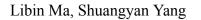
Impacts of the stochastic multicloud parameterization on the simulation of Western North Pacific summer rainfall





Please cite this article as: L. Ma and S. Yang, Impacts of the stochastic multicloud parameterization on the simulation of Western North Pacific summer rainfall, *Atmospheric Research* (2020), https://doi.org/10.1016/j.atmosres.2020.105067

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2020 Published by Elsevier.



# Impacts of the Stochastic Multicloud Parameterization on the Simulation of Western North Pacific Summer Rainfall

Libin Ma<sup>1</sup> and Shuangyan Yang<sup>2</sup>

- State Key Laboratory of Severe Weather, Chinese Academy of Meteorological Sciences, Beijing 100081, China
- 2. Key Laboratory of Meteorological Disaster, Ministry of Education (KLME)/Joint International Research Laboratory of Climate and Environmental Change (ILCEC)/Collaborative Innovation Center on Forecast and Evaluation of Meteorological Disasters (CIC-FEMD), Nanjir g University of Information Science and Technology, Nanjing 210044, China

Submitted to Atr is on eric Research on Oct. 20, 2019

Corresponding author: Luin Ma, Chinese Academy of Meteorological Sciences, South Zhongguane in Struet No. 46, Beijing 100081, China

E-mail: malibin109@163.com

Corresponding author: Shuangyang Yang, Nanjing University of Information Science and Technology, Ningliu Road 219, Meteorology Bldg, Jiangsu, Nanjing 210044, China

E-mail: yangsy@nuist.edu.cn

#### Abstract

This study investigated the sensitivity of the western North Pacific (WNP) summer precipitation to the convection schemes and discussed the associated dynamical processes. Two convection schemes were compared: one is the default mass-flux convection scheme used in the state-of-the-art ECHAM6.3 atmosphere model and the other incorporates the Stochastic Multicloud Model (SMCM) into ECHAM6.3. Incorporation of the SMCM reduces the vins of cloud cover and shortwave and longwave radiation by regulating the hor wave and longwave cloud radiative forcing over the WNP. Compared to the default model, the modified model with the SMCM alleviates the dry bias in the v NP, which is associated with enhanced ascending motion. The moist static every balance revealed that improved simulation of precipitation in the modified model is contributed by enhanced horizontal advection of moist enthalpy and increased net energy input the atmosphere, which is attributed to increased total bud cover, over the WNP. Additionally, intensified latent energy advection over the WNP dominates enhanced horizontal advection of moist enthalpy in the moduled model. On the other hand, the moisture budget analysis of the WNP demonstrated that strengthened convergence of moisture flux in the modified model plays the most influential role in reducing precipitation bias. Further analysis unraveled that enhanced zonal-mean moisture transported by the stationary eddy zonal flow convergence in the WNP dominates intensified zonal moisture convergence, thus increased horizontal convergence of moist flux in the modified model.

**Keywords**: the western North Pacific precipitation, the Stochastic Multicloud Model, ECHAM6.3 atmosphere model, Moist static energy balance, Moisture budget analysis

#### 1. Introduction

It has long been known that the western North Pacific (WNP) is a key region to the anomalous summer weather in the East Asia and the global circulation (e.g., Nitta, 1987; Kawamura et al., 1999; Li and Wang, 2005; Qian and Shi, 2017). For example, since great latent heat releases to the upper troposphere over the WNP in summer time, the WNP acts as a peculiar monsoon region (Yanai and Tomita, 1998). The western North Pacific Subtropical High modulated by the convective activity soccurred in WNP plays a vital role in propagating the moisture transport from the western tropical Pacific to the East Asia (e.g., Zhu and Yang, 2003; Huang et 1., . '004, 2007; Zhou and Yu, 2005; Yang and Zhu, 2008). Moreover, the atmospler - ocean interaction in the tropical WNP and upper-level circulation anomaly see the WNP are considered to play important roles in linking the East-Asian climate to the El Niño-Southern Oscillation (ENSO) (Rasmusson and Arkin, 1987: Favamura et al., 1999, 2001; Wang et al., 2000). Besides, WNP also acts as a confluence region, which combines the forcing from eastern Pacific and Ir in Ocean to impact the East Asian Summer monsoon (Wang et al., 1999, 2000; Wu et al., 2009). Yun et al. (2010) investigated the combined effects of eastern Pacific and Indian Ocean warming on the July-August East Asian climate. Their results illustrated that a strong Pacific-Japan-like pattern induced by the eastern Pacific warming during July and a Eurasian-like wave pattern caused by the Indian Ocean warming during August were modulated by different mean thermal states. Moreover, the WNP summer monsoon considerably influences the tropical cyclonic activities (Wu et al., 2012; Choi et al., 2015). Therefore, well simulated summer

climate, says precipitation and circulation, over the WNP is of crucial importance and helps us understand the regional weather and climate variability well.

Convective schemes exert tremendous influence on the model results such as the Asian summer monsoon (ASM) (Wu et al., 2007a, b; Chen et al., 2010; Yu et al., 2011; Ma et al., 2019b). However, current generation of convective schemes cannot completely resolve the cloud physics, especially in the horizontally low-resolution atmospheric general circulation models (AGCMs) and clivia, system models. To well represent the unresolved cloud physics, cloud-resolving nodel, which takes place of the AGCMs, is proposed (see Guichard and Couvreux 2017 for a review). Additionally, superparameterization which applies the two-dimensional а could-resolving model, is embedded in F GCMs to represent the convective processes (Grabowski, 2001; Khairoutdinov and Randall, 2001). The cloud-resolving model and superparameterization are used to study the climatology and intraseasonal variability of the ASM (e.g., DeMoti et al., 2013; Jin and Stan, 2016). Besides the benefits, however, integrations of models with superparametrization and cloud-resolving model are computationally expensive. Thus, an alternative method -the Stochastic Multicloud Model (SMCM)- is proposed (Khouider and Majda, 2006a, b; Khouider et al., 2010). It has been validated that incorporation of the SMCM into AGCMs and climate system models improves the simulation of the Madden-Julian Oscillation (Deng et al., 2015; Goswami et al., 2017; Peters et al., 2017; Ma et al., 2019a) and the climatology of East Asian summer monsoon (Ma et al., 2019b).

Given the background fields, the SMCM predicts the area fraction of each cloud

type (congestus, deep, and stratiform clouds) per grid box using the Markov chain Monte Carlo method (Khouider et al., 2010). Different from conventional calculation of the transition rate between different cloud types, which is formulated in terms of power function, a *tanh*-function (Peters et al., 2017) is used in this study. The *tanh*-function has the ability to model the observed convective behavior (Peters et al., 2013). Provided by the vertical pressure velocity and specific humidity at 500 hPa, the SMCM predicts the area fraction of deep convection, which is used to adjust the base mass flux of deep convective cloud, in this study. One the convection is invoked and the area fraction of deep convection is greater that ze o, deep convection is performed and predicted area fraction of deep convection is used to calculate the bass mass flux of deep convective cloud. Otherwise, the convection is diagnosed and predicted area fraction of deep convection equals to zero, shallow convection is performed.

It was pointed out that an mplementation of the SMCM into AGCMs, i.e., ECHAM6.3 (Stevens et al., 2013), improves the simulation of precipitation in the WNP (7°–22°N, 110°–15° E) (Ma et al., 2019b,c). Although improvement of the simulated precipitation over WNP region is mentioned in those studies, it was only evaluated by the pattern correlation coefficient (PCC) and the normalized root-mean square error (NRMES) scores (Ma et al., 2019b) and the area-averaged seasonal variation including the PCC and NMRSE scores (Ma et al., 2019c). Following the preceding works, the current study aims to explore the dynamic processes, which are responsible for the improvement in simulating the WNP precipitation by applying the SMCM to ECHAM6.3, through discussing the moist static energy balance, the

moisture budget analysis, and the decomposition of associated dominated term. Note that Ma et al. (2019b) only qualitatively discussed the impacts of SMCM on the EASM without discussions by using the moist static energy balance and the moisture budget analysis, which are used in this study. Moreover, no applications of the moist static energy balance and associated decomposition of the dominated term were discussed in Ma et al. (2019c). The rest of this study is arranged as follows. Section 2 introduces the model, data, and corresponding methodologies. The results in view of moist static energy balance analysis are discussed in Sect. 3, billo ving the moisture budget analysis in Sect. 4. Finally, Sect. 5 includes the conclusions and discussion.

#### 2. Model, data and methods

#### 2.1 Model description

The model used in this study is ECHAM6.3.02, which is one of subversions of the family of ECHAM6.3 and is used as the atmospheric component of Nanjing University of Information Science and Technology Earth System Model version 3 (NESM3) (Cao et al., 2018). Readers can refer to Stevens et al. (2013) and Mauritsen et al. (2019) for details. For simplicity, the model used in this study is referred to as ECHAM6.3.

The default convection scheme implemented in ECHAM6.3 is the mass-flux scheme of Tiedtke (1989) with modifications for penetrative convection (Nordeng, 1994). The mass-flux scheme includes organized entrainments and detrainments. It is assumed that organized entrainment occurs and makes the air flow enter into the

cloud when the buoyance is positive, while the organized detrainment takes place when the buoyance is negative. Given the fractional entrainment rate  $\epsilon_i$  for an individual updraft *i*, the organized entrainments (*E*) for the cloud ensemble, respectively, have the following form:

$$E = \sum_{i} M_{i} \epsilon_{i} = \sum_{i} \bar{\rho} \sigma_{i} w_{i} \epsilon_{i}, \qquad (2.1)$$

where  $M_i$ ,  $\bar{\rho}$ ,  $\sigma_i$ , and  $w_i$  are the cloud-base mass flux, air density at cloud base, fractional area, and vertical velocity of the updraft *i*, respectively. For example, the mass flux at cloud bass  $M_d$  for deep convection equals to  $M_d = \bar{\rho}\sigma_d w_d$ .

