the summer of 2018. Whether or not 342 the MJO's effect on this heatwave in 2018 is a unique case is worthy of discussion. 343 To address this, we analyzed the phase relationship between the MJO's evolution and Northeast Asian heatwave events using long-term historical data from 40 summers 344 345 (1979–2018). A long-lasting heatwave over Northeast Asia was defined when the area-averaged (32.5°-47.5°N, 110°-140°E) SAT was higher than the 75th percentile 346 for 10 consecutive days or more. The individual days during each heatwave event are 347 referred to as heatwave days. Taking the annual cycle of SAT into account, the 348 349 thresholds of SAT (i.e., the 75th percentile) for each day (t) were derived from SAT 350 data during the period between t - 7 (7 days before t) and t + 7 (7 days after t) for the 351 period 1979–2018, with total samples of 600 (15 days \times 40 years), similar to the method proposed by Stefanon et al. (2012). Figure 8 displays the states of the MJO's 352 life cycle for individual heatwave days from the climatological viewpoint. It is 353 354 apparent that around 50% of Northeast Asian heatwaves in history occurred when the MJO's convection was enhanced over the western Pacific warm pool (phases 5-6). 355 356 The increases in heatwave occurrence rate in phases 5-6 are statistically significant based on the Monte Carlo test. Much smaller probabilities (0.8%-14%) of Northeast 357 358 Asian heatwave occurrence are found when the MJO stays over the tropical Indian 359 Ocean and central-eastern Pacific (Fig. 8a). The average probability (25%) of 360 heatwave occurrence in phasees 5–6 is about three times larger than that in the other 361 six phases (8%). Considering the persistence of MJO-induced anomalous states (Fig. 7), we included the data from 7 days before heatwave occurrence and repeated the 362 analysis. The results showed that more than half (51.1%) of the prolonged heat 363 364 extremes occurred in phases 5-6 of the MJO (Fig. 8b), suggesting that the western Pacific MJO does indeed play a role in the generation and maintenance of Northeast 365 366 Asian heatwaves. Note that the results are robust and did not change even when the criteria for the definition of a heatwave were varied. For example, ~50% of Northeast 367 Asian heatwave days appeared in conjunction with MJO phases 5–6 when a regional 368 heatwave event was defined by the daily SAT exceeding the 95th percentile for at 369 370 least three consecutive days (not shown).

371 Additional analysis by calculating the SAT anomalies during summers with 372 vigorous western Pacific MJO activities was conducted to confirm the effect of the 373 MJO on the occurrence of Northeast Asian hot summers. To quantify the effect of the 374 western Pacific MJO, the accumulated amplitude of phases 5–6 occurring during July to August was defined and referred to as the western Pacific MJO index. This index 375 combines the effects of frequency and intensity of western Pacific MJO events 376 (phases 5-6) in each summer. Then, the years with a normalized western Pacific MJO 377 378 index greater than 1.5 standard deviations were selected for SAT composites and 379 compared against the climatological state. The results showed that the Northeast 380 Asian SAT increased significantly in summers with vigorous western Pacific MJO 381 activities (figures not shown), confirming the positive contribution of western Pacific 382 MJO convections to Northeast Asian heat events.

4. Sensitivity experiments for verifying the role of the MJO in the heatwave

17

Using reanalysis diagnosis, it is difficult to isolate the effects of the MJO heating 384 on the large-scale anticyclonic anomalies (Figs. 5–6) that induced the heatwave over 385 Northeast Asia. To verify whether the anomalous circulations in the extratropics were 386 387 related to the abnormally persistent and enhanced MJO states in phases 5-6, we conducted a model experiment using the LOAR coupled GCM, which simulates MJO 388 signals well over the equatorial area (Xiang et al. 2015). The composites of 389 MJO-related convections based on the days with enhanced MJO convection occurring 390 over the tropical western Pacific (0°-15°N, 100°-150°E), mimicking the RMM 391 392 phases 5–6, are shown in Figs. 9a and 9b. The active western Pacific MJO days were selected as when the area-averaged 30-90-day OLR over the western Pacific 393 exceeded 1 and 1.5 standard deviations, respectively. Thus, significant MJO 394 convections over the western tropical Pacific were detected in the composite map in 395 EXP_CTRL (Fig. 9a). The strategy of MJO removal by nudging the prognostic fields 396 towards their climatological annual cycle derived from EXP_CTRL worked 397 398 efficiently. Using the same days with western Pacific convections in EXP_CTRL, the composite map showed no MJO signals over the equatorial region (Figs. 9b and 9e). 399 400 This means that the effect of tropical western Pacific MJO heating was absent in EXP_LP90. Comparing the SAT anomalies over Northeast Asia (32.5°-47.5°N, 401 110°-140°E), the positive SAT anomaly in EXP CTRL dropped when the western 402 Pacific MJO was removed (Figs. 9c and 9f). 403

The change in SAT could be attributable to the anomalous wave train induced by the western Pacific MJO convection. Similar to the observation, the high anomaly appeared over Northeast Asia when the western Pacific MJO heating generated an anomalous wave train along the East Asian coast in EXP_CTRL (Fig. 10a). In

contrast, this south-north-oriented wave train and the related high anomaly over 408 Northeast Asia vanished in EXP_LP90 as the tropical MJO components were 409 removed (Fig. 10b). Thus, the SAT tended to reduce in EXP_LP90 (Fig. 9c). The 410 411 decrease in the SAT anomaly over the Northeast Asian heatwave region was more obvious if the more strengthened MJO convections over the tropical western Pacific 412 413 (with amplitude greater than 1.5 standard deviations) were removed from the model integration (Figs. 9d-f). The results of these sensitivity experiments using the coupled 414 GCM confirm the role of intraseasonal heating over the tropical western Pacific in 415 causing the SAT anomalies in Northeast Asia. 416

