1	Contrasting relationship between
2	wintertime blocking highs over
3	Europe-Siberia and temperature
4	anomalies in the Yangtze River basin
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#### Abstract

Based on the Japanese 55-year reanalysis dataset, this study identifies 92 Europe-Siberia blocking high events (ESBs) over the 60 winters (November to March) from 1958/1959 to 2017/2018. According to the influence on the surface air temperature at 2 m over the middle and lower reaches of the Yangtze River, the ESBs are classified into three types: cold, neutral and warm. Although cold-type ESBs are dominant, the number of warm-type ESBs is not negligible. The present study mainly focuses on the differences between cold-type and warm-type ESBs.

30 Both the cold-type ESBs and the warm-type ESBs are characterized by height 31 anomalies with a northwest-southeast tilting dipole pattern over the Eurasian 32 continent in the mid- and upper troposphere. However, the tilting dipole pattern of the 33 warm type is located to the northwest of its cold-type counterpart, which reflects 34 differences in the propagation of Rossby wave packets. The Siberian high is stronger in cold-type ESBs than in warm-type ESBs. The induced advection of the 35 36 climatological mean air temperature by the anomalous meridional wind velocity in the 37 lower troposphere accounts for the largest portion of the observed tendency of the air 38 temperature for both ESB types. In addition, diabatic heating tends to counteract the 39 local cooling tendency of air temperature over the Yangtze River region for the 40 cold-type ESBs. Finally, cold-type ESBs are generally characterized by air parcels 41 originating in the region to the north and northeast of the Tibetan Plateau, while 42 warm-type ESBs are characterized by diverse trajectories.

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43 Keywords: blocking high, surface air temperature, Rossby wave propagation,
44 Siberian high

## 45 **1 Introduction**

46 A blocking high is a large-scale anomalous atmospheric phenomenon that occurs 47 over middle and high latitudes. Because blocking highs are highly persistent (e.g., 48 Knox and Hay 1984), they can cause extreme weather in the areas they directly or 49 indirectly affect. Moreover, due to the complexity of their formation and maintenance, 50 blocking highs pose a challenge for medium-range weather forecasts (Matsueda and 51 Palmer 2018). Blocking highs can be detected throughout the year, but they are more 52 persistent and more intense in the cold season (e.g., Tibaldi et al. 1994; Lupo and 53 Smith 1995; Barriopedro et al. 2006).

54 Climatologically, in boreal winter, blocking highs tend to occur over the 55 Euro-Atlantic region and the central and eastern Pacific (e.g., Dole and Gordon 1983; 56 Tibaldi and Molteni 1990; Pelly and Hoskins 2003; Schwierz et al. 2004; Barriopedro 57 et al. 2006). In addition, the frequency of blocking highs is also high around the Ural Mountains, which is usually considered the third most preferential region for the 58 59 occurrence of blocking highs (Dole and Gordon 1983; Lupo and Smith 1995; 60 Wiedenmann et al. 2002). In particular, blocking highs around the Ural Mountains 61 have been regarded as important upstream precursors of severe cold surges in East 62 Asia (Tao 1957; Takaya and Nakamura 2005b; Cheung et al. 2013). For example, the blocking frequency in the Ural Mountains in January 2008 exceeded the 95th
percentile for the period 1950–2007 (Zhou et al. 2009), and the blocking highs were
regarded as an important circulation contributor to the long-lasting and severe snow
and freezing rain that affected southern China (Tao and Wei 2008; Wen et al. 2009;
Bueh et al. 2011a). Thus, exploring the dynamical processes of blocking highs around
the Ural Mountains can improve our understanding of the variability of the surface air
temperature (SAT) anomalies over East Asia.

70 Cheung et al. (2013) showed the climatological aspects and evolutionary features 71 of the Ural-Siberia blocking high in boreal winter via thermodynamic and geostrophic 72 vorticity tendency equations. They noted that the horizontal advections of both 73 vorticity and air temperature played fundamental roles in the generation of 74 Ural-Siberia blocking highs. In their study, a Ural-Siberia blocking high refers to a blocking high centered in the interval [30°-100°E], which covers parts of Europe and 75 76 the Ural Mountains. Based on winter-mean data, Cheung et al. (2012) implied that the 77 30°-100°E region is so broad that it can obscure the seasonal influences over East 78 Asia of the blocking highs over the Ural Mountains and over Eastern Europe. 79 Moreover, Luo et al. (2016b) noted that blocking highs over different regions around 80 the Ural Mountains can exert different influences on the temperature anomalies over 81 Eurasia. Figure 7 in their paper illustrates that the Ural blocking highs are 82 accompanied by 11-day mean SAT anomalies extending from midlatitude Eurasia 83 southeastward to eastern China. Note that the 11-day mean SAT anomaly in Luo et al.

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84 (2016b) is averaged from 5 days preceding to 5 days after the peak day of the Ural blocking high. However, for eastern China or East Asia, the strongest cold anomalies 85 86 generally occur over the decay stage of Ural blocking highs (Tao 1957; Takaya and 87 Nakamura 2005b; Cheung et al. 2013). Moreover, cold surges originating from mid-88 and high latitudes over Asia usually propagate southeastward along the northeastern 89 slope of the Tibetan Plateau and can reach as far south as the middle and lower 90 reaches of the Yangtze River or even the South China Sea (Tao 1957; Ding and 91 Krishnamurti 1987). Therefore, exploring whether differences exist in the SAT 92 anomalies over eastern China after the peak days of the blocking highs over the 93 Ural-Siberia region, which constitutes the main issue of the present study, is a 94 worthwhile endeavor.

95 For an improved understanding in this regard, the different underlying dynamical 96 features of the different types of blocking highs should be identified. Many previous 97 studies have shown that upstream quasi-stationary Rossby wave packets can facilitate 98 the formation of persistent anticyclone anomalies (Nakamura 1994; Nakamura et al. 99 1997; Takaya and Nakamura, 2005a; Cheung et al. 2013; Luo et al. 2016b). The 100 present study will discuss, among other things, the differences in the propagation of 101 the Rossby wave packets during the formation of different types of blocking highs 102 over the Ural-Siberia region.

Furthermore, many studies have shown that teleconnection patterns (Horel, 1981;
Wallace and Gutzler, 1981; Barnston and Livezey, 1987) are evident in both the

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105 evolution of blocking highs over the Eurasian continent and the SAT anomalies over 106 East Asia. Luo et al. (2016b) showed that the number of Ural blocking highs that are 107 preceded by a positive North Atlantic Oscillation accounts for nearly 60% of all Ural 108 blocking highs. In addition, the Scandinavian pattern, another important wintertime 109 teleconnection pattern, generally precedes the formation of blocking highs over 110 Europe (Tyrlis and Hoskins, 2008). The Scandinavian pattern also emerged as a 111 precursory circulation pattern for the extremely persistent cold weather over southern 112 China in January 2008 (Bueh et al. 2011a; Zhou et al. 2009). Takaya and Nakamura 113 (2005b) pointed out that there are two origins of the amplification of the Siberian high, 114 i.e., the "Atlantic origin" and the "Pacific origin". The "Atlantic origin" might be 115 associated with the Eurasian pattern (Wang and Zhang, 2014), while the "Pacific 116 origin" might be associated with the West Pacific pattern. Although these studies hint 117 that blocking highs are associated with teleconnection patterns, a significance test for 118 their relationships is lacking. Thus, the present study discusses the relationship 119 between the blocking highs and several teleconnection patterns that have primary 120 anomaly centers over or around the Eurasian continent.