During the implementation of the SMCN in o ECHAM6.3, the SMCM is considered as a tool to predict the area frac to 1 of deep convection  $\sigma_d^s$ , which is the candidate used to calculated the  $M_{\perp}$ . Vith combination of the default convection scheme, if the deep convection is invoked and the predicted  $\sigma_d^s$  by the SMCM is larger than zero, then  $M_d$  is adjusted by  $\sigma_d^s$  and  $M_d = \bar{\rho}\sigma_d^s w_d$ . On the other hand, if  $\sigma_d^s$  equals to zero, then the shallow convection is enforced. Details of the model description and implementation of SMCM into ECHAM6.3 can be referred to Peter et al. (2017) and Ma et al. (2019a, b).

#### 2.2 Data

Two sets of AMIP-type experiments are conducted in this study. One is implemented with the default settings; the other couples the SMCM. The two experiments are referred to as ECHAM\_CTRL and ECHAM\_SMCM, respectively. Each set has ten members and the ensemble mean is used in order to eliminate the

noise. The T63L47, which has  $1.9^{\circ} \times 1.9^{\circ}$  and 47 levels extending from the surface to the 0.01hPa in the horizontal and vertical directions, respectively, was configured in this study. In addition, each AMIP-type experiment runs from 1976 to 2014, with the boundary data and external forcing from the Coupled Model Intercomparison Project phase 6. The first three years are taken as the spinup phase and the last 36-year data are used for analysis.

Monthly data, including the winds, air temperature precipitation, evaporation, specific humidity, and cloud cover from the ERA-Inter m (Dee et al., 2011), are referred to as observation for comparison. Moreover, a combined monthly precipitation data, which is the arithmetic mean of GPCP (Adler et al., 2003) and CMAP (Xie and Arkin, 1997), follor/m; Wong et al. (2014) and Li et al. (2018), is also used. All monthly datasets sponning from 1979 to 2014, including model results, are horizontally interpolated ont  $2.5^{\circ} \times 2.5^{\circ}$  with bilinear-interpolation approach. Additionally, the monthly dimatology of shortwave and longwave radiative fluxes and cloud radiative fluxes from CERES-EBAF (Clouds and Earth's Radiant Energy System – Energy Bala ced and Filled) (Loeb et al., 2009) are also used in this study.

#### 2.3 Methods

It has been found that the moist static energy balance analysis is an effective approach to quantify the dominant term contributing to the distribution of precipitation in the monsoon regions (Chou and Neelin, 2003; Chen and Bordoni, 2014; Yao et al., 2017). Under the condition of the quasi-equilibrium state of vertical

convection, the vertical mass integral of moist static energy balance averaged over a climatological period can be expressed as follows:

$$\overline{\langle \frac{\partial M}{\partial t} \rangle} = \overline{F_{net}} - \overline{\langle \vec{V} \cdot \nabla M \rangle} - \overline{\langle \omega \frac{\partial h}{\partial p} \rangle}$$
(2.2)

Where  $\overline{F_{net}}$  can be written as:

$$\overline{F_{net}} = S_t^{\downarrow} - S_t^{\uparrow} - S_s^{\downarrow} + S_s^{\uparrow} - R_t^{\uparrow} + R_s^{\uparrow} - R_s^{\downarrow} + SH + LH$$
(2.3)

where  $M = c_p T + l_v q$  and  $h = c_p T + l_v q + gz$  are the moist enthalpy and moist static energy, respectively, with T is the air temperature,  $r_{12}$  specific humidity, and z geopotential height;  $c_p$  and  $l_v$  are the specific hea at constant pressure and the latent heat of vaporization, respectively.  $\overline{F_{ne_v}}$  is the net energy flux into the atmosphere with which the subscripts s and represent the surface and the top of atmosphere, respectively.  $\vec{V}$  is the horizontal wind vector and  $\omega$  is the vertical pressure velocity.  $\langle X \rangle$  denotes the vertical mass integral and  $\vec{X}$  is the temporal mean of the June-July-August-Septembe  $\langle JJAS \rangle$  season.

Additionally, the net procipitation distribution in the WNP rainfall band needs to satisfy the following misture budget analysis (Chou and Lan, 2012):

$$\overline{P} = \overline{E} - \overline{\langle \partial_t q \rangle} - \overline{\langle \nabla \cdot (\vec{V}q) \rangle} - \overline{\langle \partial_p \omega q \rangle}$$
(2.4)

where P and E are the precipitation and evaporation, respectively. Note that the tendency term  $-\overline{\langle \partial_t q \rangle}$  can be ignored when it is averaged over a climatological period.

Each of dependent variables may be decomposed into three components, a temporal mean, a stationary, and a transient eddies component, which are represented by overbar, star, and prime, respectively. Conventionally,  $(\cdot)'$  represents the

deviation from the JJAS-mean for each individual year and  $(\cdot)^*$  denotes the deviation from the global zonal mean (e.g., Chen and Bordoni, 2014; Sun et al., 2016; Yao et al., 2017). Consequently, we have

$$\overline{\langle \vec{V} \cdot \nabla X \rangle} \approx \langle \left[ \vec{V} \right] \cdot \left[ \nabla X \right] \rangle + \langle \left[ \vec{V} \right] \cdot \nabla X^* \rangle + \langle \vec{V}^* \cdot \left[ \nabla X \right] \rangle + \langle \vec{V}^* \cdot \nabla X^* \rangle + \langle \vec{V}' \cdot \nabla X' \rangle$$
(2.5)  
where X can be the moist enthalpy M or other variables.

#### 3. Model results

#### 3.1 Heat fluxes response to convective schemes

Combined with the default convective schere, the SMCM adjusts the convective activities during its implementation in the atom sphere model, which definitely affects the distribution of cloud. Figure 1 shows the distributions of low-level, middle-level, and high-level cloud cover among observation and model simulations in JJAS. Observationally, more cloud app ars in the high level, especially over the tropical regions, whilst less cloud appears in the low and middle levels (Figs. 1a-1c). Compared to the observation, the low and middle cloud cover in ECHAM\_CTRL is underestimated and overestimated over the tropical and subtropical regions, respectively (Figs. 1d-1e). Disparate distribution is found in the high-level cloud cover. The cloud is obviously underestimated in the WNP and is overestimated in other regions compared to the observation (Fig. 1f). The biases of cloud cover lead to the drift of the radiation allowing more solar radiation into the atmosphere and more shortwave radiation reaches the earth surface compared to the observation. In addition, the less cloud cover also suggests that less heat energy is stored over the WNP. The

bias of cloud cover in ECHAM\_SMCM is disparately simulated compared to ECHAM\_CTRL. Regarding the low-level cloud cover, the negative bias is reduced over the South China Sea and tropical North Pacific in ECHAM\_SMCM, whereas the low-level cloud cover is overestimated over the subtropical North Pacific (Fig. 1g). Different from the negative bias modeled in ECHAM\_CTRL, the positive bias appears over the WNP in ECHAM\_SMCM (Fig. 1h). Although the high-level cloud cover is still underestimated over the WNP in EHCAM\_SIGM, the bias is largely reduced compared to ECHAM\_CTRL (Fig. 1i). The bias distribution indicates that more cloud cover is simulated in ECHAM\_SMC<sup>1</sup>4, h aplying that more heat energy is stored in the atmosphere compared to ECHAM\_CTRL.

Changes in cloud cover no dou't e cert influences on the energy balance (e.g., Zhou et al., 2015). How does the modification of cloud cover in ECHAM\_SMCM affect the energy budget? Figure 2 depicts the top-of-the-atmosphere (TOA) shortwave, longwave, and . et cloud radiative forcing among observation and model simulations in JJAS. Cliser vationally, the net cloud radiative forcing is dominated by the shortwave radiative cloud forcing (Figs. 2a, 2d, and 2g). Compared to the contribution of shortwave cloud radiative forcing, for example, magnitude of the longwave cloud radiative forcing over the WNP is about 10 W m<sup>-2</sup>, which is much smaller than that of the shortwave cloud radiative forcing with 100 W m<sup>-2</sup> regardless the direction. Similar results are obtained in model simulations. Compared to the observation, the shortwave cloud radiative forcing is underestimated in ECHAM\_CTRL over the WNP (Fig. 2b), whilst the longwave cloud radiative forcing

is comparable between ECHAM\_CTRL and the observation (Fig. 2e). Analogous results are reached in ECHAM\_SMCM but with smaller bias in the shortwave cloud radiative forcing, especially over the WNP (Fig. 2c), which leads to the bias of net cloud radiative forcing over the WNP in ECHAM\_SMCM is smaller compared to ECHAM\_CTRL (Figs. 2h and 2i).

Figure 3 shows the TOA solar radiation and outgoing longwave radiation (OLR) in JJAS. Observationally, the solar radiation and OLR have vinilar distributions over the ocean, with the property that the magnitudes over he V/NP are smaller than those over the subtropical North Pacific (Figs. 3a and 3d). The difference among the observation and model simulations illustrates that the observed features of the JJAS solar radiation and OLR at TOA are reproduced in ECHAM\_CTRL and ECHAM\_SMCM. In addition, subject bias patterns are found in model simulations but the smaller biases are found in ECHAM\_SMCM compared to ECHAM\_CTRL (Figs. 3b-3c and 3e-3f). Note that similar results are obtained with respect to the surface energy balar..., including the cloud raidative forcing and shortwave and longwave radiations (Jeure not shown).