417 **5. Subseasonal prediction of the heatwave**

Profound influences of enhanced MJO over the western Pacific on this Northeast 418 Asian heatwave event have thus far been found based on observational and sensitivity 419 420 experiment results. However, whether or not the equatorial MJO can serve as a key 421 source of predictability for extratropical heatwaves at the subseasonal range also needs to be assessed. Using the reforecasts and real-time forecasts of the CMA and 422 JMA S2S models, we next assess the forecast skill of the present heatwave case at the 423 subseasonal timescale and discuss how it was affected by the prediction of the 424 equatorial MJO. 425

The capability of SAT predictions during the observed heatwave period (11 July to 14 August) was analyzed based on real-time forecasts with lead times of 1 to 4 weeks (Fig. 11). The one-week-lead forecast skill for the period covering the weeks of 11–17 July, 18–24 July, 25–31 July, 1–7 August, and 8–14 August was assessed

430 using the predicted results of 0–6 days from the forecasts started at 11 July, 18 July, 431 25 July, 1 August, and 8 August, respectively. Likewise, the two-week-lead forecast skill for the same period covering the weeks of 11–17 July, 18–24 July, 25–31 July, 432 433 1–7 August, and 8–14 August was evaluated using the predicted results of 7–13 days from the forecasts started at 4 July, 11 July, 18 July, 25 July, and 1 August, 434 respectively. A similar approach was applied to the skill assessments for the three-435 and four-week-lead forecasts. The SAT anomalies were computed relative to the 436 437 model climatology derived from the reforecasts of 1999-2010.

438 At the lead time of 1 week (blue curve), the CMA and JMA models both 439 captured the temporal evolutions of the SAT anomalies with an increasing tendency from the first week (11–17 July) and a decreasing tendency from the third week (25 440 July to 14 August). The two models, however, revealed significant biases in the 441 amplitude of SAT anomalies. In the CMA model, the SAT anomalies were 442 443 overestimated (Fig. 11a), while in the JMA model small positive anomalies of SAT 444 were predicted (Fig. 11b). Some members even predicted negative SAT anomalies over the Northeast Asian heatwave region in the JMA model (Fig. 11b). 445

446 The predicted biases of SAT associated with this heatwave event were likely linked with the biased amplitude of MJO predictions and the MJO-related circulation 447 anomalies in the two operational models. We compared the predicted MJO index, 448 449 large-scale circulation anomaly and SAT in the fixed period of 11 July to 14 August 450 produced by the forecasts with different initial dates. For example, the predicted results for 11 July to 14 August produced by the forecast started on 4 July (27 June) 451 452 were considered to be a forecast at a lead time of 7-40 (14-54) days (Fig. 12). Although the CMA model correctly predicted the locations of MJO convection 453

(phases 5-6) in the long forecast leads beyond three weeks (blue, red and green 454 455 curves in Fig. 12a), the amplitude of MJO convections appeared to be too high compared to the observation. This might have caused the overestimated SAT 456 457 anomalies in Northeast Asia (Fig. 11a), because the SAT and high-pressure anomalies over the midlatitudes were positively correlated with the strength of tropical heating 458 459 (Figs. 12b and c). Similarly, the weak MJO convections predicted by the JMA model (Fig. 12d) could only result in a weak response of atmospheric conditions over the 460 461 extratropics (Fig. 12f), and led to insignificant changes in SAT in the Northeast Asian heatwave area (Fig. 12e). The results based on the assessments of the S2S models 462 463 suggest that the subseasonal predictability of Northeast Asian heatwaves is to a 464 certain extent affected by the fidelity of MJO prediction.

465 **6. Summary and discussion**

During 11 July to 14 August 2018, a record-breaking heatwave with 466 temperatures of ~3°C higher than the climatology (exceeding the 90th percentile) 467 affected large portions of Northeast Asia, including Japan, the Korean Peninsula and 468 northeastern China (WMO 2018) (Fig. 1). Some recent works (Chen et al. 2019; Ha 469 470 et al. 2019; Imada et al. 2019; Shimpo et al. 2019; Tao and Zhang 2019) have emphasized the contributions of anthropogenic climate change and seasonal 471 472 circulation anomalies to this Northeast Asian heatwave event. In addition to the anomalous summer-mean conditions, we found that the subseasonal signals 473 474 associated with the western Pacific warm pool MJO (phases 5-6 of the RMM) also revealed abnormality in its duration and amplitude during the heatwave event period. 475 Based on reanalysis diagnosis and model experiments, we have further proven the 476

important role played by the western tropical Pacific MJO in the generation and
maintenance of this Northeast Asian heatwave event. The effect of the MJO on the
heatwave prediction skill at the subseasonal timescale has also been revealed, by
assessing the S2S models of two operational centers in East Asia (the CMA and
JMA).