To explore the abovementioned issues, the remainder of this paper is organized as follows: Section 2 introduces the data and methods employed to detect the blocking highs around the Ural Mountains and diagnose their dynamical features; Section 3 describes the method used to classify those blocking highs and shows some statistical results; Section 4 presents a comparison between the different evolution and mechanisms of the two types of blocking highs, while Section 5 provides the mainconclusions of this study and further discussion.

## 128 **2 Data and Methods**

129 2.1 Data

The 6-hourly fields from the Japanese 55-year reanalysis (JRA-55) project conducted by the Japan Meteorological Agency from 1958 to 2018 (Kobayashi et al. 2015) are mainly analyzed in this study. Daily mean fields are obtained from these 6-hourly fields. This study limits the analysis to 60 years of the extended boreal winter period, i.e., from November to March of the following year. The horizontal resolution of this dataset is  $1.25^{\circ} \times 1.25^{\circ}$ .

136 This study uses meteorological variables on isobaric surfaces, including the 137 geopotential height, wind velocity, and air temperature. To measure the influence of 138 different types of blocking highs on the surface weather, the SAT, sea level pressure 139 (SLP) and surface pressure are also utilized. The monthly geopotential height at 500 140 hPa (Z500) is also used to obtain the teleconnection patterns. All of the 141 abovementioned variables are reanalysis fields based on the assimilation of observational data. In addition, the surface geopotential is used to represent large 142 143 orographic features.

144 The diabatic heating rate at 950 hPa is also used to identify the formation of 145 near-surface air temperature anomalies over the Yangtze River region. In JRA-55, the

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146 diabatic heating rate is composed of the large-scale condensation heating rate, 147 convective heating rate, vertical diffusion heating rate, solar radiative heating rate and 148 longwave radiative heating rate. The large-scale condensation heating rate represent 149 the heating effect by large-scale forced uplift, while the convective heating rate 150 represent the heating effect by cumulus convection. The vertical diffusion heating rate 151 represents the contribution from the turbulent transport of heat in the planetary 152 boundary layer. The longwave radiative heating rate and the solar heating rate are the 153 diabatic heating fields associated with radiation. In contrast to the two 154 abovementioned reanalysis fields, the five diabatic heating fields are diagnostic fields. 155 The parameterization methods for deriving the five diabatic heating rates are described by Kobayashi et al. (2015), and more details can be found in an online 156 157 document

158 (https://www.jma.go.jp/jma/jma-eng/jma-center/nwp/outline2013-nwp/index.htm).

159 2.2 Methods

160 2.2.1 Data Processing

161 The methods utilized to obtain the anomaly field are the same as those employed 162 in Nakamura et al. (1997). The local anomaly of a given variable on a particular day is 163 defined as its departure from the local value of the climatological mean annual cycle 164 for the corresponding calendar date. The climatological mean annual cycle is defined 165 as the 31-day running mean of the 60-year climatological mean daily fields. The purpose of applying the 31-day running mean to the 60-year climatological meandaily fields is to further minimize the day-to-day variability.

168 2.2.2 Definition of Blocking High

169 The blocking identification method of Tibaldi and Molteni (1990) is used to 170 detect blocking highs around the Ural Mountains. First, the instantaneous local 171 blocking index is calculated at every longitude,

172 
$$\begin{cases} \text{GHGN} = \frac{Z(\phi_n) - Z(\phi_0)}{\phi_n - \phi_0} \\ \text{GHGS} = \frac{Z(\phi_0) - Z(\phi_s)}{\phi_0 - \phi_s} \end{cases}$$
(1)

173 where Z is the daily geopotential height at 500 hPa, GHGN refers to the meridional 174 gradients to the north of a chosen reference latitude  $\phi_0$ , while GHGS refers to the 175 meridional gradients to the south. Here,  $\phi_n = 80^\circ \text{N} + \Delta$ ,  $\phi_0 = 60^\circ \text{N} + \Delta$ , and  $\phi_s = 40^\circ \text{N} + \Delta$ , where  $\Delta$  is a variable whose value is set from -5° to +5° with an interval of 1.25° 176 177 instead of  $\Delta = 0^{\circ}, \pm 4^{\circ}$  in the original index because the JRA data has a horizontal 178 resolution of 1.25°. An instantaneous local blocking is considered to occur if GHGN< 179 -10 m/degree and GHGS>0 for at least one value of  $\Delta$ . Then, a blocking high event 180 is considered to occur around the Ural Mountains if the instantaneous local blocking 181 occurs over at least 15 consecutive degrees longitude within the longitudinal sector 182 from 30°E to 100°E and persists for at least 4 days. The region from 30°E to 100°E is approximately centered on the longitude (60°E) of the Ural Mountains. The 183

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longitudinal sector with consecutive blocking occurrence is referred to as the blocking region in which the primary anticyclonic anomaly center is searched.

As proposed by Barriopedro et al. (2010), a blocking high flow is characterized 186 187 not only by a gradient-reversed configuration, which is the basic idea for defining a 188 blocking flow in Tibaldi and Molteni (1990) and Pelly and Hoskins (2003), but also 189 by persistent height anomalies (Dole and Gordon 1983). Accordingly, we include an 190 additional constraint requiring the amplitude of the geopotential height anomaly at 191 500 hPa at the primary anomaly center to exceed 5 gpdm. For a particular blocking 192 high event on a particular day, the location of the primary anomaly center is identified 193 as the grid point with the maximum height anomaly within the blocking region 194 bounded by 55°N and 80°N. Accordingly, all of these blocking highs are referred to as 195 Europe-Siberia blocking highs (ESBs). We choose to define the ESB peak day as the 196 day with the largest anomaly height in the primary anomaly center. In sections 3 and 4, 197 the peak day is regarded as the reference day for the composite analysis. Moreover, 198 day 0 refers to the peak day, and day N (-N) refers to N days after (before) the peak 199 day.

200 2.2.3 Significance Test

The statistical significance of the ESB composite anomalies is tested based on two-tailed Student's *t* tests at each grid point. As noted by Wilks (2016), the false discovery rate (FDR) should be controlled in multiple hypothesis tests in the case of over-optimistic significance results. Following Wilks (2016), the threshold value for

205 the significance level,  $p_{FDR}^*$ , is determined based on the distribution of ascending 206 sorted *p* values:

207 
$$p_{\text{FDR}}^* = \max_{i=1,...,N} [p_{(i)} \le (i/N)\alpha_{\text{FDR}}]$$
 (2)

where  $p_{(i)}$  is the *i*-th smallest *p* value of all *p* values evaluated at each grid point of a composite map, *N* is the total number of grid points, and the control level for the FDR,  $\alpha_{FDR}$ , is set to 0.05 throughout our study. After applying the FDR procedure, the proportion of falsely rejected null hypotheses is effectively controlled. In the following, the  $p_{FDR}^*$  value is indicated in the relevant figure captions.