Aforementioned discussions indicate that implementation of the SMCM into the ECHAM6.3 reduces the bias of cloud cover and the radiative energy. This implies the heating over the tropics and extratropics would be redistributed, which further influences the atmospheric circulation (e.g., Trenberth et al., 2000), the organized tropical convection (e.g., Peters et al., 2013, 2017; Ma et al., 2019a), and the moist static energy (e.g., Webster, 1994). Moreover, incorporation of the stochastic

convective forcing plays a vital role in influencing the monsoon and Hadley circulation (De La Chevrotiere and Khouider, 2016) and in improving the simulation of large-scale circulation of the East Asian summer monsoon (Ma et al., 2019b). Thus, to further explore impacts of the SMCM on the simulated precipitation in WNP in JJAS, the moist static energy balance and the moisture budget analysis are applied to investigate what controls the physical processes of the distribution of precipitation in the WNP in the following sections.

#### 3.2 Climatology in summer

Figure 4 shows the JJAS-mean rainfal' (Pading) and 500-hPa vertical velocity (contour) during the period of 197<sup>c</sup>-2)14. Observationally, as shown in Fig. 4a, heavy rainfall appears over the tropical WNP extending from the South China Sea to the open ocean west of 150°F. The 500-hPa vertical velocity over the WNP depicts the ascending motion, matching the spatial pattern of precipitation well. As shown in Fig. 4b, less rainfal' and weaker ascending motion are found over the WNP in ECHAM\_CTRL compared to the observation. The biases of precipitation and 500-hPa vertical velocity are reduced in ECHAM\_SMCM (Fig. 4c). The area-averaged precipitation in ECHAM\_SMCM (8.40 mm day<sup>-1</sup>) is comparable to that in observation (8.43 mm day<sup>-1</sup>) and is larger than that in ECHAM\_CTRL (5.32 mm day<sup>-1</sup>). In addition, the PCC of precipitation (500-hPa vertical velocity) in ECHAM\_SMCM is 0.67 (0.84) versus -0.27 (-0.43) in ECHAM\_CTRL, indicating that ECHAM SMCM performs better in simulating the precipitation and vertical motion in the WNP region.

#### 3.3 The moist static energy balance

To differentiate the relative contribution among humidity, air temperature, large-scale circulation, and radiation processes in simulating the WNP summer precipitation in ECHAM SMCM, the moist static energy budget is diagnosed. Figure 5 shows the JJAS-mean net energy input into the atmosp  $r_{inet}$ ,  $r_{net}$ , the vertical-mass integrated horizontal advection of moist enthalpy  $-\sqrt{\vec{v}\cdot VM}$ , and the vertical MSE advection  $-\overline{\langle \omega \frac{\partial h}{\partial p} \rangle}$ . As shown in Fig. 5a, the (bse vational  $\overline{F_{net}}$  (the first row) is positive and negative in the tropical and s' o' opical WNP respectively. It indicates that the atmosphere gains net energy at tropics and losses net energy at subtropics, which establishes strong meridional gradient of air temperature between tropics and subtropics (Chen and Bordoni 2014, Yao et al., 2017). Compared to the observation,  $\overline{F_{net}}$  into the atmosphere is underestimated in ECHAM\_CTRL over the WNP (the first row in Fig. 5b), implying a weakened meridional gradient of air temperature between tropics and ubtropics. However, this bias is reduced in ECHAM SMCM with the  $\overline{F_{net}}$  is comparable to the observation over the WNP (the first row in Fig. 5c), generating stronger meridional gradient of air temperature than that in ECHAM CTRL. The intensified meridional gradient of air temperature allows ECHAM SMCM to perform better in simulating the onset of East Asian summer monsoon compared to ECHAM\_CTRL (Ma et al., 2019b).

Model simulations display distinct spatial patterns of horizontal advection of

moist enthalpy compared to the observation. Opposite to the negative observational horizontal moist enthalpy advection  $-\overline{\langle \vec{V} \cdot \nabla M \rangle}$  which offsets the positive  $\overline{F_{net}}$ , the  $-\overline{\langle \vec{V} \cdot \nabla M \rangle}$  is prevailing positive over the WNP in ECHAM\_CTRL (the second row in Fig. 5a, b). Different  $-\overline{\langle \vec{V} \cdot \nabla M \rangle}$  distribution appears over the WNP in ECHAM\_SMCM compared to ECHAM\_CTRL. As shown in Fig. 5c (the second row), the  $-\overline{\langle \vec{V} \cdot \nabla M \rangle}$  in ECHAM\_SMCM, in general, has the same sign as in the observation, but with smaller value over the open ocean in the WNP. In addition, the horizontal advection of moist enthalpy over souther. Ch na and eastern Vietnam is overestimated in ECHAM\_SMCM compared to the observation.

The bottom panel in Fig. 5 shows the pertical moist static energy advection  $-\overline{\langle \omega \frac{\partial h}{\partial p} \rangle}$  in the observation and mode is multitons. Note that the vertically integrated stratification of moist static energy  $\langle \frac{\partial h}{\partial p} \rangle$  in the troposphere is negative. Thus, negative  $-\overline{\langle \omega \frac{\partial h}{\partial p} \rangle}$  over the WNP corresponds to the ascending motion. Observationally, as shown in the bottom panel in Fig. 5a, a convection center spans from the eastern Sorth China Sea to the WNP, which is associated with the heavy rainfall depicted in Fig. 4a. Compared to the observation, the vertical convection of moist static energy is underestimated in ECHAM\_CTRL and ECHAM\_SMCM (bottom panels in Fig. 5b, c). However, compared to ECHAM\_CTRL, the ascending motion of moist static energy is enhanced in EHCAM\_SMCM, corresponding to an increase of precipitation over the WNP.

To make a quantitive comparison, the area-averaged quantities over the WNP are plotted in Fig. 6. It is shown that, compared to ECHAM\_CTRL,  $\overline{F_{net}}$ ,  $-\langle \vec{V} \cdot \nabla M \rangle$ ,

and  $-\overline{\langle \omega \frac{\partial h}{\partial p} \rangle}$  are intensified in ECHAM\_SMCM. Note that, in addition, residual of the three terms in the right hand of equation (2.2) is not zero, indicating the moist static energy budget in equation (2.2) does not close in observation and model simulations. The disclosure of moist static energy budget arises from the unresolved subgrid-scale motions and insufficient vertical resolution in the ERA-Interim and models (Berrisford et al., 2011; Chen and Bordoni, 2014). Thus, the summation of  $\overline{F_{net}}$  and  $-\overline{\langle \vec{V} \cdot \nabla M \rangle}$  is used to represent the vertical convection of moist static energy aiming to close the moist static energy budget (figure omitted). We will focus on the changes in  $\overline{F_{net}}$  and  $-\overline{\langle \vec{V} \cdot \nabla M \rangle}$  instead of  $-\overline{\langle \omega \frac{\partial h}{\partial p} \rangle}$  in the following discussion.

What is responsible for the value ons of  $\overline{F_{net}}$  between ECHAM\_CTRL and ECHAM\_SMCM? Recalling the nonlementation of SMCM in this study, it adjusts the shallow-deep-trigger based on the predicted deep-convection area fraction, which affects the distribution of cloud. Figure 7 shows the total cloud cover in observation and model simulations. Conservationally, large cloud cover is found over the WNP, which inhibits the raliative energy reaching the earth surface and reflecting more radiative energy back into atmosphere through the top of the atmosphere (TOA) (Fig. 7a). As shown in Fig. 7b, compared to the observation, the cloud cover is obviously underestimated in ECHAM\_CTRL, especially over the South China Sea, which is also clearly illustrated by the difference between the observation and ECHAM\_CTRL (Fig. 7d). The reduction of cloud cover over the WNP allows more radiative energy to reach the earth surface and to escape out of the TOA, leading to less net energy is

stored in the atmosphere (Fig. 5). The bias of cloud cover over the WNP is reduced in ECHAM\_SMCM, being comparable to that in observation (Fig. 7c and 7e). The difference between ECHAM\_SMCM and ECHAM\_CTRL definitely demonstrates the increased cloud cover in ECHAM\_SMCM (Fig. 7f). The increased cloud cover over the WNP makes ECHAM\_SMCM keep more radiative energy in the atmosphere in this region.

The horizontal moist enthalpy advection  $-\overline{\langle \vec{V} \cdot \nabla N \rangle}$  on be divided into the horizontal advections of dry enthalpy  $-\overline{c_p}\langle \vec{V} \cdot \nabla T \rangle$  and or latent energy  $-\overline{l_v}\langle \vec{V} \cdot \nabla q \rangle$  (Fig. 8). Unlike the case in the subtropical front where  $-\overline{c_p}\langle \vec{V} \cdot \nabla T \rangle$  dominates  $-\overline{\langle \vec{V} \cdot \nabla M \rangle}$  in the core of the Meiyu-Baiu for Chen and Bordoni, 2014; Yao et al., 2017), the horizontal latent energy advection dominates  $-\overline{\langle \vec{V} \cdot \nabla M \rangle}$  in JJAS over the WNP, indicating different dynamic el processes in sustaining the precipitation between tropics and subtropics. As listed in Table 1, the area-averaged  $-\overline{l_v}\langle \vec{V} \cdot \nabla q \rangle$  over the WNP in observation, ECH. M\_CTRL, and ECHAM\_SMCM is -11.77 W m<sup>2</sup>, -3.83 W m<sup>2</sup>, and -7.69 W m<sup>2</sup>, respectively. This indicates that the contribution of  $-\overline{l_v}\langle \vec{V} \cdot \nabla q \rangle$  to the horizontal advection of moist enthalpy is enhanced in ECHAM\_SMCM compared to ECHAM\_CTRL.