482 The prolonged heat conditions can be attributed to the occurrence of a persistent 483 high-pressure anomaly with a pronounced feature of low-frequency (30-90 days) variability over Northeast Asia (Fig. 2), which caused anomalous downward motion 484 485 favoring clear skies and adiabatic heating locally (Fig. 3). The occurrence and 486 maintenance of such a high-pressure anomaly over Northeast Asia are further related to enhanced MJO convection over the western Pacific warm pool via atmospheric 487 teleconnection (Cassou 2008; Lin et al. 2010; Moon et al. 2013; Stan et al. 2017). In 488 the MJO phase diagram (Fig. 4), abnormally intensified MJO activities (staying at 489 490 phases 5–6) were observed consistently during the heatwave period. The persistence 491 of MJO-related heating in the western tropical Pacific may have excited a Rossby 492 wave train (Fig. 5) with a low-pressure anomaly to the north of the MJO convection 493 and a high-pressure anomaly over Eurasia, including Northeast Asia (Fig. 6). The high-pressure anomaly may have persisted around two weeks after the occurrence of 494 495 tropical MJO heating (Fig. 7), providing a favorable environment for prolonged high 496 SATs over Northeast Asia. During the summers of 1979-2018, around 50% of 497 Northeast Asian heatwave days occurred at and after the RMM phases 5-6. The 498 probability of heatwave occurrence in phases 5–6 is about three times higher than that in other phases (Fig. 8). These statistical analyses reveal the contribution of western 499 tropical Pacific MJO to the formation and maintenance of Northeast Asian heatwaves. 500

501 Based on sensitivity experiments with the GFDL LOAR coupled GCM, which simulates tropical MJO signals well, we again confirmed the contribution of the 502 western tropical Pacific MJO to this Northeast Asian heatwave. When the 503 504 subseasonal components over the tropics (15°S–15°N) were removed by nudging the prognostic fields towards their annual cycle and longer timescales (>90 days) derived 505 506 from EXP_CTRL, the anomalous wave train along the East Asian coast vanished and the SAT over the Northeast Asian heatwave area was reduced compared to that in 507 508 EXP_CTRL, in which the enhanced western Pacific MJO remained (Figs. 9 and 10).

509 The importance of MJO-related heating over the western Pacific warm pool was 510 also seen from the viewpoint of heatwave prediction at the subseasonal timescale. 511 Through assessing the real-time forecast data of the CMA and JMA S2S models, we 512 found that the predicted MJO conditions were linked closely with the forecast 513 capability for this Northeast Asian heatwave event. The CMA model predicted the 514 location of enhanced MJO convection well over the western tropical Pacific (phases 5-6) during the heatwave period at forecast leads beyond three weeks. However, it 515 overestimated the MJO amplitude (Fig. 12a). The high SAT anomalies over the 516 Northeast Asian heatwave region were predicted with overestimated biases by the 517 518 CMA model (Fig. 11a). In contrast, the Northeast Asian SAT showed insignificant 519 changes when the weak MJO signals were predicted by the JMA model at the 520 subseasonal timescale (Figs. 11b and 12d). Thus, the subseasonal prediction skill for 521 heat extremes over Northeast Asia seems to benefit from more accurate predictions of the MJO in S2S models. The result suggests that the MJO plays a key role in the 522 subseasonal predictability of extratropical heat extreme, as documented by Lin (2018) 523 524 and Vitart and Roberson (2018).

525 Predicting extreme events more than two weeks in advance remains a challenging task. In this study, we emphasize the effects of the MJO on heatwave 526 predictability at the subseasonal timescale. Recent works have found that air-sea 527 interaction (Lin 2018), land conditions (Orth and Seneviratne 2014; National 528 529 Academies of Sciences, Engineering and Medicine, 2016), and stratosphere-530 troposphere coupling (Mundhenk et al. 2018) might also serve as potential sources of subseasonal predictability. How and to what extent these factors contribute to the 531 subseasonal prediction of heatwaves and other extreme events in the densely 532 populated Asian monsoon region needs to be further investigated. 533

534 Acknowledgements

The authors would like to thank the anonymous reviewers for their comments, which helped to improve the manuscript. This work was supported by the National Key Research and Development Program of China (2018YFC1505804).

24

538 **References:**

- 539 Cassou, C., 2008: Intraseasonal interaction between the Madden-Julian Oscillation
 540 and the North Atlantic Oscillation. *Nature*, **455**, 523–527.
- 541 Chen R., Z. Wen, and R. Lu, 2018: Large-scale circulation anomalies and
 542 intraseasonal oscillations associated with long-lived extreme heat events in South
 543 China. J. Climate, 31, 213–232.
- Chen R., Z. Wen, and R. Lu, 2019: Influences of tropical circulation and sea surface
 temperature anomalies on extreme heat over Northeast Asia in the midsummer of
 2018. *Atmospheric and Oceanic Science Letters*, **12**, 238–245. doi:
 10.1080/16742834.2019.1611170.
- 548 Dee D. P., and Coauthors, 2011: The ERA-Interim reanalysis: configuration and 549 performance of the data assimilation system. *Quart J. Roy. Meteorol. Soc.*, **137**, 550 553–597.
- Della-Marta, P., J. Luterbacher, H. von Weissenfluh, E. Xoplaki, M. Brunet, and H.
 Wanner, 2007: Summer heat waves over western Europe 1880–2003, their
 relationship to large-scale forcings and predictability. *Clim. Dyn.*, 29, 251–275.
- Delworth, T. L., and Coauthors, 2012: Simulated climate and climate change in the
 GFDL CM2.5 high-resolution coupled climate model. *J. Climate*, 25, 2755–
 2781.
- 557 Ding, Q., and B. Wang, 2007: Intraseasonal teleconnection between the summer 558 Eurasian wave train and the Indian monsoon. *J. Climate*, **20**, 3751–3767.
- Dole, R., and Coauthors, 2011: Was there a basis for anticipating the 2010 Russian

560

heat wave? Geophys. Res. Lett., 38, L06702.