#### 213 2.2.4 Thermodynamic Energy Equation at the Lower Troposphere

The thermodynamic energy equation (Holton 2004, their equation 2.42) is employed to diagnose the formation of air temperature anomalies in the lower troposphere over the Yangtze River region. Every term can be represented as the sum of its local anomaly and the climatological mean. After removing the terms describing the climatological mean state, Eq. (3) gives the tendency equation for the anomalous air temperature T:

220 
$$\frac{\partial T'}{\partial t} = \underbrace{-(u\frac{\partial T}{\partial x})'}_{\text{obs}} \underbrace{-(v\frac{\partial T}{\partial x})'}_{\text{x_adv}} \underbrace{-(v\frac{\partial T}{\partial x})'}_{\text{y_adv}} \underbrace{-(\omega\frac{\partial T}{\partial p})' + \frac{R}{C_p p}(T\omega)'}_{\text{z_mot}} + \frac{Q'}{C_p}$$
(3)

where prime indicates the local anomaly. The terms u, v, and  $\omega$  represent the velocity on isobaric surfaces. The units of u and v are m/s, and the unit of  $\omega$  is Pa/s.  $C_p$  is the specific heat at constant pressure, R is the gas constant of dry air, and Q is the rate of

224 diabatic heating per unit mass. The terms of Eq. (3) from left to right represent (I) the observed tendency of the air temperature anomaly, (II) the advection in the zonal 225 226 direction and (III) that in the meridional direction, (IV) the contribution from the 227 vertical motions and (V) the diabatic heating; these terms are denoted obs, x\_adv, 228 y\_adv, z\_mot, and dia, respectively, in the corresponding analyses in Section 4.2. 229 Note that the 6-hourly fields are used to evaluate each term in Eq. (3). To be 230 consistent with the analysis in Sections 4.1 and 4.4, which are based on daily mean 231 fields, the obtained 6-hourly terms in Eq. (3) are finally daily averaged.

232 Note that the discretized thermodynamic equation of Eq. (3) is not closed due to 233 various errors in the budget analysis (including temporal and spatial discretization 234 errors, imperfect descriptions of both the real state of the atmosphere and the real 235 diabatic heating fields by the JRA-55 datasets, etc.). To evaluate the uncertainty of our 236 analysis due to the errors, the residual term is also calculated as the difference 237 between the "obs" term on the left-hand side of Eq. (3) and the sum of the four terms 238 on the right-hand side of Eq. (3). Thus, the residual term includes the sum of the 239 various errors. In Section 4.2, the potential influences of the errors are discussed.

#### 240 2.2.5 Trajectory Analysis

The backward trajectories of air parcels in the lower troposphere over the Yangtze River region are analyzed with the tool developed by Wernli and Davies (1997). The method can be expressed using the following equation:

244 
$$\begin{cases} r^{*_{n-1}} = r^n - U(r^n)\Delta t \\ U^* = [U(r^n) + U(r^{*_{n-1}})]/2 \end{cases}$$
(4)

245 where  $r^n$  represents the three-dimensional location vector of an air parcel at the *n*-th timestep,  $r^{*n-1}$  represents the potential location vector at the previous timestep, U 246 247 represents the three-dimensional wind velocity at the regular longitude/latitude grids, and  $U^*$  represents the adjusted mean wind. The wind velocity at a particular grid 248 between the regular grids, which is denoted by  $U(r^{*n-1})$ , is obtained by bilinear 249 250 interpolation in both the horizontal and vertical directions. The 6-hourly fields are 251 used to calculate the trajectories of air parcels, and  $\Delta t$  is accordingly set to 21600 s. 252 The location vector at the (n-1)-th timestep is finally obtained by 100 iterations 253 performed on Eq. (4). The backward tracing is terminated if the air parcel is found to 254 exist underground, which is determined when the value of the pressure surface at 255 which the air parcel is located is larger than the local surface pressure.

Following the method of Zschenderlein et al. (2018), we also analyze the evolution of the temperature and the potential temperature of a particular air parcel along its trajectory. If the potential temperature varies slightly while the temperature varies considerably, the adiabatic process is inferred to be dominant during the movement of the air parcel. In contrast, the diabatic process is inferred to dominate if the potential temperature varies greatly.

262 2.2.6 Wave-Activity Flux

The wave-activity flux formulation derived by Takaya and Nakamura (1997, 2001) is used. The flux is independent of the wave phase without any temporal or zonal averaging and is parallel to the local group velocity of stationary Rossby wave packets in the Wentzel–Kramers–Brillouin sense. Thus, this flux is suitable for diagnosing the propagation of the low-frequency Rossby wave packets associated with ESBs on a zonally varying basic flow. The flux on the isobaric surface is expressed as follows:

270 
$$W = \frac{p}{2000|U|} \left[ \begin{bmatrix} u \left( v'^2 - \psi' v'_x \right) + v \left( -u'v' + \psi' u'_x \right) \end{bmatrix} \vec{i} + \\ \begin{bmatrix} u \left( -u'v' + \psi' u'_x \right) + v \left( u'^2 + \psi' u'_y \right) \end{bmatrix} \vec{j} + \\ \left\{ \frac{f_0 R_a}{N^2 H_0} \begin{bmatrix} u \left( v'T' - \psi'T'_x \right) + v \left( -u'T' - \psi'T'_y \right) \end{bmatrix} \right\} \vec{k} \end{bmatrix}$$
(5)

271 where the prime symbol denotes a low-frequency perturbation and U = (u, v) is the 272 horizontal basic flow velocity. The low-frequency circulation is obtained by applying 273 the Lanczos filter (Duchon 1979) with a cut-off frequency of 8 days. In this study, the 274 low-frequency perturbations in Eq. (5) are obtained by the composites of the daily 275 low-frequency anomalies associated with ESBs, while the basic flow U is represented 276 by the climatological mean annual cycle, which has been described in Section 2.2.1. The subscripts indicate partial differentiation, whereas the other notations in Eq. (5) 277 278 are standard.

279 2.2.7 Teleconnection Pattern Indices

280 The teleconnection patterns are first obtained through rotated principal 281 component analysis as in Barnston and Livezey (1987) but with minor modifications. 282 A brief description is provided here. First, the ten leading unrotated empirical 283 orthogonal functions are determined from the standardized monthly Z500 height 284 anomalies in the 20-90°N region with a temporal sequence of 60 years×5 months/year 285 =300 months. Then, the ten leading rotated modes are obtained by applying the Kaiser 286 varimax rotation to the ten unrotated modes. Excluding a spurious mode that has not 287 been documented by previous studies and seems to have no apparent physical 288 meaning, nine evident teleconnection patterns are recognized: North Atlantic Oscillation, polar/Eurasia (or Northern Asia pattern in Barnston and Livezey (1987)), 289 West Pacific, Pacific/North American, East Atlantic/West Russia, East Atlantic, 290 291 tropical/Northern Hemisphere, East Pacific-North Pacific and Scandinavian patterns. 292 The Scandinavian pattern was referred to as the Eurasia-1 pattern, and the East 293 Atlantic/West Russia pattern was referred to as the Eurasia-2 pattern by Barnston and 294 Livezey (1987). The present study will mainly discuss the following six patterns, 295 North Atlantic Oscillation, polar/Eurasia, West Pacific, East Atlantic/West Russia, 296 East Atlantic and Scandinavian patterns, all of which have their primary centers of 297 action around the Eurasian continent.