To explore the physical processes contributing to  $-\overline{l_v\langle \vec{V}\cdot \nabla q\rangle}$ , following the method described in Sect. 2,  $-\overline{l_v\langle \vec{V}\cdot \nabla q\rangle}$  is decomposed into five terms, the stationary eddy latent energy by the zonal-mean flow  $-l_v\langle [\vec{V}] \cdot \nabla q^* \rangle$ , the advection of the zonal-mean latent energy by stationary eddy velocity  $-l_v\langle \overline{V^*}\cdot [\nabla q] \rangle$ , the pure stationary eddy flux  $-l_v\langle \overline{V^*}\cdot \nabla q^* \rangle$ , the transient eddy flux  $-l_v\langle \overline{V'}\cdot \nabla q' \rangle$ , and the

zonal mean  $-l_{v}\langle \left[\vec{V}\right] \cdot \overline{[\nabla q]}\rangle$ . Note that the  $-l_{v}\langle \left[\vec{V}\right] \cdot \overline{[\nabla q]}\rangle$  and  $-l_{v}\langle V' \cdot \nabla q'\rangle$  are much smaller than other terms and can be neglected in the following discussion. Figure 9 displays other three terms of the observation and model simulations. Opposite to the contributions of the  $-l_{v}\langle \left[ \vec{V} \right] \cdot \nabla q^{*} \rangle$  and  $-l_{v}\langle \overline{V^{*}} \cdot \nabla q^{*} \rangle$  (the first and third rows in Fig. 9), which have the same sign of  $-\overline{l_v}\langle \overline{V} \cdot \nabla q \rangle$ ,  $-l_v \langle \overline{V^*} \cdot \overline{[\nabla q]} \rangle$ offsets the contributions of  $-l_{\nu}\langle \left[\vec{V}\right] \cdot \nabla q^* \rangle$  and  $-l_{\nu}\langle \overline{V^*} \cdot \nabla q^* \rangle$  in the WNP (the second row in Fig. 9). Compared to ECHAM\_CTRL, in addition, it can be distinguished that the contributions of the  $-l_{\nu}\langle [\overline{i'}|\cdot \overline{q^*}\rangle, -l_{\nu}\langle \overline{V^*}\cdot \overline{[\nabla q]}\rangle$ , and  $-l_{v}\langle \overline{\overline{V^*}} \cdot \overline{\nabla q^*} \rangle$  are enhanced in ECHAM\_SM(M, regardless of the positive or negative influence on changes in the  $-\overline{l_v v \gamma q}$ . To clearly clarify the enhanced contributions, area-weighted mean of each term over the WNP is summarized in Table 1. The contribution of  $-l_v \langle \left[ \vec{V} \right] \nabla q^* \rangle$  to  $-\overline{l_v \langle \vec{V} \cdot \nabla q \rangle}$  in ECHAM\_CTRL is only half of the observation, that is -7.4 3 W m<sup>-2</sup> in ECHAM\_CTRL versus -14.99 W m<sup>-2</sup> in the observation. The bias is reduced in ECHAM SMCM. The mean value of  $-l_{\nu}\langle \left[\vec{\vec{V}}\right] \cdot \nabla q^* \rangle$  in ECLANI\_SMCM is -13.75 W m<sup>-2</sup>. In addition, the mean value of  $-l_{v}\langle \overline{V^{*}} \cdot \overline{[\nabla q]} \rangle$  and  $-l_{v}\langle \overline{V^{*}} \cdot \overline{\nabla q^{*}} \rangle$  in ECHAM\_CTRL (ECHAM\_SMCM) are 12.64 (23.71) W m<sup>-2</sup> and -10.36 (-18.60) W m<sup>-2</sup>, respectively. Note that ECHAM SMCM almost double the contribution of  $-l_{v}\langle \left[\vec{V}\right] \cdot \nabla q^{*}\rangle, \ -l_{v}\langle \overline{V^{*}} \cdot \left[\nabla q\right]\rangle$  and  $-l_{v}\langle \overline{V^{*}} \cdot q^{*}\rangle$  $\overline{\nabla q^*}$  to  $-\overline{l_v}\langle \vec{V} \cdot \nabla q \rangle$  compared to ECHAM\_CTRL. Moreover, comparison of the area-averaged  $-l_v \langle \overline{V'} \cdot \nabla q' \rangle$  over the WNP among observation and model simulations shows that the negative contribution of  $-l_{\nu}\langle \overline{V'} \cdot \nabla q' \rangle$  to  $-\overline{l_{\nu}\langle \overline{V} \cdot \nabla q \rangle}$  is also enhanced in ECHAM SMCM compared to ECHAM CTRL.

#### 3.4 The moisture budget analysis

A moisture budget analysis is also applied to investigate the physical processes distributing net precipitation in the WNP. Observationally, strong moist flux convergence (shadings in Fig. 10a) and evaporation (contour in Fig. 10a) are found in the WNP, which is associated with heavy rainfall in this region (Fig. 4a). The moist-flux convergence suggests that the moisture is trans you 'eu upward to sustain the precipitation in the WNP. As for model simulations. he poist-flux convergence and evaporation are underestimated in ECHAM CTFL, especially in the South China Sea, compared to the observation (shadings in [1], 10e). Simulations of the moist-flux convergence and evaporation are imp ov d n. ECHAM\_SMCM, as shown in Fig. 10i, which are comparable to the observation. This possibly interprets why ECHAM SMCM performs better in simulating precipitation in the WNP compared to ECHAM CTRL. In addition the distribution of net precipitation in the WNP is less contributed by the vertical convection of moist in observation and model simulations when compared to the moist-flux convergence and evaporation (Fig. 10b, 10f, and 10j). Moreover, the area-averaged value also validates that the observed and modeled net precipitation in the WNP is mainly attributed to the vertical integral of moist-flux convergence and local evaporation (Fig. 11).

The moist-flux convergence  $-\overline{\langle \nabla \cdot \vec{V} q \rangle}$  can be reformulated as the summation of the zonal moisture convergence  $-\overline{\langle \partial_x(uq) \rangle}$  and the meridional moisture convergence  $(-\overline{\langle \partial_y(vq) \rangle})$  (Yao et al., 2017). As shown in Fig. 10 (the third and fourth

rows), the zonal and meridional components of moist convergence generally play opposite roles in the distribution of  $-\overline{\langle \nabla \cdot \vec{V} q \rangle}$ . Regarding the zonal moisture convergence  $-\overline{\langle \partial_x(uq) \rangle}$ , it mainly contributes to the horizontal distribution of  $-\overline{\langle \nabla \cdot \vec{V} q \rangle}$  (Fig. 10c, g, k); whereas,  $-\overline{\langle \partial_y(vq) \rangle}$  offsets the contribution of  $-\overline{\langle \partial_x(uq) \rangle}$  (Fig. 10d, h, l). The spatial pattern and area-averaged value indicate that the simulation of  $-\overline{\langle \partial_x(uq) \rangle}$  is improved in ECHAM\_SMCM compared to ECHAM\_CTRL (third and fourth rows in Fig. 10 and Fig. 11)

Following the approach introduced in Sect. 2, the z nal moisture convergence  $(-\overline{\langle \partial_x(uq) \rangle})$  can be further decomposed into orresponding mean, stationary, and transient terms. The stationary eddy term, include  $-\langle \overline{u^*} \times \overline{\partial_x q^*} \rangle$ ,  $-\langle \overline{[u]} \times \overline{\partial_x q^*} \rangle$ ,  $-\langle \overline{[q]} \times \overline{\partial_x u^*} \rangle$ , and  $-\langle \overline{q^*} \times \overline{\partial_x u^*} \rangle$ , which are associated with the zonal stationary eddy flow and zonal humidity Cistributions. On the other hand, the mean terms  $-\langle \overline{[u]} \times \overline{\partial_x[q]} \rangle$  and  $-\langle \overline{[q]} \times \overline{\partial_x[u]} \rangle$  related to the planetary-scale humidity and circulation are generally shall and can be ignored. In addition, the transient eddy terms,  $-\langle \overline{u'} \times \overline{\partial_x q'} \rangle$  and  $-\langle \overline{q'} \times \overline{\partial_x u'} \rangle$ , also play minor roles in determining the spatial pattern of  $-\overline{\partial_x(uq)}$  compared to the stationary eddy terms (Chen and Bordoni, 2014; Yao et al., 2017). Thus, only four stationary eddy terms are calculated and discussed in this study. The area-averaged values over the WNP are summarized in Table 2. Compared to ECHAM CTRL, the zonal moisture convergence  $-\overline{\langle \partial_x(uq) \rangle}$  is intensified in ECHAM\_SMCM, which is comparable to observation. Except the product of stationary eddy flow and zonal gradient of stationary humidity  $(-\langle \overline{u^*} \times \overline{\partial_x q^*} \rangle)$ , other three terms contribute to the improvement in simulating

 $-\overline{\langle \partial_x(uq) \rangle}$ . Of these terms, moreover, the zonal-mean moisture transported via the convergence of stationary eddy zonal flow  $(-\langle \overline{[q]} \times \overline{\partial_x u^*} \rangle)$  dominates the improvement, which is also the main factor in determining the spatial pattern of  $-\overline{\langle \partial_x(uq) \rangle}$ .

#### 4. Conclusion and discussion

This study investigated the effects of the SMCM on  $u_{R}$  simulation of the WNP precipitation in JJAS. The corresponding physical processes responsible for the improvements is also diagnosed by applying the proist static energy balance and moisture budget analyses. The preliminary results are summarized as follows.

(1) In addition to the improvement in the simulation of precipitation revealed by Ma et al. (2019b,c), simulation of the JJAS ascending motion in the WNP is also improved in ECHAM\_SMCM contrared to ECHAM\_CTRL with higher area-average value and PCC score.