- Donald, A., H. Meinke, B. Power, A. H. N. Maia, M. C. Wheeler, N. White, R. C.
 Stone, and J. Ribbe, 2006: Near-global impact of the Madden-Julian oscillation
 on rainfall. *Geophys. Res. Lett.*, 33, L09704.
- 564 Duchon, C. E., 1979: Lanczos filtering in one and two dimensions. J. Appl. Meteor.,
 565 18, 1016–1022.
- Gao, M., B. Wang, J. Yang, and W. Dong, 2018: Are peak summer sultry heat wave
 days over the Yangtze-Huaihe River basin predictable? *J. Climate*, **31**, 2185–2196.
- Gelaro, R., and Coauthors, 2017: The Modern-Era Retrospective Analysis for
 Research and Applications, Version 2 (MERRA-2). *J. Climate*, **30**, 5419–5454.
- 570 Ha, K-J, J-H Yeo, Y-W Seo, E-S Chung, J-Y Moon, X. Feng, Y-W Lee and C-H Ho,
- 571 2019: What caused the extraordinarily hot 2018 summer in Korea? *J. Meteorol.*572 *Soc. Japan*, published online, DOI: 10.2151/jmsj.2020-009.
- Hsu, H.-H., and S.-M. Lin, 2007: Asymmetry of the tripole rainfall pattern during the
 East Asian summer. *J. Climate*, 20, 4443–4458.
- Hsu P.-C., T. Li, L. You, J. Gao, and H.-L. Ren, 2015: A spatial-temporal projection
 model for 10-30 day rainfall forecast in South China. *Clim. Dyn.*, 44, 1227–1244.
- Hsu, P.-C., J.-Y. Lee, and K.-J. Ha, 2016: Influence of boreal summer intraseasonal
 oscillation on rainfall extremes in southern China. *Int. J. Climatol.*, 36, 1403–
 1412.
- Hsu, P.-C., J.-Y. Lee, K.-J. Ha, and C.-H. Tsou, 2017: Influences of boreal summer
 intraseasonal oscillation on heat waves in monsoon Asia. *J. Climate.* 30, 7191–

582 7211.

583	Imada, Y., M. Watanabe, H. Kawase, H. Shiogama, and M. Arai, 2019: The July 2018
584	high temperature event in Japan could not have happened without
585	human-induced global warming. SOLA, 15A, 8-12, doi:10.2151/sola.15A-002.
586	Jones, C., D. E. Waliser, K. Lau, and W. Stern, 2004: Global occurrences of extreme
587	precipitation and the Madden-Julian Oscillation: Observations and predictability.
588	J. Climate, 17 , 4575–4589.
589	Kosaka, Y., and H. Nakamura, 2006: Structure and dynamics of the summertime
590	Pacific-Japan (PJ) teleconnection pattern. Quart. J. Roy. Meteor. Soc., 132, 2009-
591	2030.
592	Lau, NC., and M. J. Nath, 2012: A model study of heat waves over North America:
593	Meteorological aspects and projections for the twenty-first century. J. Climate, 25,
594	4761–4784.
595	Lau, W. K. M., and KM. Kim, 2012: The 2010 Pakistan flood and Russian heat
596	wave: Teleconnection of hydrometeoro- logical extremes. J. Hydrometeor., 13,
597	392–403.
598	Li, J., T. Ding, X. Jia, and X. Zhao, 2015: Analysis on the extreme heat wave over
599	China around Yangtze River region in the summer of 2013 and its main
600	contributing factors. Adv. Meteor., 706713.
601	Liebmann B., and C. A. Smith, 1996: Description of a complete (interpolated)
602	outgoing long wave radiation dataset. Bull. Amer. Meteor. Soc., 77, 1275–1277.
603	Lin, H., G. Brunet, and R. Mo, 2010: Impact of the Madden-Julian Oscillation on
	27

604

- Lin, H., 2018: Predicting the dominant patterns of subseasonal variability of
 wintertime surface air temperature in extratropical Northern Hemisphere. *Geophys. Res. Letts.*, 45, 4381–4389.
- Lu, R. Y., 2001: Interannual variability of the summertime North Pacific subtropical
 high and its relation to atmospheric convection over the warm pool. *J. Meteor. Soc. Japan Ser. II*, **79**, 771–783.
- Lu, R., and R. Chen, 2016: A review of recent studies on extreme heat in China, *Atmospheric and Oceanic Science Letters*, 9, 114–121.
- 613 Ma H.-Y., S. A. Klein, S. Xie, C. Zhang, S. Tang, Q. Tang, C. J. Morcrette, K. Van
- 614 Weverberg, J. Petch, M. Ahlgrimm, L. K. Berg, F. Cheruy, J. Cole, R. Forbes, W.
- 615 I. Gustafson Jr, M. Huang, Y. Liu, W. Merryfield, Y. Qian, R. Roehrig, and Y.-C.
- 616 Wang, 2018: CAUSES: On the role of surface energy budget errors to the warm
- 617 surface air temperature error over the Central United States. J. Geophys. Res.,
- 618 **123**, 2888–2909. https://doi.org/10.1002/2017JD027194.
- Madden R. A., and P. R. Julian, 1971: Detection of a 40-50 day oscillation in the
 zonal wind in the tropical Pacific. *J. Atmos. Sci.*, 28, 702–708.
- Madden R. A., and P. R. Julian, 1972: Description of global-scale circulation cells in
 the tropics with a 40-50 day period. *J. Atmos. Sci.*, 29, 1109–1123.
- Madden R. A., and P. R. Julian, 1994: Observations of the 40-50 day tropical
 oscillation—A review. *Mon. Wea. Rev.*, **122**, 814–837.
- Moon J.-Y., Wang B, Ha K.-J., and Lee J.-Y. 2013: Teleconnections associated with