The daily indices of the six teleconnection patterns are obtained by projecting the standardized daily Z500 anomalies to the teleconnection patterns, which is similar to the method used by Baldwin and Dunkerton (2001) to calculate the daily Arctic

301 Oscillation index (although the nonstandardized anomalies are utilized there). The 302 daily index for each of the six teleconnection patterns is further normalized locally by 303 its standard deviation over 31 days/year×60 years =1860 days, where the annual 304 31-day sequence is centered on that calendar day.

## 305 **3 Classification of ESBs**

306 According to the detection method described in Section 2.2.2, 92 ESBs are 307 detected during the 60 boreal winters. The 7-day averaged composite results of the 92 308 ESBs are shown in Figure 1. The height anomalies at 500 hPa are averaged from day 309 -3 to day 3 (Figure 1a). Significant anticyclonic height anomalies occur over 310 northwestern Eurasia with a primary center at approximately [55°E, 65°N], while 311 significant cyclonic height anomalies are mainly located to the southeast and 312 southwest of the anticyclonic height anomalies. This spatial pattern is similar to that 313 of the Ural-Siberia blocking highs on the peak day in Cheung et al. (2013). To show 314 the influence of ESBs on the SAT during their decay stage, the composite SAT 315 anomalies (Figure 1b) are averaged from day 1 to day 7. Warm SAT anomalies occur 316 over the polar region, while cold anomalies occur over the middle latitudes of Eurasia; this phenomenon is sometimes referred to as the "warm Arctic-cold continent 317 318 (Eurasia)" pattern (Overland et al. 2011; Luo et al. 2016a). This temperature pattern 319 describes the out-of-phase relationship between the SAT anomalies over the Arctic 320 and those over the midlatitude Eurasian continent. Significant midlatitude negative

SAT anomalies also extend southeastward along the northeastern slope of the Tibetan
Plateau, reaching as far as the Yangtze River region ([106.25–118.75°E, 25–32.5°N],
green rectangle in Figure 1b). Therefore, the overall relationships between the
upstream blocking highs and the SAT over the Yangtze River region are basically
consistent with those observed in previous studies (Tao 1957; Takaya and Nakamura
2005b; Cheung et al. 2013).

327 To further explore the subsequent influence of ESBs, Figure 2 shows the SAT 328 anomalies averaged over the area of the Yangtze River after the peak days of the 92 329 ESBs. Evidently, many ESBs are followed by negative SAT anomalies, which is 330 consistent with both the results of early studies on ESBs (Tao 1957; Takaya and 331 Nakamura 2005b) and the results shown in Figure 1. However, for some of the ESBs, 332 the Yangtze River region is dominated by positive SAT anomalies. This phenomenon 333 inspires us to classify the ESBs according to the mean values of the SAT anomalies 334 over the Yangtze River region after the peak days of the ESBs.

Here, the area-averaged SAT anomalies are averaged from day 3 to day 4, during which the SAT anomalies display a relatively large spread. An ESB event is regarded as a warm type if the 2-day mean SAT anomaly is higher than 1°C or a cold type if the 2-day mean SAT anomaly is lower than -1°C. The remaining ESBs are regarded as the neutral type. Finally, the 92 ESBs are classified into 54 cold-type events, 14 neutral-type events and 24 warm-type events. Clearly, the cold type is dominant, but the number of warm-type events is not negligible, accounting for approximately 26% of all ESBs. The difference in the composite SAT anomalies between the two types of
ESBs (shown by the thick lines in Figure 2) is most evident from day 3 to day 4,
which is consistent with our choice of this particular 2-day period to classify the
ESBs.

With regard to the ESBs of the same type, evident case-to-case variability occurs in the SAT anomalies over the Yangtze River region (Figure 2). This case-to-case variability in the SAT can be explained by the distinct anomalies of the circulation features associated with, for example, the Siberian high, the East Asia trough and/or the subtropical jet. In addition, the variability in the shape and magnitude of ESBs can contribute to the SAT variability as well. A discussion of the case-to-case variability of the SAT anomalies is beyond the scope of the present study.

Figure 3 shows the spatial distribution of the anomaly centers at the peak days for the three types of ESBs. The thick red, black and blue circles with crosses indicate the mean positions of the 54 cold-type, 14 neutral-type and 24 warm-type ESBs, respectively. Clearly, the warm-type ESBs tend to occur to the northwest of the cold-type ESBs, while the neutral-type ESBs tend to occur in between. In the following section, a comparison analysis between the cold and warm types of ESBs is performed.

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## 360 **4 ESB Comparison Analysis**

#### 361 4.1 SLP and SAT Anomalies

The Siberian high represents the dominant weather system for the Eurasian continent near the surface in boreal winter, and it generally induces cold air outbreaks southeastward along the leeward slopes of the Tibetan Plateau (Tao 1957; Ding 1990). Climatologically, the northerlies associated with the Siberian high prevail across the region to the south of 40°N over East Asia and the Yangtze River region is subject to the influence of the anticyclonic high system (Figure 4).

368 Figure 5 shows the composite SLP anomalies, while Figure 6 shows the composite SAT anomalies. For the cold-type ESBs, on day -2 (Figure 5a), significant 369 370 positive SLP anomalies appear over Eurasia with the primary center near the Ural 371 Mountains. Because the center of the climatological mean Siberian high is located 372 between Lake Balkhash and Lake Baikal (Figure 4), the positive anomalies shown in 373 Figure 5a indicate that the Siberian high is amplified mainly in its northwestern 374 portion. Correspondingly, a northwest-southeast tilting dipole pattern of SAT 375 anomalies emerges on day -2 with significant positive anomalies centered over the 376 Barents Sea and significant negative anomalies centered over Lake Balkhash (Figure 6a), resembling the "warm Arctic-cold Eurasian" pattern to some extent. Note that 377 378 significant negative SAT anomalies cover the region around Lake Baikal. Takaya and 379 Nakamura (2005b) revealed that the preexisting negative SAT anomalies around Lake

380 Baikal contribute to the amplified Siberian high through their vertical interaction with upper-level wave train anomalies. The positive SLP anomalies are enhanced on day 0 381 382 (Figure 5b). Correspondingly, the dipole SAT anomalies are enhanced, and the 383 majority of Asia is influenced by significant negative SAT anomalies (Figure 6b). On 384 day 2, although the majority of the positive SLP anomalies still persist over the Ural 385 Mountains, the central amplitude is weakened to approximately 18 hPa (Figure 5c). 386 The positive SLP anomalies gradually extend southeastward along the northeastern 387 slope of the Tibetan Plateau until day 6 (Figures 5c-5e). Correspondingly, the 388 negative SAT anomalies gradually propagate southeastward along the northeastern 389 slope of the Tibetan Plateau and persistently influence the Yangtze River region 390 (Figures 6c-6e). After day 6 (not shown), the positive SLP anomalies gradually 391 weaken and become nonsignificant, and the negative SAT anomalies over the Yangtze 392 River region gradually weaken accordingly.