(2) The moist strike energy balance analysis revealed that the net energy into the atmosphere and horizontal advection of moist enthalpy sustain the rainfall over the WNP. The enhanced net energy into atmosphere and horizontal moist-enthalpy advection, compared to ECHAM\_CTRL, lead to improvement in simulating distributions of the western North Pacific precipitation in ECHAM\_SMCM. Further analyses indicated that increased total cloud cover over the WNP, which limits the radiative energy reaching the earth surface and decreases the refraction of radiative energy at the top of atmosphere, contributes to intensified net energy into the

atmosphere by coupling the SMCM into ECHAM6.3. In addition, intensification of the stationary eddy latent energy by the zonal-mean flow  $(-l_v \langle [\vec{V}] \cdot \nabla q^* \rangle)$  and the pure stationary eddy flux  $(-l_v \langle \overline{V^*} \cdot \nabla q^* \rangle)$  in ECHAM\_SMCM dominates the improvements in simulating distributions of the horizontal advection of moist enthalpy.

(3) The moisture budget analysis of the western North Pacific demonstrated that improvement in simulating net precipitation in this region in FCHAM\_SMCM mainly attributes to the intensified moist-flux convergence  $(-\overline{\langle \nabla, \vec{V}q \rangle})$ , which is contributed by the zonal moisture convergence  $(-\overline{\langle \partial_x(uq) \rangle})$ . Decomposition analysis unraveled that the zonal-mean moisture transported via transported of stationary eddy zonal flow  $(-\langle \overline{[q]} \times \overline{\partial_x u^*} \rangle)$  is the major factor o extermine the distribution of  $-\overline{\langle \partial_x(uq) \rangle}$ .

Previous studies have addresed that the circulation over the WNP plays a vital role in linking the ENSO and East usian climate (e.g., Wang et al., 2000). Although the simulation of precipitation and large-scale vertical convection over the WNP are improved in ECHAM\_CVCM, whether the coupling of SMCM into the CSMs will improves the linkage between ENSO and East Asia climate is still unknown. The future work firstly couples the SMCM to a climate system model, i.e., the version three of Nanjing University of Information Science and Technology Earth System Model (NESM3) (Cao et al., 2018), then corresponding analyses are conducted to discuss the effects of the SMCM on the relationship between ENSO and East Asia climate through sensitive experiments.

Acknowledge ments. The authors appreciate Prof. Bin Wang from University of Hawaii at Manoa for his constructive suggestions and Dr. Karsten Peters from Max-Planck-Institut für Meteorologie (now at Deutsches Klimarechenzentrum GmbH (DKRZ)) for his help on coupling the Stochastic Multicloud Model (SMCM) to the ECHAM6.3 atmosphere model. This work was supported by the National Key R&D Program of China (Grant No. 2018YFC1505803). This work was also sponsored by the Basic Research Fund of CAMS (2018Z007) and the Startup Foundation for Introducing Talent of NUIST (Grant No. 2018r027).

#### References

- Adler R F, Huffman G J, Chang A, et al. 2003. The version-2 global precipitation climatology project (GPCP) monthly precipitation analysis (1979-present). J. Hydrometeorol., 4: 1147-1167.
- Berrisford P, Kallberg P, Kobayas<sup>1,1</sup> S, et al. 2011. Atmospheric conservation properties in ERA-Interim. Q. J. K. Meteorol. Soc., 137: 1381-1399.
- Cao J, Wang B, Yang Y-M, M<sup>2</sup> LL et al. 2018. The NUIST Earth System Model (NESM) version 3: description and preliminary evaluation. Geosci. Model. Dev., 11:2975–2993.
- Chen HM, Zhou TJ, Nonle JB, et al. 2010. Performance of the new NCAR CAM3.5 in East Asian summer monsoon simulations: sensitivity to modifications of the convective scheme. J. Clim., 23: 3657-3675.
- Chen J and Bordoni S. 2014. Orographic effects of the Tibetan Plateau on the East Asian summer monsoon: An energetic perspective. J. Clim., 27: 3052-3072.
- Choi J-W, Kim B-J, Zhang BJ, et al. 2016. Possible relation of the western North Pacific monsoon to the tropical cyclone activity over western North Pacific. Int. J. Climatol., 36: 3334-3345.
- Chou C and Neelin. 2003. Mechanisms limiting the northward extent of the northern summer monsoons over North America, Asia, and Africa. J. Clim., 16: 406-425.
- Chou C and Lau C-W. 2012. Changes in the annual range of precipitation under

global warming. J. Clim., 25: 222-235.

- Dee DP, Uppala SM, Simmons AJ, et al. 2011. The ERA-Interim reanalysis: configuration and performance of the data assimilation system. Q. J. R. Meteorol. Soc., 137:553–597.
- De La Chevrotiere M, Khouider B. 2016. A zonally symmetric model for the monsoon-Hadley circulation with stochastic convective forcing. Theor. Comput. Fluid Dyn., 31: 89-110.
- DeMott C, Stan C, and Randall DA. 2013. Northward propagation mechanism of the Boreal Summer Intraseasonal Oscillation in the EAP Incrim and SP-CCSM. J. Clim., 26: 1973-1992.
- Deng Q, Khouider B, and Majda AJ. 2015. The N IO in a coarse-resolution GCM with a stochastic multicloud parameterizatio. J Atmos. Sci., 72:55–74.
- Goswami BB, Khouider B, Phani R, Mrichopadhyay P, and Majda AJ. 2017. Improving synoptic and intraseaschal variability in CFSv2 via stochastic representation of organized convertion. Geophy. Res. Lett., 44:1104–1113.
- Grabowski WW. 2001. Coupling cloud processes with the large-scale dynamics using the cloud-resolving convector parameterization (CRCP). J. Atmos. Sci., 58: 978-997.
- Guichard F and Couvreu. F. 2017. A short review of numerical cloud-resolving models. Tellus, 99:1
- Huang RH, Chen JL, and Huang G. 2007. Characteristics and variations of the East Asian monsoon system and its impacts on climate disasters in China. Adv. Atmos. Sci., 24: 993-1023.
- Huang RH, Chen W, Yang B, Zhang R. 2004. Recent advances in studies of the interaction between the East Asian winter and summer monsoons and ENSO cycle. Adv. Atmos. Sci., 21: 407-424.
- Jin Y and Stan C. 2016. Simulation of East Asian summer monsoon (EASM) in SP-CCSM4: Part I-Seasonal mean state and intraseasonal variability. J. Geophys. Res. Atmos., 121: 7801-7818.

- Khairoutdinov MF and Randall DA. 2001. A cloud resolving model as a cloud parameterization in the NCAR community climate system model: preliminary results. Geophys. Res. Lett., 28: 3617-3620.
- Khouider B, Biello J, and Majda AJ. 2010. A stochastic multicloud models for tropical convection. Commun. Math. Sci., 8:187-216.
- Khouider B and Majda AJ. 2006a. Model multi-cloud parameterizations for convectively coupled waves: detailed nonlinear wave evolution. Dyn. Atmos. Oceans, 42:59-80.
- Khouider B and Majda AJ. 2006b. A simple multiclena parameterization for convectively coupled tropical waves. Part I: lir.or analysis. J. Atmos. Sci., 63:1308-1323.
- Kawamura R, Matsuura T, Ilizuka S. 2001. Interannual atmosphere-ocean variations in the tropical western North Pacific relevant to the Asian summer monsoon-ENSO coupling. 79: 883-8/8.
- Kawamura R, Murakami T. 1998. B.iu near Japan and its relation to summer monsoons over southeast Asia and the western North Pacific. J. Meteor. Soc. Japan, 76: 619-639.
- Li J, Yang Y-M, Wang B. 2018. Evaluation of NESMv3 and CMIP5 models' performance on simulation of Asian-Australian Monsoon. Atmosphere, 9, 327, doi:10.3390/atm/s9090327.
- Li T, Wang B. 2705. A review on the western North Pacific monsoon: synoptic-to-interannual variabilities. Terr. Atmos. Oceanic Sci., 16: 285-314.
- Loeb NG, Wielicki BA, Doelling DR, et al. 2009. Toward optimal closure of the Earth's top-of-atmosphere radiation budget, J. Clim., 22: 748–766.
- Ma LB, Peters K, Wang B, and Li J. 2019a. Revisiting the impact of Stochastic Multicloud Model on the MJO using low-resolution ECHAM6.3 atmosphere model. J. Meteor. Soc. Japan, 97: 977-993.
- Ma LB, Zhu ZW, Li J and Cao J. 2019b. Improving the simulation of the climatology of the East Asian summer monsoon by coupling the Stochastic Multicloud Model

to the ECHAM6.3 atmosphere model. Clim. Dyn., 53: 2061-2081.

- Ma LB, Jiang ZJ, and Cao J. 2019c. Effects of a stochastic multicloud parameteriation on the simulated Asian-Australian monsoon rainfall in an AGCM. Inter. J. Climatol., doi:10.1002/joc.6352.
- Nitta T. 1987. Convective activities in the tropical western Pacific and their impacts on the Northern Hemisphere summer circulation. J. Meteor. Soc. Japan, 65: 165-171.
- Peters K, Crueger C, Jakob C, and Möbis B. 2017. Improved MJO-simulation in ECHAM6.3 by coupling a stochastic multicloud model to the convection scheme.
  J. Adv. Model. Earth Syst., 9:193–219.
- Peters K, Jakob, Davies L, et al. 2013. Stochastic behavior of tropical convection in observations and a multicloud model. J. Atr. vs. 3 ci., 70: 3556-3575.
- Qian WH, Shi J. 2017. Tripole precipitation and SST variations linked with extreme zonal activities of the western Pac. Sc subtropical high. Int. J. Climatol., 37: 3018-3035.
- Rasmusson EM and Arkin PA. 1287. El Niño/Southern Oscillation and the Asian monsoon. In: Yeh et al. (edf) the climate of China and global climate. China Ocean Press, Beijing, pp141-153.
- Stevens B, Giorgetta M, E. h M, et al. 2013. Atmospheric component of the MPI-M earth system mc Jei: ECHAM6. J. Adv. Model. Earth Sys., 5:146–172.
- Sun Y, Zhou TJ, et a. 2016. Drivers and mechanisms for enhanced summer monsoon precipitation over East Asia during the mid-Pliocene in the IPSL-CM5A. Clim. Dyn., 46: 1437-1457.
- Trenberth K E, Stepaniak D P, Caron J M. 2000. The global monsoon as seen through the divergent atmospheric circulation. J. Clim., 13: 3969-3993.
- Wang B, Wu RG, and Fu XH. 2000. Pacific-East Asian teleconnection: How does ENSO affect the East Asian climate? J. Clim., 13: 1517-1536.
- Wang B, Wu RG, Lukas R. 1999. Roles of the western North Pacific wind variation in thermocline adjustment and ENSO phase transition. J. Meteor. Soc. Japan, 77:

1-16.