- Northern Hemisphere summer monsoon intraseasonal oscillation. *Clim. Dyn.*, 40,
 2761–2774.
- Mundhenk B. D., E. A. Barnes, E. D. Maloney, and C. F. Baggett, 2018: Skillful
 empirical subseasonal prediction of landfalling atmospheric river activity using
 the Madden–Julian oscillation and quasi-biennial oscillation. *npj Climate and Atmospheric Science*, 1, 20177.
- National Academies of Sciences, Engineering and Medicine, 2016: Next generation
 Earth system prediction (2016), strategies for subseasonal to seasonal forecasts.
- 634 Washington, DC: The National Academies Press. https://doi.org/10.17226/2187
- Nitta, T., 1987: Convective activities in the tropical western Pacific and their impact
 on the northern hemisphere summer circulation. *J. Meteor. Soc. Japan*, 65, 373–
 390.
- NOAA/NCEP, 2000: NCEP FNL Operational Model Global Tropospheric Analyses,
 continuing from July 1999 (updated daily). NCAR Computational and
 Information Systems Laboratory Research Data Archive, accessed 30 August
 2018, https://doi.org/10.5065/D6M043C6.
- Orth, R., and S. I. Seneviratne, 2014: Using soil moisture forecasts for sub-seasonal
 summer temperature predictions in Europe. *Clim. Dyn.*, 43, 3403-3418.
- Qian Y., H. Murakami, P-C Hsu, and S. B. Kapnick, 2019: Effects of anthropogenic
 forcing and natural variability on the 2018 heatwave in Northeast Asia, *Bull. Amer. Meteor. Soc.*, published online, DOI:10.1175/BAMS-D-19-0156.1.
- 647 Schubert, S., H. Wang, and M. Suarez, 2011: Warm season subseasonal variability

- and climate extremes in the Northern Hemisphere: The role of stationary Rossby
 waves. J. Climate, 24, 4773–4792.
- Schubert, S., H. Wang, D. Randal, and M. Suarez, 2014: Northern Eurasian Heat
 Waves and Droughts. J. Climate, 27, 3169–3207.
- 652 Shimpo, A., K. Takemura, S. Wakamatsu, H. Togawa, Y. Mochizuki, M. Takekawa, S.
- Tanaka, K. Yamashita, S. Maeda, R. Kurora, H. Murai, N. Kitabatake, H. Tsuguti,
- H. Mukougawa, T. Iwasaki, R. Kawamura, M. Kimoto, I. Takayabu, Y. Takayabu,
- 655 Y. Tanimoto, T. Hirooka, Y. Masumoto, M. Watanabe, K. Tsuboki, and H. 656 Nakamura, 2019: Primary factors behind the Heavy Rain Event of July 2018 and 657 the subsequent SOLA, heat wave in Japan. 15A, 13 - 18, doi:10.2151/sola.15A-003. 658
- Stan, C., D. M. Straus, J. S. Frederiksen, H. Lin, E. D. Maloney, and C. Schumacher,
 2017: Review of tropical-extratropical teleconnections on intraseasonal time
 scales. *Rev. Geophys.*, 55, 902–937.
- 662 Stefanon, M., F. D'Andrea, and P. Drobinski, 2012: Heatwave classification over
 663 Europe and the Mediterranean region. *Environ. Res. Lett.*, 7, 014023.
- Takaya, K., and H. Nakamura, 2001: A formulation of a phase-independent
 wave-activity flux for stationary and migratory quasigeostrophic eddies on a
 zonally varying basic flow. J. Atmos. Sci., 58, 608–627.
- Tao, P., and Y. Zhang, 2019: Large-scale circulation features associated with the heat
 wave over Northeast China in summer 2018, *Atmospheric and Oceanic Science Letters*, in press, doi: 10.1080/16742834.2019.1610326.

670	Teng, H., G. Branstator, H. Wang, G. A. Meehl, and W. M. Washington, 2013:
671	Probability of US heat waves affected by a subseasonal planetary wave pattern.
672	<i>Nature Geosci.</i> , 6 ,1056–1061.
673	Trenberth, K. E., and J. T. Fasullo, 2012: Climate extremes and climate change: The
674	Russian heat wave and other climate extremes of 2010. J. Geophys. Res., 117,
675	D17103.
676	van der Wiel, K., and Coauthors, 2016: The resolution dependence of contiguous U.S.
677	precipitation extremes in response to CO2 forcing. J. Climate, 29, 7991–8012.
678	Vitart, F., and coauthors, 2017: The subseasonal to seasonal prediction (S2S) project
679	database. Bull. Amer. Meteor. Soc., 98, 163–173.
680	Vitart, F., and W. A. Robertson, 2018: The sub-seasonal to seasonal prediction
681	project (S2S) and the prediction of extreme events. npj Climate and Atmospheric
682	<i>Science</i> , 1 , 3.
683	Waliser, D. E., KM. Lau, W. Stern, and C. Jones, 2003: Potential predictability of
684	the Madden-Julian Oscillation. Bull. Amer. Meteor. Soc., 84, 33–50.
685	Wheeler M. C., and H. H. Hendon, 2004: An all-season real-time multivariate MJO
686	index: development of an index for monitoring and prediction. Mon. Wea. Rev.,
687	132 , 1917–1932.
688	World Meteorological Organization (WMO), 2018: "July sees extreme weather with
689	high impacts", Retrieved 1 August 2018 at the website of
690	https://public.wmo.int/en/media/news/july-sees-extreme-weather-high-impacts.
691	Xiang, B., M. Zhao, X. Jiang, SJ. Lin, T. Li, X. Fu, and G. Vecchi, 2015: 3-4 week