393 In contrast, for the warm-type ESBs, the Siberian high displays different features. 394 Positive SLP anomalies occur on day -2 (Figure 5f) but are mainly limited to the 395 region west of 75°E, with primary centers around the Scandinavian Peninsula, 396 contributing to the formation of the significant positive SAT anomalies centered over 397 the Barents-Kara Sea (Figure 6f). Negative SLP anomalies emerge over the region 398 east of 75°E and become significant on day 0 with two primary centers around Lake 399 Baikal (Figure 5g), reflecting the weakening of the Siberian high in the vicinity of its 400 central portion. After day 0, these significant negative SLP anomalies gradually

401 propagate southward and affect the Yangtze River region approximately from day 2 to
402 day 4 (Figures 5h and 5i). Consequently, the Yangtze River region is influenced by
403 significant persistent positive SAT anomalies from approximately day 2 to day 4
404 (Figures 6h and 6i), with a maximum central magnitude of approximately +4.5°C on
405 day 4 (Figure 6i). On day 6, neither the SLP anomaly nor the SAT anomaly over the
406 Yangtze River region are significant (Figures 5j and 6j).

407 Therefore, the cold-type ESBs are characterized by an anomalous Siberian high 408 that mainly exhibits enhancement in its northwestern portion, while the warm-type 409 ESBs are associated with an anomalous Siberian high that mainly shows weakening in 410 its central portion. Both the positive and negative SLP anomalies associated with the 411 anomalous Siberian high tend to propagate along the northeastern slope of the Tibetan 412 Plateau. This result is consistent with the findings of Hsu (1987), who uncovered the anticyclonic propagation features of the SLP anomalies around the Tibetan Plateau 413 414 based on lead-lag linear correlation analysis. In accordance with the evolution of the 415 SLP anomalies, the SAT anomalies for both types of ESBs also propagate along the 416 northeastern slope of the Tibetan Plateau.

#### 417 4.2 Analysis on the Formation of Air Temperature Anomalies

To explore how the anomalous Siberian high induces the air temperature anomaly over the Yangtze River region, Figures 7a and 7e show the contributions from each term on the right hand side of Eq. (3), based on the composite fields and area-averaging over the Yangtze River region. Since the influence of the Siberian high

422 is mainly confined to the lower troposphere, the 950 hPa level is chosen as the423 reference level at which all terms in Eq. (3) are evaluated.

424 For the cold type (Figure 7a), the tendency of the observed air temperature 425 anomalies (thick black line) is negative overall before day 4, corresponding to the 426 gradual enhancement of the negative SAT anomalies over the Yangtze River region 427 (Figures 6a-6d). The tendency reaches the maximum on day 1 and becomes 428 significant on day 1 and day 2. After day 4, the observed tendency becomes positive, 429 indicating the weakening of the negative anomalies (Figure 6e). After decomposing 430 the observed tendency, we find that the anomalous meridional temperature advection 431  $-(v\partial T/\partial y)'$  (thick dashed red line in Figure 7a) is the primary contributor, which is significant after day -1. If  $-(v\partial T / \partial y)'$  is 432 further decomposed into  $-(\overline{v}\partial T'/\partial y + v'\partial \overline{T}/\partial y) - (v'\partial T'/\partial y - \overline{v'\partial T'/\partial y})$ , where 433 the bar represents the climatological mean, the contribution of  $-(v\partial T / \partial y)'$  mainly comes from that of 434  $-v'\partial \overline{T}/\partial y$  (thin dashed red line in Figure 7a), which is significant after day 1. Thus, 435 436 the anomalous meridional velocity v' associated with the anomalous Siberian high 437 advects the climatological mean temperature southward, mainly explaining the 438 formation of the negative temperature anomaly over the Yangtze River region for the 439 cold-type ESBs. The diabatic heating term (solid blue line) is also significant after day -5 but mainly counteracts the cooling tendency over the Yangtze River region. The 440 441 significant diabatic term mainly arises from longwave radiative heating and vertical 442 diffusion heating (dashed blue line and dashed red line in Figure 7b). The remaining

adiabatic terms are marginal, although the zonal advection term is significant on day
-3 (dashed yellow line) and the vertical motion term is significant on day -5 and from
day -2 to day 2 (dashed sky blue line).

446 The warm-type ESBs show a similar situation but with the opposite signs (Figure 447 7e). Specifically, the tendency of the observed air temperature anomalies (thick solid black line) becomes positive from day -3 to day 3. During this warming period, 448 449 especially from day -2 to day 3, the term  $-v'\partial \overline{T}/\partial y$  (thin dashed red line) is still the 450 main contributor to the observed tendency of the air temperature, similar to its 451 counterpart in the cold-type ESBs (Figure 7a). Both the zonal advection (dashed 452 yellow line) term and the vertical motions term (dashed sky blue line) are 453 significantly negative from approximately day 3 to day 5, contributing to the observed 454 cooling tendency. Due to their relatively small amplitudes, the terms associated with 455 the vertical motions are marginal in both the cold-type ESBs (Figure 7a) and the 456 warm-type ESBs (Figure 7e), and we can also infer that the katabatic winds from the 457 Tibetan Plateau and the subsidence in plains are not the primary contributors to the 458 formation of the temperature anomalies over the Yangtze River region. The diabatic 459 heating term is not significant (solid blue line in Figure 7e and Figure 7f) in the 460 warm-type ESBs, which is different from the situation in the cold-type ESBs. This an asymmetric feature in the diabatic heating term deserves future study. 461

462 As seen in Figure 7e, the residual term seems to be not negligible for the 463 warm-type ESBs, implying uncertainty in our budget analysis. As has been revealed,

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the meridional temperature advection terms, especially the term  $-v'\partial \overline{T}/\partial y$ , are the 464 most important for the formation of the air temperature over the Yangtze River region. 465 Thus, it is meaningful to check whether  $-v'\partial \overline{T}/\partial y$  retains this importance if the errors 466 467 are added. We assume that all the errors or the residual term are totally generated by 468  $-v'\partial \overline{T}/\partial y$  while other terms are represented perfectly. Clearly, this approach artificially maximizes the errors caused by  $-v'\partial \overline{T}/\partial y$  while eliminating the residual 469 470 term. Our results show that, after having been adjusted by adding the residual term, the reduced  $-v'\partial \overline{T}/\partial y$  still predominates over other terms, although it is significant 471 472 only on day 1 (not shown). Thus, meridional temperature advection, especially  $-v'\partial \overline{T}/\partial y$ , is an important contributor to the formation of air temperature anomalies 473 474 over the Yangtze River region for both types of ESBs.

To further check whether large case-to-case variability in  $-v'\partial \overline{T}/\partial y$  occurs 475 among the ESBs, Figure 7c shows the SLP anomaly, and Figure 7d shows  $-v'\partial \overline{T}/\partial y$ 476 at 950 hPa for each of the 54 cold-type ESBs. Clearly, the majority of the 54 477 cold-type ESBs are accompanied by a positive SLP anomaly over the Yangtze River 478 region after day 2 and by a negative value of  $-v'\partial \overline{T}/\partial y$  after day 1, which could be 479 480 inferred from the significant results indicated by the red circles. A similar situation 481 occurs for the warm-type ESBs but with the opposite sign from day 1 to day 3 482 (Figures 7g and 7h).

483 Therefore, the formation of the SAT anomalies over the Yangtze River region is 484 mainly induced by the meridional advection of the climatological mean air

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temperature by the meridional wind velocity anomaly associated with the anomalous
Siberian high. In addition, diabatic heating tends to counteract the local cooling
tendency of air temperature over the Yangtze River region for cold-type ESBs.