- Wang B, Yim S-Y, Lee J-Y, Liu J, Ha K-J. 2014. Future change of Asian-Australian monsoon under RCP 4.5 anthropogenic warming scenario. Clim. Dyn., 42: 83-100.
- Webster P J. 1994. The role of hydrographic processes in ocean-atmosphere interactions. Rev. Geophys., 32: 427-476.
- Wu B, Zhou T J, Li T. 2009. Seasonally evolving dominant interannual variability modes of East Asian climate. J. Clim., 22: 2992-3005.
- Wu L, Wen ZP, Huang RH, and Wu RG. 2012. Possible lining between the monsoon trough variability and the tropical cyclone activity over the western North Pacific. Monthly Weather Review, 140: 140-150.
- Wu X, Deng L, Song X et al. 2007a. Impact of ... mo lified convective scheme on the Madden-Julian Oscillation and El Niño-Scruthern Oscillation in a coupled climate model. Geophys. Res. Lett., 34: L16823. doi: 10.1029/2007GL030637.
- Wu X, Deng L, Song X et al. 2007. Coupling of convective momentum transport with convective heating in 5 obal climate simulations. J. Atmos. Sci., 64: 1334-1349.
- Xie P, Arkin P A. 1997. A 17-year monthly analysis based on gauge observations, satellite estimates, and numerical model outputs. Bull. Am. Meteorol. Soc., 78: 2539-2558.
- Yanai M and Tomita T. 1998. Seasonal heating of the Tibetan Plateau and its effects on the evolution of the Asian summer monsoon. J. Meteor. Soc. Japan, 70: 319-351.
- Yang XQ and Zhu YM. 2008. Interdecadal climate variability in China associated with the Pacific Decadal Oscillation. In: Fu C et al (eds) Regional climate studies of China. Springer, New York, pp97-118.
- Yao JC, Zhou TJ, Guo Z, et al. 2017. Improved performance of high-resolution atmospheric models in simulating the East Asian summer monsoon rain belt. J. Clim., 30: 8825-8840.

- Yu ET, Wang HJ, Gao YQ, and Sun JQ. 2011. Impacts of cumulus convective parameterization schemes on summer monsoon precipitation simulation over China. J. Meteor. Res., 25: 581-592.
- Yun K-S, Ha K-J, Wang B. 2010. Impacts of tropical ocean warming on East Asian summer climate. Geophys. Res. Lett., 37, L20809, doi:10.1029/2010GL044931.
- Zhou TJ, Yu RC. 2005. Atmospheric water vapor transport assosicated with typical anomalous summer rainfall patterns in China. J. Geophys. Res., 110, D08104, doi:10.1029/2004JD005413.
- Zhu LJ, Bao Q, Liu YM, et al. 2015. Global energy and water Calance: characteristics from finite-volume atmospheric model of the IACC As G (FAMIL 1). J. Adv. Model. Earth Syst., 7, 1-20, doi:10.1002/2014ME )00549.
- Zhu YM and Yang XQ. 2003. Relationships Latwr en Pacific Decadal Oscillation (PDO) and climate variabilities in China. Arta Meteor. Sinica, 64: 641-654.

JAN AN

### **Table and Figure Captions**

Table 1 Area-weighted mean of  $-\overline{l_v}\langle \vec{V} \cdot \nabla q \rangle$ ,  $-l_v \langle [\vec{V}] \cdot \nabla q^* \rangle$ ,  $-l_v \langle \overline{V^*} \cdot [\nabla q] \rangle$ ,  $-l_v \langle \overline{V^*} \cdot \nabla q^* \rangle$ , and  $-l_v \langle V' \cdot \nabla q' \rangle$  in the WNP (unit in W m<sup>-2</sup>) Table 2 Area-averaged value of  $-\overline{\langle \partial_x(uq) \rangle}$ ,  $-\langle \overline{u^*} \times \overline{\partial_x q^*} \rangle$ ,  $-\langle [\overline{u}] \times \overline{\partial_x q^*} \rangle$ ,  $-\langle [\overline{q}] \times \overline{\partial_x u^*} \rangle$ , and  $-\langle \overline{q^*} \times \overline{\partial_x u^*} \rangle$  in the WNP (unit in mm day<sup>-1</sup>)

- Figure 1 JJAS-mean (a, d, g) low-level, (b, e, h) middle-level, and (c, f, i) high-level cloud cover of observation (left panel) and the differences of ECHAM\_CTRL (middle panel) and ECHAM\_SMCM (right panel) relative to observation
- Figure 2 JJAS-mean shortwave (left panel), longwave (muddle panel) and net (right panel) cloud forcing (W m<sup>2</sup>) of (a, d, g) observation and the difference between (b, e, h) ECAHM\_CTRL and (c, f, i) ECHAM\_SMCM and observation (positive is downward).
- Figure 3 Same as in Fig.2 but for the slortwave radiation (W m<sup>-2</sup>; left panel) and outgoing longwave radiation (W  $1.^{-2}$ , right panel) at the top-of-the-atmosphere
- Figure 4 JJAS-mean precipitation ("hading; mm day") and vertical velocity (contour; Pa s<sup>-1</sup>) of (a) Observation, (b) ECHAM\_CTRL, and (c) ECHAM\_SMCM. Negative vertical velocity <sup>1</sup>enotes the upward motion
- Figure 5 JJAS mean of the net energy flux into the atmosphere ( $\overline{F_{net}}$ ; upper panel), vertical integral c fho izontal moist enthalpy advection ( $-\langle \vec{V} \cdot \nabla M \rangle$ ; middle panel), and vertical integral of vertical MSE convection ( $-\langle \overline{\omega \partial h}/\partial p \rangle$ ; lower panel) of (a) Observation, (b) ECHAM\_CTRL, and (c) ECAHM\_SMCM. Units in W m<sup>-2</sup>.
- Figure 6 Area-averaged value of the net energy flux into the atmosphere  $(\overline{F_{net}})$ , vertical integral of horizontal moist enthalpy advection  $(-\overline{\langle \vec{V} \cdot \nabla M \rangle})$ , vertical integral of vertical MSE convection  $(-\overline{\langle \omega \partial h / \partial p \rangle})$ , and residual.
- Figure 7 JJAS-mean total cloud cover of (a) observation, (b) ECHAM\_CTRL, (c) ECHAM\_SMCM, the difference between observation and (d) ECHAM\_CTRL and (e) ECHAM\_SMCM, and (e) the difference between ECHAM\_SMCM and ECHAM\_CTRL.

- Figure 8 JJAS mean of vertical integral of horizontal moist enthalpy advection  $(-\overline{\langle \vec{V} \cdot \nabla M \rangle}; \text{ upper panel})$ , horizonal dry enthalpy advection  $(-\overline{c_p}\langle \vec{V} \cdot \nabla T \rangle; \text{ middle panel})$ , and latent energy advection  $(-\overline{l_v}\langle \vec{V} \cdot \nabla q \rangle; \text{ lower panel})$  of (a) observation, (b) ECHAM\_CTRL, and (c) ECHAM\_SMCM. Units in W m<sup>-2</sup>.
- Figure 9 JJAS mean of vertical integral of the (a, d, g) stationary eddy dry enthalpy by the zonal-mean flow  $-l_v [\vec{V}] \cdot \nabla q^*$ , (b, e, h) zonal-mean dry enthalpy by the stationary eddy velocity  $-l_v \vec{V}^* \cdot [\nabla q]$ , and (c, f, i) oure stationary eddy flux  $-l_v \vec{V}^* \cdot \nabla q^*$  in (left panel) Observation, (middle pinel ECHAM\_CTRL, and (right panel) ECHAM SMCM.
- Figure 10 JJAS mean of (a, e, i) Evaporation  $\overline{E}$  (contour; CI is 0.25 mm day<sup>-1</sup>) and the vertical integral of convergence of moist flux  $-\langle \overline{\nabla} \cdot \overline{V}q \rangle$  (shading), (b, f, j) vertical convection of moist  $-\overline{\langle \partial_{\mu} \cdot \omega_{\eta} \rangle}$ , (c, g, k) zonal moisture convergence  $-\overline{\langle \partial_{\chi} (uq) \rangle}$ , and (d, h, l) meridional moisture convergence  $-\overline{\langle \partial_{\gamma} (vq) \rangle}$ . Unit in mm day<sup>-1</sup>.
- Figure 11 The area-averaged precipitation ( $\overline{P}$ ; PRECIP), evaporation ( $\overline{E}$ ; EVAP), the vertical integral of nonst-flux convergence  $(-\overline{\langle \nabla \cdot \vec{V} q \rangle}; -\operatorname{div}(Vq))$ , vertical convection of most ( $-\overline{\langle \partial_p \omega q \rangle}; -\operatorname{dp}(wq)$ ), zonal moisture convergence  $(-\overline{\langle \partial_x (uq) \rangle}; -\operatorname{dx}(uq))$ , and regulational moisture convergence  $(-\overline{\langle \partial_y (vq) \rangle}; -\operatorname{dy}(vq))$  over the domain (7°-22°N and 110°-150°E).