692

- Ku K., R. Lu, J. Mao, and R. Chen, 2019a: Circulation anomalies in the mid–high
 latitudes responsible for the extremely hot summer of 2018 over northeast Asia, *Atmospheric and Oceanic Science Letters*, **12**, 231–237, DOI:
 10.1080/16742834.2019.1617626
- Ku, K., R. Lu, B.-J. Kim, J.-K. Park, J. Mao, J.-Y. Byon, R. Chen, and E.-B. Kim,
 2019b: Large-Scale Circulation Anomalies Associated with Extreme Heat in
 South Korea and Southern–Central Japan. *J. Climate*, 32, 2747–2759.
- Yanai, M., S. Esbensen, and J.-H. Chu, 1973: Determination of bulk properties of
 tropical cloud clusters from large-scale heat and moisture budgets. *J. Atmos. Sci.*,
 30, 611–627.
- Yang, J., B. Wang, B. Wang, and Q. Bao, 2010: Biweekly and 21–30-day variations
 of the subtropical summer monsoon rainfall over the lower reach of the Yangtze
 River basin. *J. Climate*, 23, 1146–1160.

706 Figure Captions

707 Fig. 1. SAT anomalies over the summer (JJA) of 2018 relative to the climatological 708 JJA mean derived from the (a) ERA-Interim, (b) MERRA2 and (c) FNL datasets. The rectangle marks the area of Northeast Asia (32.5°-47.5°N, 709 110°-140°E) with significant warm anomalies. (d)-(f) Temporal evolutions of 710 711 Northeast Asia area-averaged SAT in the climatological mean (black curve) 712 and the anomalies (red and blue shading) in summer 2018 derived from the (d) ERA-Interim, (e) MERRA2 and (f) FNL datasets. Gray shading covers the 713 714 period of Northeast Asian heatwave occurrence. Dots indicate the SAT anomalies exceed the 90th percentile. Units: °C. 715

716 Fig. 2. Geographical distributions of (a) 200-hPa and (c) 500-hPa geopotential height (shading; units: $m^2 s^{-2}$) in the summer (JJA) of 2018 and its anomaly (contours; 717 units: $m^2 s^{-2}$) relative to the climatological mean of 1979–2018. The rectangle 718 marks the area of Northeast Asia (32.5°-47.5°N, 110°-140°E) that 719 experienced the heatwave in 2018. (b) and (d) Temporal evolutions of the 720 Northeast Asia area-averaged geopotential height anomalies (bars; units: m² 721 s^{-2} ; left axis) at 200 and 500 hPa, respectively, during JJA 2018. The red curve 722 represents the 30–90-day filtered geopotential height (units: $m^2 s^{-2}$; right axis). 723 724 Gray shading covers the period of Northeast Asian heatwave occurrence.

Fig. 3. (a) 30–90-day filtered 500-hPa geopotential height (contours; units: m² s⁻²)
and SAT (shading; units: K) during the Northeast Asia heatwave period of 11
July to 14 August 2018. (b) 30–90-day temperature budget at 925 hPa over
Northeast Asia during the heatwave period. From left to right: SAT tendency,
horizontal advection, adiabatic heating associated with vertical motion and

730static stability, and diabatic heating. Units: 10^{-7} K s⁻¹. (c) As in (b) but for the731surface energy budget terms. From left to right: surface net shortwave732radiation, surface net thermal radiation, sensible heat flux, latent heat flux, and733their summation. A positive (negative) value indicates an anomalous upward734(downward) flux. Units: W m⁻².

- Fig. 4. (a) MJO phase evolutions during the summer of 2018. Blue, red, green and orange colors indicate the periods of 1–10 July, 11–20 July, 21–31 July and 1– 11 August 2018, respectively. (b) Composites of 30–90-day filtered OLR over the tropics during the Northeast Asian heatwave period of 11 July to 14 August 2018. Units: W m⁻².
- Fig. 5. 30–90-day vorticity anomalies (shading; units: 10^{-6} s⁻¹) and WAF (vectors; units: m² s⁻²) at the levels of (a) 850 hPa, (b) 500 hPa and (c) 200 hPa during the Northeast Asian heatwave period of 11 July to 14 August 2018. The black triangle marks the location of the enhanced MJO convective center.
- Fig. 6. MJO phase composites of 30–90-day filtered OLR (shading; units: W m^{-2}) 744 and 500-hPa geopotential height (contours; units: m) during the RMM phases 745 746 (a) 1–2, (b) 3–4, (c) 5–6 and (d) 7–8 in JJA of 1979–2018. The active MJO 747 days with the RMM amplitude greater than 1 were selected for the composite. The numbers of days for the composite are shown in the upper-right corners in 748 parentheses. Only the anomalous fields statistically significant at the 95% 749 750 confidence level relative to the climatological mean are shown. (e)-(h) As in (a)–(d) but for the composites based on the days with RMM amplitude greater 751 than 1.5. 752

Fig. 7. Temporal evolutions of 30–90-day SAT anomalies over Northeast Asia 753 (32.5°-47.5°N, 110°-140°E) at (lag 0 day) and after (lag 1-14 days) the 754 occurrence of RMM phases 5-6 based on the composites of days with RMM 755 756 amplitude greater than (a) 1 and (b) 1.5, respectively. The y-axes on the left and right represent the 30-90-day SAT anomalies (units: K) and their 757 758 normalized values (units: standard deviation), respectively. The numbers of 759 days for the composite are shown in the upper-right corners in parentheses. 760 (c)-(d) As in (a)-(b) but for the 30-90-day geopotential height anomalies (units: $m^2 s^{-2}$) over Northeast Asia. 761