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### 4.3 Backward Trajectories

489 This section analyzes the differences in the trajectories of air parcels between the cold-type and warm-type ESBs, and qualitatively discusses whether diabatic or 490 491 adiabatic processes are dominant during the movement of air parcels. Here, the 5-day backward trajectories are traced beginning on day 4 of each event at the reference grid 492 493 point [115°E, 28.75°N] at the 950 hPa pressure level. Clearly, the grid point is close to 494 the central grid point of the Yangtze River region. According to Eq. (4), the trajectory 495 is derived from the total fields. Thus, in this section, we adopt a different point of 496 view in exploring the formation of the SAT anomalies over the Yangtze River region 497 from Section 4.2, which focuses on the local anomalous circulation.

498 As shown in Figure 8a for the 54 cold-type ESBs, the air parcels usually 499 originate from the northern and northeastern Tibetan Plateau, as indicated by the filled 500 blue circles. The parcels generally propagate along the northeastern slope of the 501 Tibetan Plateau before they arrive at the Yangtze River region, exhibiting anticyclonic 502 trajectories. Such consistent features among these trajectories could be attributable to 503 the enhanced Siberian high because East Asia is still directly influenced by the 504 enhanced Siberian high, which could regulate the trajectories of the air parcels. The 505 red line in Figure 8a is the trajectory derived from the composite wind field associated

with the 54 cold-type ESBs. Clearly, an anticyclonic trajectory is exhibited to the east
of the Tibetan Plateau and corresponds to the representative trajectory for the
cold-type ESBs.

509 For the 24 warm-type ESBs (Figure 8b), in contrast, the air parcels generally 510 originate from the mid- and lower latitudes of East Asia and show diverse trajectories. 511 Such diverse trajectories might be associated with the weakened Siberian high. Once 512 the Siberian high is weakened over the Yangtze River region for the warm-type ESBs 513 (Figures 5g-5i), the perturbations at mid- and lower latitudes might be more 514 influential around the Yangtze River region, which might induce the diverse 515 trajectories of the air parcels. Therefore, the trajectory derived from the composite 516 wind velocity field for the 24 warm-type ESBs (red line in Figure 8b) cannot be 517 regarded as the representative trajectory for the warm-type ESBs.

518 Figure 8c displays the air temperature-potential temperature diagram for the air 519 parcels during their movement for the cold-type ESBs. Overall, the polylines that 520 connect the origins (blue circles) to the reference points (blue asterisks) tend to extend 521 more along the Y-axis than along the X-axis. This pattern could be easily inferred 522 from the trajectory derived from the composite field for the cold-type ESBs (red line 523 in Figure 8c). In other words, the changes in the air temperature tend to be larger than 524 the changes in the potential temperature during the movement of the air parcels 525 associated with the 54 cold-type ESBs. This result indicates that adiabatic processes

are more important during the movement of air parcels associated with cold-typeESBs.

For the 24 warm-type ESBs, consistent with the diverse trajectories of the air parcels, the variations in the temperature and the potential temperature also show large case-to-case variability (Figure 8d). Some cases are characterized by a primarily diabatic process, while others are characterized by a primarily adiabatic process. The thick red line in Figure 8d, which is obtained from the composite field, can hardly be representative of the overall situation for warm-type ESBs.

#### 534 4.4 Low-frequency Rossby Wave Propagation

535 In the upper troposphere (300 hPa) on day -4 for the cold-type ESBs (Figure 9a), significant negative height anomalies occur over Western Europe, while positive 536 537 height anomalies are anchored around the Kara Sea and Taymyr Peninsula. Indeed, 538 this circulation pattern emerges on day -5, and no significant circulation anomaly can 539 be observed farther upstream (not shown). The stationary Rossby wave packets from 540 Western Europe propagate northeastward to the Ural Mountains and are then reflected 541 southeastward, after which they finally converge around Lake Baikal. Corresponding 542 to the downstream dispersion of wave energy along the arc-shaped path, from day -2 543 (Figure 9b) to day 0 (Figure 9c), the cyclonic anomalies over Western Europe 544 gradually weaken, while both the anticyclonic anomalies centered over the Ural 545 Mountains and the cyclonic anomalies to the southwest of Lake Baikal gradually 546 strengthen. The anticyclonic anomalies centered over the Ural Mountains have the

547 strongest amplitude among the wave train anomalies over the Eurasian continent. 548 Some studies have emphasized the role of upstream cyclogenesis in the enhancement 549 of blocking highs through the poleward advection of anticyclonic vorticity and warm 550 air (Colucci 1985; Cheung et al. 2013); this finding is also implied here by the 551 cyclonic anomalies over Western Europe (Figures 9a and 9b). On the other hand, from a potential vorticity-inversion perspective, the enhanced anticyclonic anomalies might 552 553 also be associated with the local coupling between the upper-level anticyclonic 554 anomalies and the negative SAT anomalies around Lake Baikal (Takaya and 555 Nakamura 2005b). After day 0 (Figures 9d and 9e), the height anomalies gradually 556 weaken. However, the wave-activity fluxes mainly emanate from the anticyclonic 557 anomalies over the Ural Mountains and converge over the region from Central Asia to 558 East Asia. Correspondingly, the cyclonic anomalies over midlatitude East Asia 559 weaken at a much more gradual rate than the anticyclonic anomalies over the Ural 560 Mountains (Figures 9e). During the evolution of the cold-type ESBs, the dipole of 561 geopotential height anomalies tilts over the Eurasian continent in the 562 northwest-southeast direction, which is a typical feature of persistent cold events over 563 China (Bueh et al. 2011a; Bueh et al. 2011b) and midlatitude Asia (Shi et al. 2019). 564 For the warm-type ESBs, on day -4 (Figure 9f), significant cyclonic anomalies around southern Greenland can be traced back to as far as day -6 (not shown). Rossby 565

566 wave packets emanate from these cyclonic anomalies and propagate eastward,

567 together with the northward propagation of Rossby wave packets from Eastern

568 Europe, contributing to the enhancement of the anticyclonic anomalies centered 569 around the northern Scandinavian Peninsula and Barents Sea from day -4 (Figure 9f) 570 to day 0 (Figure 9h). Therefore, this finding is basically consistent with the finding of 571 Tyrlis and Hoskins (2008) that the onset of blocking highs over Europe is generally 572 preceded by the existence of cyclonic anomalies to the west of Greenland. Although 573 the zonal extents of the primary anticyclonic anomalies are comparable between the 574 two types of ESBs on day 0, i.e., 90°, the center of the primary anticyclonic height 575 anomaly associated with the warm-type ESBs is located slightly northwest of its 576 counterpart. Such differences in the locations of these primary anticyclonic anomaly 577 centers for the two types of ESBs might be related to the different preexisting 578 circulation anomalies located upstream and the different propagation patterns of the 579 associated Rossby waves. After day 0 (Figures 9h-9j), the wave-activity fluxes begin 580 to diverge around the Ural Mountains and propagate both eastward and southeastward. 581 The convergence of wave-activity flux is favorable for the enhancement and 582 maintenance of the downstream cyclonic anomalies around Lake Baikal and the 583 Caspian Sea. Thus, consistent with the northwest displacement of the primary 584 anticyclonic height anomaly of the warm-type ESBs, the cyclonic anomaly around 585 Lake Baikal is also located to the northwest of its cold-type ESBs counterpart.