Table 1 Area-weighted mean of  $-\overline{l_v \langle \vec{V} \cdot \nabla q \rangle}$ ,  $-l_v \langle [\vec{\vec{V}}] \cdot \overline{\nabla q^*} \rangle$ ,  $-l_v \langle \overline{\vec{V^*}} \cdot [\overline{\nabla q}] \rangle$ ,  $-l_v \langle \overline{\vec{V^*}} \cdot \overline{\nabla q^*} \rangle$ , and  $-l_v \langle \overline{\vec{V'}} \cdot \overline{\nabla q'} \rangle$  in the WNP (unit: W m<sup>2</sup>)

	Observation	ECHAM_CTRL	ECHAM_SMCM
$-\overline{l_{v}\langle ec{V}\cdot  abla q angle}$	-11.77	-3.83	-7.69
$-l_{v}\langle \left[ \overline{\vec{V}}\right] \cdot \overline{\nabla q^{*}}\rangle$	-14.99	-7.43	-13.75
$-l_{v}\langle \overline{\overline{V^{*}}}\cdot\overline{[\nabla q]}\rangle$	31.42	12.64	23.71
$-l_{v}\langle \overrightarrow{\overrightarrow{V^{*}}}\cdot \overline{\nabla q^{*}}\rangle$	-25.50	-10.39	-18.60
$-l_v \langle \overrightarrow{V'} \cdot \nabla q' \rangle$	-1.56	0.14	-0.44

	Observation	ECHAM_CTRL	ECHAM_SMCM		
$-\overline{\langle \partial_x(uq) \rangle}$	7.72	4.68	7.46		
$-\langle \overline{u^*}  imes \overline{\partial_x q^*} \rangle$	0.12	0.14	0.14		
$-\langle \overline{[u]} \times \overline{\partial_x q^*} \rangle$	-0.40	-0.14	-0.30		
$-\langle \overline{[q]} \times \overline{\partial_x u^*} \rangle$	6.93	4.07	6.94		
$-\langle \overline{q^*}  imes \overline{\partial_x u^*} \rangle$	1.11	0.58	0.82		

Table 2 Area-averaged value of  $-\overline{\langle \partial_x(uq) \rangle}$ ,  $-\langle \overline{u^*} \times \overline{\partial_x q^*} \rangle$ ,  $-\langle \overline{[u]} \times \overline{\partial_x q^*} \rangle$ ,  $-\langle \overline{[q]} \times \overline{\partial_x u^*} \rangle$ , and  $-\langle \overline{q^*} \times \overline{\partial_x u^*} \rangle$  in the WNP (unit: mm day<sup>1</sup>)

33

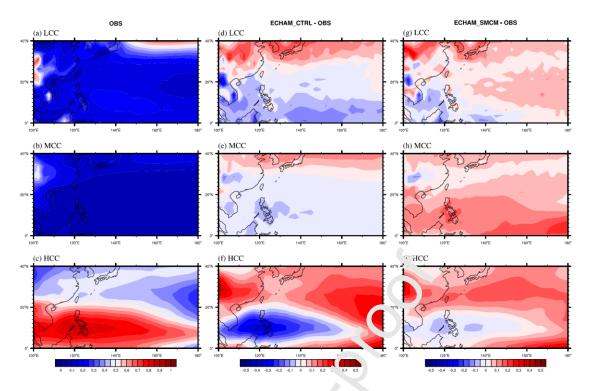


Figure 1 JJAS-mean (a, d, g) low-level, (b, c, h) middle-level, and (c, f, i) high-level cloud cover of observation (left panel) and the differences of ECHAM\_CTRL (middle panel) and ECHAM\_SMCM (right panel) relative to observation

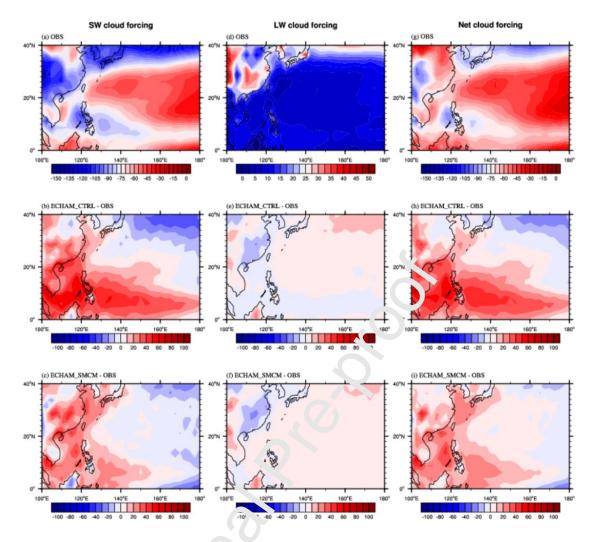


Figure 2 JJAS-mean shortwe (left panel), longwave (middle panel) and net (right panel) cloud forcing (W m<sup>2</sup>, of (a, d, g) observation and the difference between (b, e, h) ECAHM\_CTRL and (c, f, i) ECHAM\_SMCM and observation (positive is downward).

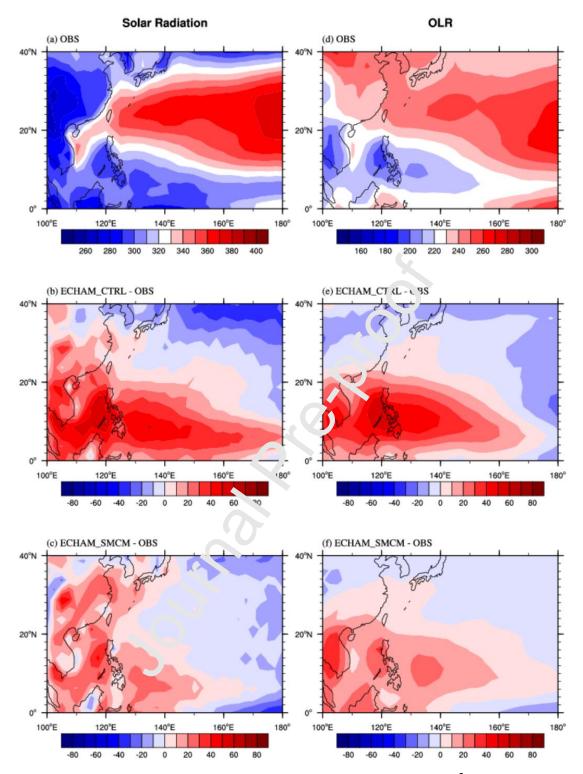


Figure 3 Same as in Fig.2 but for the shortwave radiation (W  $m^{-2}$ ; left panel) and outgoing longwave radiation (W  $m^{-2}$ ; right panel) at the top-of-the-atmosphere.

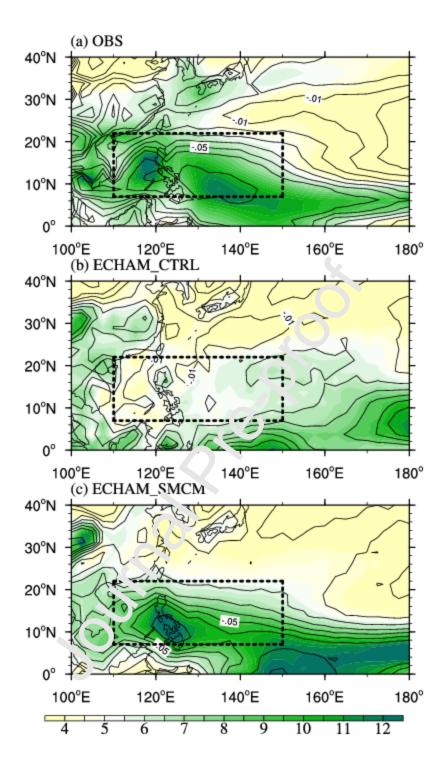


Fig. 4 JJAS-mean precipitation (shading; mm day<sup>-1</sup>) and vertical velocity (contour; Pa s<sup>-1</sup>) of (a) Observation, (b) ECHAM\_CTRL, and (c) ECHAM\_SMCM. Negative vertical velocity denotes the upward motion

## **Journal Pre-proof**

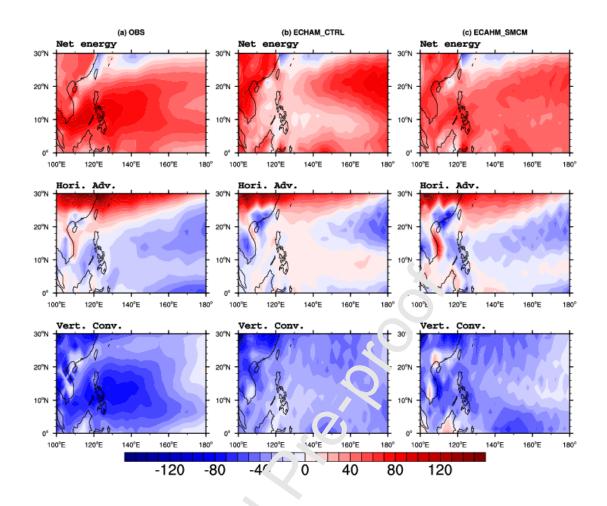


Fig. 5 JJAS mean of the net energy flux into the atmosphere ( $\overline{F_{net}}$ ; upper panel), vertical integral of horizonta' moist enthalpy advection ( $-\overline{\langle V \cdot \nabla M \rangle}$ ; middle panel), and vertical integral of vertical MSE convection ( $-\overline{\langle \omega \partial h / \partial p \rangle}$ ; lower panel) of (a) Observation, (b) ECHAN'\_CTRL, and (c) ECAHM\_SMCM. Unit is W m<sup>2</sup>