762 Fig. 8. (a) MJO phase indices during the occurrence of all Northeast Asian heatwave days during July-August from 1979 to 2018. (b) As in (a) but including the 763 764 preceding periods (from 7 days ahead) of each heatwave day. The ratio of the 765 numbers of heatwave days lying in each phase (excluding the days of weak 766 MJO phase, RMM < 1) to the total number of heatwave days is shown in red at the corners. Asterisks indicate statistical significance at the 95% confidence 767 level using the Monte Carlo method, in which random MJO phases were 768 assigned to heatwave days for a large number of times (5000). If the 769 770 probability of heatwave occurrence for a certain MJO phase is larger (smaller) 771 than the 97.5% (2.5%) percentile of the random distribution generated by 5000 simulations, it is considered statistically significant. 772

Fig. 9. Left panels: Composites of 30–90-day OLR (units: W m⁻²) based on the dates
with enhanced western Pacific (0°–15°N, 100°–150°E) MJO convection in
the (a) CTRL and (b) LP90 experiment. An enhanced MJO day was defined as
when the normalized 30–90-day MJO-related convection was greater than one

standard deviation over the western Pacific in the CTRL experiment. The 777 same dates were used for the composite in the LP90 experiment, in which the 778 MJO signals were removed artificially. The numbers of enhanced MJO days 779 780 selected for the composite are shown in the upper-right corner in parentheses. (c) Composites of the 30–90-day SAT anomaly (units: K) over Northeast Asia 781 (32.5°-47.5°N, 110°-140°E) from the CTRL (red bar) and LP90 (blue bar) 782 783 experiment after 1-12 days of the occurrence of enhanced western Pacific 784 MJO. Right panels: As in the left panels but for the composites based on the dates with stronger western Pacific MJO convections when the western 785 786 Pacific-averaged 30-90-day OLR anomaly was greater than 1.5 standard 787 deviations.

Fig. 10. (a) Composites of 30–90-day geopotential height anomaly at 500 hPa (units:
m) after 1–12 days of the occurrence of enhanced western Pacific MJO
(greater than one standard deviation) in EXP_CTRL. (b) As in (a) but for the
composite results in EXP_LP90.

- Fig. 11. Weekly mean SAT anomalies over Northeast Asia (32.5°–47.5°N, 110°–
 140°E) during the heatwave period (11 July to 14 August 2018) predicted by
 the (a) CMA and (b) JMA S2S models. Blue, red, green and orange curves
 indicate 1–4-week-lead predictions, respectively. Dots represent the ensemble
 mean, with the ensemble spread shown by vertical lines. The black curve
 indicates the weekly SAT evolutions derived from ERA-Interim. Units: K.
- Fig. 12. Left panels: CMA S2S model predicted (a) MJO (RMM index), (b) SAT and
 (c) H500 anomalies over Northeast Asia (32.5°-47.5°N, 110°-140°E) for the
 heatwave period (11 July to 14 August 2018). Right panels: as in the left

801panels but for the JMA model predictions. The black curve represents the802observed conditions during 11 July to 14 August 2018. Blue, red, green and803orange curves present the forecasts started on 4 July (lead: 7–40 days), 27804June (lead: 14–54 days), 20 June (lead: 21–61 days) and 13 June (lead: 28–68805days), respectively. Dots represent the ensemble mean, with the ensemble806spread shown by vertical lines.



Fig. 1. SAT anomalies over the summer (JJA) of 2018 relative to the climatological 807 808 JJA mean derived from the (a) ERA-Interim, (b) MERRA2 and (c) FNL datasets. The rectangle marks the area of Northeast Asia (32.5°-47.5°N, 110°-140°E) with 809 810 significant warm anomalies. (d)-(f) Temporal evolutions of Northeast Asia 811 area-averaged SAT in the climatological mean (black curve) and the anomalies (red 812 and blue shading) in summer 2018 derived from the (d) ERA-Interim, (e) MERRA2 813 and (f) FNL datasets. Gray shading covers the period of Northeast Asian heatwave 814 occurrence. Dots indicate the SAT anomalies exceed the 90th percentile. Units: °C.

38



Fig. 2. Geographical distributions of (a) 200-hPa and (c) 500-hPa geopotential height 815 (shading; units: $m^2 s^{-2}$) in the summer (JJA) of 2018 and its anomaly (contours; units: 816 $m^2 s^{-2}$) relative to the climatological mean of 1979–2018. The rectangle marks the 817 area of Northeast Asia (32.5°-47.5°N, 110°-140°E) that experienced the heatwave in 818 819 2018. (b) and (d) Temporal evolutions of the Northeast Asia area-averaged geopotential height anomalies (bars; units: m² s⁻²; left axis) at 200 and 500 hPa, 820 respectively, during JJA 2018. The red curve represents the 30-90-day filtered 821 geopotential height (units: $m^2 s^{-2}$; right axis). Gray shading covers the period of 822 823 Northeast Asian heatwave occurrence.



Fig. 3. (a) 30–90-day filtered 500-hPa geopotential height (contours; units: $m^2 s^{-2}$) 824 and SAT (shading; units: K) during the Northeast Asia heatwave period of 11 July to 825 826 14 August 2018. (b) 30-90-day temperature budget at 925 hPa over Northeast Asia during the heatwave period. From left to right: SAT tendency, horizontal advection, 827 adiabatic heating associated with vertical motion and static stability, and diabatic 828 heating. Units: 10^{-7} K s⁻¹. (c) As in (b) but for the surface energy budget terms. From 829 830 left to right: surface net shortwave radiation, surface net thermal radiation, sensible 831 heat flux, latent heat flux, and their summation. A positive (negative) value indicates an anomalous upward (downward) flux. Units: W m⁻². 832



Fig. 4. (a) MJO phase evolutions during the summer of 2018. Blue, red, green and orange colors indicate the periods of 1–10 July, 11–20 July, 21–31 July and 1–11 August 2018, respectively. (b) Composites of 30–90-day filtered OLR over the tropics during the Northeast Asian heatwave period of 11 July to 14 August 2018. Units: W m⁻².