Joung and Hitchman (1982) and Takaya and Nakamura (2005a) revealed that cold air outbreaks or cold anomalies over East Asia are accompanied by wave trains across the Eurasian continent. The wave train anomalies mainly show a barotropic

589 structure across most of Eurasia but become baroclinic when they approach the coast 590 of East Asia. Inspired by their studies, we also analyze the vertical structures of the 591 primary height anomalies over the Eurasian continent for both types of ESBs (not 592 shown). Our results are basically consistent with those of early studies (Joung and 593 Hitchman1982; Takaya and Nakamura 2005a) insomuch that both types of ESBs generally demonstrate a barotropic structure across most of Eurasia, which pertains to 594 595 the evident horizontal propagation of Rossby waves in the troposphere. Moreover, the 596 baroclinic structure of the wave trains over East Asia is also evident for both the 597 cold-type and warm-type ESBs, with the height anomalies tilting northwestward with 598 height (not shown).

#### 599 4.5 Relationships with Teleconnection Patterns

600 One of the notable circulation differences between the two types of ESBs is the 601 locations of the primary geopotential height anomalies, and these discrepancies appear to be related to differences in the large-scale teleconnection patterns. Figure 10 602 603 shows the composite daily teleconnection pattern indices during the evolution of the 604 ESBs. Evidently, the cold-type ESBs are closely associated with a significant positive 605 index of the East Atlantic/West Russia pattern from day -10 to day 7 (Figure 10a). The 606 East Atlantic/West Russia pattern in its positive phase consists of one anticyclonic 607 anomaly center around the Ural Mountains and two cyclonic anomaly centers around 608 Western Europe and Northeast China (Barnston and Livezey, 1987). During the evolution of the cold-type ESBs, the significant height anomalies associated with the 609

610 cyclonic anomaly over Western Europe before day -2 (Figures 9a and 9b), the primary 611 anticyclonic anomaly around the Ural Mountains (left column of Figure 9), and the 612 significant cyclonic anomalies around Northeast China after day 2 (Figures 9d and 9e) 613 resemble the positive East Atlantic/West Russia pattern. The North Atlantic 614 Oscillation (red line) does not exhibit consistent variation during the evolution of the 615 cold-type ESBs, as was also hinted by Luo et al. (2016b).

616 In contrast, for the warm-type ESBs, both the East Atlantic/West Russia and 617 Scandinavian patterns become relevant from approximately day -2 to day 5 (Figure 618 10b). The positive East Atlantic/West Russia pattern generally has one primary 619 anticyclonic anomaly center over the Scandinavian Peninsula and one primary 620 cyclonic center around Lake Baikal (Barnston and Livezey, 1987; Bueh and 621 Nakamura, 2007). For the warm-type ESBs, the primary anticyclonic height anomaly around the Scandinavian Peninsula extends zonally and gradually covers the Ural 622 623 Mountains (Figures 9f-9j), and a cyclonic height anomaly forms around Lake Baikal 624 after day -2 (Figures 9h-9j), together indicating a mixture of the East Atlantic/West 625 Russia and East Atlantic/West Russia pattern patterns.

## 626 **5 Conclusions and Discussion**

Based on JRA-55 reanalysis data, 54 cold-type ESBs and 24 warm-type ESBs
are selected according to their influences on the SAT anomalies over the Yangtze
River region. We find that warm-type ESBs tend to occur relatively northwestward of

cold-type ESBs. As inferred from the anomalous SLP field, the Siberian high is weakened for warm-type ESBs and enhanced for cold-type ESBs over the Yangtze River region. The anomalous Siberian high can induce the advection of climatological mean air temperature by anomalous meridional wind velocities in the lower troposphere, which is the main contributor to the air temperature anomalies in both ESB types. In addition, diabatic heating tends to counteract the local air temperature cooling tendency over the Yangtze River region in the cold-type ESBs.

637 Noticeable differences are also observed in the mid- and upper-level anomalous 638 circulations between the two types of ESBs. The cold-type ESBs are characterized by 639 height anomalies with a northwest-southeast tilting dipole structure over the Eurasian 640 continent on their peak day, which is consistent with the propagation of Rossby wave 641 packets along an arc-shaped path over the Eurasian continent. Indeed, the typical features of the cold-type ESBs are generally consistent with the wave train that 642 643 crosses the Eurasian continent in association with the Ural blocking highs (Takaya 644 and Nakamura, 2005a; Cheung et al., 2012; Luo et al. 2016b). The circulation 645 anomalies of the cold-type ESBs resemble the East Atlantic/West Russia pattern in its positive phase. In contrast, the height anomalies of the warm-type ESBs over the 646 647 Eurasian continent are located to the northwest of their cold-type ESB counterparts. In addition to the positive East Atlantic/West Russia teleconnection pattern, the 648 649 Scandinavian teleconnection pattern also becomes significant during the evolution of 650 the warm-type ESBs.

In addition to the anomaly fields, differences occur in the total fields between the two types of ESBs. In the cold-type ESBs, the air parcels in the lower troposphere over the Yangtze River region on day 4 generally originate from the regions to the north and northeast of the Tibetan Plateau, and an adiabatic process is dominant during the motion of the air parcels. In contrast, in the warm-type ESBs, the air parcels generally originate from the mid- and low latitudes of East Asia and exhibit diverse trajectories.

658 We also test the sensitivity of the results to minor changes in the criteria for 659 either defining an ESB or classifying the types of ESBs, as shown in Section 2.2.2, 660 and check whether the thermodynamic features over the Yangtze River region, as 661 revealed in sections 4.2 and 4.3, change obviously if the spatial location of the 662 reference grid point is shifted slightly. Specifically, for the identification of an ESB, 663 we change the requirement for the minimum longitudinal extent of the instantaneous local blocking to 10° or 17.5°, the minimum duration to 5 days, or the minimum 664 665 magnitude of the primary height anomaly to 15 gpdm on the peak day. The SAT 666 anomalies are averaged over different periods, e.g., from day 2 to day 4 or from day 2 to day 5, based on classification of the ESBs. In the results, the typical features of the 667 668 cold-type and warm-type ESBs do not change qualitatively, but the significance weakens when fewer cases are identified for the composite analysis (not shown). In 669 addition, the results of the formation of the air temperature anomaly over the Yangtze 670 671 River region still do not change qualitatively if Eq. (3) is calculated at 975 hPa or if

other starting points, e.g., [117.5°E, 32.5°N], [117.5°E, 25°N] and [110°E, 28.75°N],
are used for tracking the backward trajectories.

674 Early studies recognized that migratory synoptic eddies play an important role in 675 the maintenance of blocking highs (Green 1977; Illari and Marshall 1983; Shutts 1983; Colucci 1985; Holopainen and Fortelius 1987; Mullen 1987; Nakamura et al. 1997; 676 677 Tsou and Smith 1990). Furthermore, Han et al. (2011) noted that warm sea surface temperature anomalies over the North Atlantic are favorable for strengthening the 678 679 blocking highs over the Ural Mountains by modulating transient eddy activities. We 680 have also evaluated the instantaneous baroclinic wave activity, as in Nakamura et al. 681 (1997), and its feedback forcing through the convergence of the heat flux and vorticity 682 flux, as in Lau and Holopainen (1984). Although the cold-type ESBs are associated 683 with significantly enhanced transient eddy activities over the Kara Sea and reduced 684 transient eddy activities around the Ural Mountains, the eddy forcing in the mid- and 685 upper troposphere is marginally significant for both types of ESBs (not shown), 686 indicating high case-to-case variability. The evolution of blocking highs is generally 687 associated with both high- and low-frequency dynamics (Nakamura et al. 1997). The former can be diagnosed by transient eddy feedback forcing, while the latter can be 688 689 represented by low-frequency Rossby wave propagation. Therefore, the lack of 690 significant signals of the transient eddy feedback forcing implies that low-frequency 691 Rossby wave propagation plays an important role in both types of ESBs.