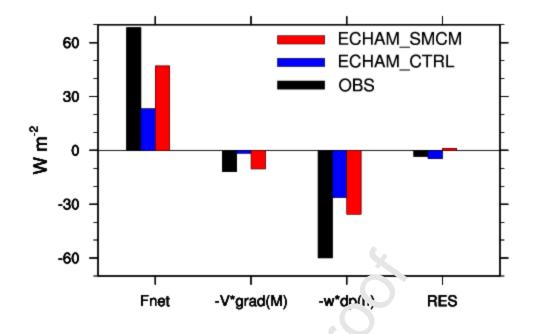


Fig. 6 Area-averaged value of the net energy flu. into the atmosphere  $(\overline{F_{net}})$ , vertical integral of horizontal moist enthalpy  $adv:c^{\dagger}c n \ (-\langle \overline{V} \cdot \nabla M \rangle)$ , vertical integral of horizontal moist static energy convect<sup>i</sup>  $J_{11} \ (-\overline{V} \partial h/\partial p \rangle)$ , and residual

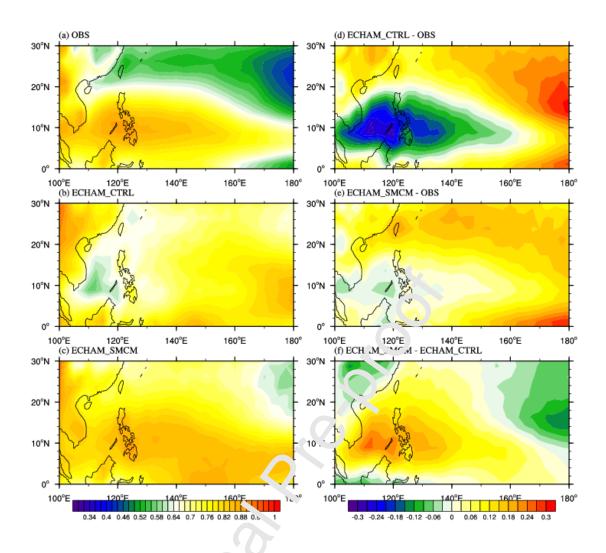


Fig. 7 JJAS-mean total c<sup>1</sup>2.1 cover of (a) observation, (b) ECHAM\_CTRL, (c) ECHAM\_SMCM, the difference between observation and (d) ECHAM\_CTRL and (e) ECHAM\_SMCM, and (f) the difference between ECHAM\_SMCM and ECHAM\_CTRL

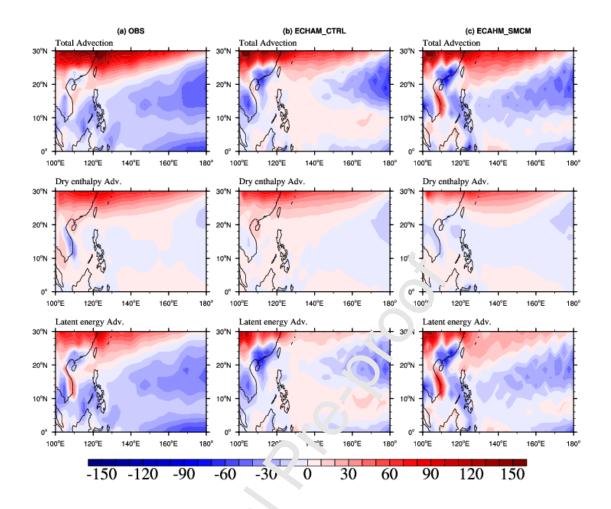


Fig. 8 JJAS mean of vertical integral of horizontal moist enthalpy advection  $(-\langle \vec{V} \cdot \nabla M \rangle)$ ; upper panel), horizonal dry enthalpy advection  $(-\overline{c_p}\langle \vec{V} \cdot \nabla T \rangle)$ ; middle panel), and latent energy advection  $(-\overline{l_v}\langle \vec{V} \cdot \nabla q \rangle)$ ; lower panel) of (a) observation, (b) ECHAM\_CTRL, and (c) ECHAM\_SMCM. Unit is W m<sup>-2</sup>

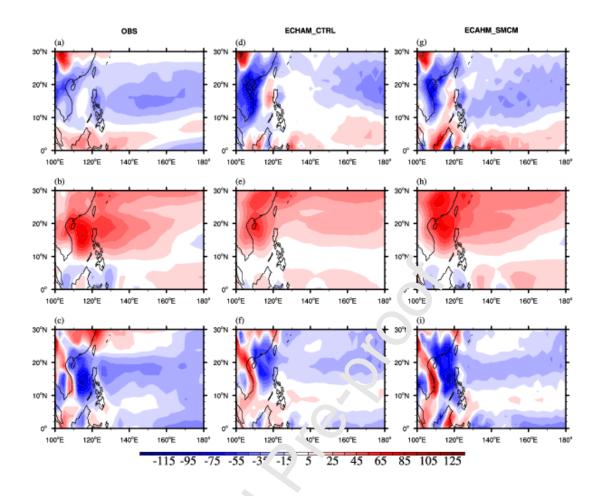


Fig. 9 JJAS mean of vertical integral of the (a, d, g) stationary eddy dry enthalpy by the zonal-mean flow  $-l_v [\overline{V}_1] \nabla q^-$ , (b, e, h) zonal-mean dry enthalpy by the stationary eddy velocity  $-l_v \overline{V}^* \cdot [\overline{\nabla} q_1]$  and (c, f, i) pure stationary eddy flux  $-l_v \overline{V}^* \cdot \overline{\nabla} q^*$  in Observation(left ran 1), CHAM\_CTRL(middle panel), and ECHAM\_SMCM (right panel)

## **Journal Pre-proof**

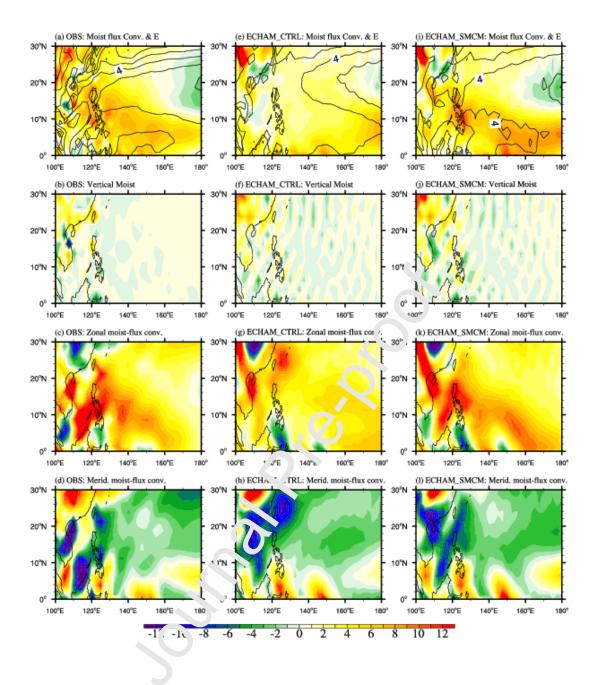


Fig. 10 JJAS mean of (a, e, i) Evaporation  $\vec{E}$  (contour; CI is 0.25 mm day<sup>-1</sup>) and the vertical integral of convergence of moist flux  $-\overline{\langle \nabla \cdot \vec{V}q \rangle}$  (shading), (b, f, j) vertical convection of moist  $-\overline{\langle \partial_p \omega q \rangle}$ , (c, g, k) zonal moisture convergence  $-\overline{\langle \partial_x (uq) \rangle}$ , and (d, h, l) meridional moisture convergence  $-\overline{\langle \partial_y (vq) \rangle}$ . Unit is mm day<sup>-1</sup>

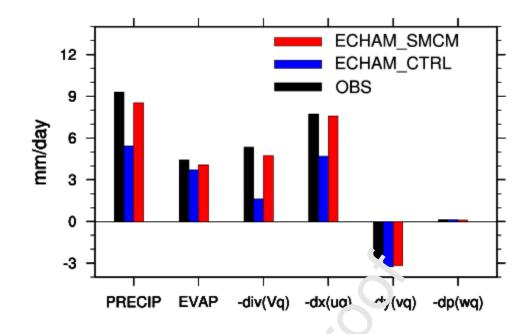


Fig. 11 The area-averaged precipitation ( $\overline{P}$ ; PR FCI), evaporation ( $\overline{E}$ ; EVAP), the vertical integral of moist-flux convergence ( $-\overline{(v v v v q)}$ ;  $-\operatorname{div}(Vq)$ ), vertical convection of moist ( $-\overline{(\partial_p \omega q)}$ ;  $-\operatorname{dp}(wq)$ ), zonal ...on ture convergence ( $-\overline{(\partial_x (uq))}$ ;  $-\operatorname{dx}(uq)$ ), and meridional moisture convergence ( $-\overline{(\partial_y (vq))}$ ;  $-\operatorname{dy}(vq)$ ) over the domain (7°-22°N and 110°-150°E)

# **Author Statement**

Manuscript title: Impacts of the Stochastic Multicloud Parameterization on the Simulation of Western North Pacific Summer Rainfall

Libin Ma: Design, analysis, and interpretation of data for the work, AND drafted the work and revised it critically for important intellectual content; AND approved the final version to be published.

Shuangyan Yang: Interpretation of data for the work; Ai 'D revised the work critically for important interection content.

#### Declaration of interests

☑ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

 $\Box$  The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

### **Journal Pre-proof**

1. Cloud cover and radiation over the western North Pacific in boreal summer are improved via incorporating the Stochastic Multicloud Model (SMCM) into ECHAM6.3;

2. Simulation of the western North Pacific summer precipitation is improved by coupling the SMCM into ECHAM6.3.