Fig. 5. 30–90-day vorticity anomalies (shading; units: 10^{-6} s⁻¹) and WAF (vectors; units: m² s⁻²) at the levels of (a) 850 hPa, (b) 500 hPa and (c) 200 hPa during the Northeast Asian heatwave period of 11 July to 14 August 2018. The black triangle marks the location of the enhanced MJO convective center.



Fig. 6. MJO phase composites of 30–90-day filtered OLR (shading; units: W m⁻²) and 500-hPa geopotential height (contours; units: m) during the RMM phases (a) 1–2, (b) 3–4, (c) 5–6 and (d) 7–8 in JJA of 1979–2018. The active MJO days with the RMM amplitude greater than 1 were selected for the composite. The numbers of days for the composite are shown in the upper-right corners in parentheses. Only the anomalous fields statistically significant at the 95% confidence level relative to the

- 848 climatological mean are shown. (e)–(h) As in (a)–(d) but for the composites based on
- the days with RMM amplitude greater than 1.5.



850 Fig. 7. Temporal evolutions of 30-90-day SAT anomalies over Northeast Asia (32.5°-47.5°N, 110°-140°E) at (lag 0 day) and after (lag 1-14 days) the occurrence 851 of RMM phases 5-6 based on the composites of days with RMM amplitude greater 852 853 than (a) 1 and (b) 1.5, respectively. The y-axes on the left and right represent the 30-90-day SAT anomalies (units: K) and their normalized values (units: standard 854 855 deviation), respectively. The numbers of days for the composite are shown in the upper-right corners in parentheses. (c)-(d) As in (a)-(b) but for the 30-90-day 856 geopotential height anomalies (units: $m^2 s^{-2}$) over Northeast Asia. 857

Accepted for publication in Journal of Climate. DOI10.1175/JCLI-D-19-0337.1.



858 Fig. 8. (a) MJO phase indices during the occurrence of all Northeast Asian heatwave days during July–August from 1979 to 2018. (b) As in (a) but including the preceding 859 periods (from 7 days ahead) of each heatwave day. The ratio of the numbers of 860 heatwave days lying in each phase (excluding the days of weak MJO phase, RMM < 861 862 1) to the total number of heatwave days is shown in red at the corners. Asterisks indicate statistical significance at the 95% confidence level using the Monte Carlo 863 864 method, in which random MJO phases were assigned to heatwave days for a large number of times (5000). If the probability of heatwave occurrence for a certain MJO 865 phase is larger (smaller) than the 97.5% (2.5%) percentile of the random distribution 866 generated by 5000 simulations, it is considered statistically significant. 867



Fig. 9. Left panels: Composites of 30–90-day OLR (units: W m⁻²) based on the 868 dates with enhanced western Pacific (0°-15°N, 100°-150°E) MJO convection in 869 the (a) CTRL and (b) LP90 experiment. An enhanced MJO day was defined as 870 871 when the normalized 30-90-day MJO-related convection was greater than one standard deviation over the western Pacific in the CTRL experiment. The same 872 dates were used for the composite in the LP90 experiment, in which the MJO 873 signals were removed artificially. The numbers of enhanced MJO days selected for 874 the composite are shown in the upper-right corner in parentheses. (c) Composites 875 of the 30-90-day SAT anomaly (units: K) over Northeast Asia (32.5°-47.5°N, 876 110°-140°E) from the CTRL (red bar) and LP90 (blue bar) experiment after 1-12 877 days of the occurrence of enhanced western Pacific MJO. Right panels: As in the 878 879 left panels but for the composites based on the dates with stronger western Pacific MJO convections when the western Pacific-averaged 30-90-day OLR anomaly 880 was greater than 1.5 standard deviations. 881



Fig. 10. (a) Composites of 30–90-day geopotential height anomaly at 500 hPa (units:
m) after 1–12 days of the occurrence of enhanced western Pacific MJO (greater than
one standard deviation) in EXP_CTRL. (b) As in (a) but for the composite results in
EXP_LP90.



Fig. 11. Weekly mean SAT anomalies over Northeast Asia (32.5°–47.5°N, 110°– 140°E) during the heatwave period (11 July to 14 August 2018) predicted by the (a) CMA and (b) JMA S2S models. Blue, red, green and orange curves indicate 1– 4-week-lead predictions, respectively. Dots represent the ensemble mean, with the ensemble spread shown by vertical lines. The black curve indicates the weekly SAT evolutions derived from ERA-Interim. Units: K.



Forecast starts from 7/4 6/27 6/20 6/13

892 Fig. 12. Left panels: CMA S2S model predicted (a) MJO (RMM index), (b) SAT and 893 (c) H500 anomalies over Northeast Asia (32.5°-47.5°N, 110°-140°E) for the heatwave period (11 July to 14 August 2018). Right panels: as in the left panels but 894 895 for the JMA model predictions. The black curve represents the observed conditions during 11 July to 14 August 2018. Blue, red, green and orange curves present the 896 897 forecasts started on 4 July (lead: 7-40 days), 27 June (lead: 14-54 days), 20 June 898 (lead: 21-61 days) and 13 June (lead: 28-68 days), respectively. Dots represent the 899 ensemble mean, with the ensemble spread shown by vertical lines.