692 Wang et al. (2010) showed that the stationary wave propagation associated with Ural blocking highs differed considerably between the period from 1957 to 1976 and 693 694 the period from 1977 to 2000. Furthermore, the frequency of Ural blocking highs 695 showed an increasing trend after the 1990s (Barnes et al. 2014; Wang and Chen 2014). 696 Therefore, it is worth analyzing the dynamics of blocking highs during periods with high and low Ural blocking frequencies. In addition, Arctic sea ice loss (Honda et al. 697 698 2009; Liu et al. 2012; Mori et al. 2014; Luo et al. 2016a) and cooling over the eastern 699 Pacific (Han et al. 2016) might contribute to the formation of anticyclonic anomalies 700 around the Ural Mountains, which could advect warm air masses poleward and cold 701 air masses equatorward, thereby enhancing the warm Arctic-cold Eurasia pattern 702 (Luo et al. 2016a). The role of external forcings in the long-term variations in both the 703 different ESB types and the SAT over Eurasia also deserves a dedicated further 704 investigation.

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Figure 1 Composite of the 7-day mean circulation anomalies of 92 ESBs. (a) Geopotential height at 500 hPa (units: gpdm) averaged from day -3 to day 3 and (b) is the SAT (units: °C) averaged from day 1 to day 7. Contours are drawn for  $\pm 2$ ,  $\pm 4$ , ..... gpdm in (a) and  $\pm 0.75$ ,  $\pm$ 1.5, ..... °C in (b). Red solid and blue dashed lines represent the positive and negative values, respectively. The shading denotes the significant anomalies based on Student's *t* tests with false discovery rates controlled by  $p_{FDR}^* \approx 1.1\%$  and 0.5% for (a) and (b), respectively, computed with  $\alpha_{FDR} = 0.05$ . Purple stippling indicates a topographic height exceeding 1500 m. Green rectangle in Figure 1b represents the Yangtze River region.



Figure 2 Area-averaged SAT anomalies (°C) over the Yangtze River region (green rectangle in Figure 1b) after the peak days of the 92 ESBs. For the abscissa, "0" represents the peak day of an ESB, and the numbers represent the days after the peak day. The thin blue lines, thin black lines and thin red lines represent the ESB events with the area-averaged SAT anomalies averaged over the period from day 3 to day 4 below -1°C, between -1°C and 1°C, and above 1°C, respectively. The thick lines are the averages of the thin lines with the same color. The thick orange dashed line represents the average of all 92 ESBs. The inverted triangles denote significant averages according to Student's *t* test with false discovery rates controlled by  $p_{\text{FDR}}^* \approx 1.0\%$  and 0.2% for the cold-type ESBs and the warm-type ESBs, respectively, computed with  $\alpha_{\text{FDR}} = 0.05$ .



Figure 3 Distribution of anomaly centers on the peak day for the 54 cold-type ESBs (blue rectangles), 14 neutral-type ones (black asterisks) and 24 warm-type ones (red triangles). The times at which the anomaly centers of the ESBs are located at every grid point are indicated at the bottom of the figure. The big markers imply that two BHs were centered at this grid point. The thick red, black and blue circles with cross indicate the mean position of the warm-type, neutral-type and cold-type ESBs, respectively.



Figure 4 Extended boreal winter (November to March) climatological mean sea level pressure (shading, unit: hPa) and wind velocity (vectors, units: m/s) at 1000 hPa. The white shading represents the region where the topographic height exceeds 1500 m. The red dashed rectangle represents the Yangtze River region. The wind velocity scale is plotted at the top right of the panel.



Figure 5 Composite evolutions of the SLP anomalies (hPa) associated with the 54 cold-type ESBs (left column) and the 24 warm-type ESBs (right column). Contours are drawn for  $\pm 3$ ,  $\pm 6$ , ..... hPa. Red solid lines and blue dashed lines represent positive and negative SLP anomalies, respectively. The gray shading denotes significant SLP anomalies according to Student's *t* tests with false discovery rates controlled by  $p_{FDR}^* \approx 1.1\%$  and 0.2% for the cold-type ESBs and the warm-type ESBs, respectively, computed with  $\alpha_{FDR} = 0.05$ . The green rectangle indicates the Yangtze River region. Purple stippling indicates that the topographic height exceeds 1500 m.



Figure 6 Same as Figure 5, but for the SAT anomalies (°C). Contours are drawn for  $\pm 1.5$ ,  $\pm 3$ , ..... °C.  $p_{FDR}^*$  is approximately 0.5% and 0.1% for the cold-type ESBs and the warm-type ESBs, respectively, computed with  $\alpha_{FDR} = 0.05$ .



Figure 7 Statistics area-averaged over the Yangtze River region for (a-d) the 54 cold type ESBs and (e-h) the 24 warm type ESBs. (a), (e) Observed tendency of composite anomalous air temperature at 950 hPa and the contributions from every term of the right hand side of equation (3). (b), (f) Tendency of composite anomalous air temperature at 950 hPa due to the diabatic heating. (c), (g) Daily SLP anomalies (thin black lines) and the composite (thick red line). (d), (h) Meridional advection of the climatological mean by the anomalous meridional wind velocity  $-v'\partial \overline{T}/\partial y$ .

Circles indicate the significant results at  $\alpha_{FDR} = 0.05$  significant level.



Figure 8 (a) Five-day backward trajectories of air parcels from the reference grid point [115°E, 28.75°N] at 950 hPa for the 54 cold-type ESBs starting from day 4. (c) The evolution of the temperature and potential temperature values for the trajectories shown in (a). The thick red lines in (a) and (c) are the results derived from the composite 54 cold-type ESBs. (b) and (d) Same as (a) and (c), respectively, but for the 24 warm-type ESBs. The red asterisks in (c) and (d) indicate the reference point at day 4, while the blue circles indicate the origin.



Figure 9 Same as Figure 5, but for the geopotential height anomalies at 300 hPa (contours, gpdm) and the associated wave activity flux (arrows, m<sup>2</sup>/s<sup>2</sup>). Contours are drawn for  $\pm 5$ ,  $\pm 10$ , ..... gpdm. The wave activity flux scale is plotted just above the top of (a). Wave activity fluxes with magnitudes of less than 4 m<sup>2</sup>/s<sup>2</sup> are omitted.  $p_{FDR}^*$  is approximately 1.0% and 0.4% for the cold-type ESBs and the warm-type ESBs, respectively, computed with  $\alpha_{FDR} = 0.05$ .



Figure 10 Composite indices of six teleconnection patterns during the evolution of (a) cold-type ESBs and (b) warm-type ESBs. "NAO" represents the Northern Atlantic Oscillation, "SCA" the Scandinavian pattern, "EAWR" the East Atlantic/West Russia pattern, "EA" the East Atlantic pattern, "PE" the polar/Eurasia pattern and "WP" the West Pacific pattern. Filled circles indicate the composite indices that are significant with  $\alpha_{FDR}$ =0.05.