



Connections between Spring Arctic Ozone and the Summer Circulation and Sea Surface Temperatures over the Western North Pacific

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1 **Abstract**

2 Using various observations, reanalysis datasets, and a general circulation model
3 (CESM-WACCM4), the relationship between the Arctic total column ozone (TCO)
4 and the tropospheric circulation and sea surface temperatures (SSTs) over the western
5 North Pacific (30°–45°N, 130°E–170°W) was investigated. We find that anomalies in
6 the circulation and SSTs over the western North Pacific in June are closely related to
7 anomalies in the Arctic TCO in March, i.e., when the Arctic TCO in March decreases,
8 the anomalous tropospheric cyclone and negative SST anomalies (SSTAs) will occur
9 over the western North Pacific in June. Further analysis indicates that the decreased
10 Arctic TCO in March tends to result in a positive Victoria mode-like (VM) SSTAs
11 over the North Pacific in April, which persist and develop an anomalous cyclone over
12 the eastern North Pacific in May via atmosphere-ocean coupling. This anomalous
13 cyclone over the eastern North Pacific subsequently induces an anomalous cyclone
14 over the western North Pacific in June via westward-propagating Rossby waves in the
15 lower troposphere. Furthermore, the negative SSTAs over the western North Pacific
16 are enhanced by the anomalous northerly related to the anomalous cyclone in June.
17 The effects of increased Arctic TCO in March on the tropospheric circulation and
18 SSTs are almost opposite to those of decreased Arctic TCO. These results are also
19 supported by our numerical simulations. Moreover, 10–20% of the anomalies in the
20 tropospheric circulation and SSTs over the western North Pacific in June are
21 contributed by the anomalies in the Arctic TCO in March.

22 **Keywords:** *Arctic stratospheric ozone, stratospheric polar vortex,*
23 *stratosphere-troposphere coupling, Victoria Mode*

24

25 **1. Introduction**

26 Previous studies have reported that stratospheric circulation anomalies have an
27 important effect on the tropospheric weather and climate (e.g., Baldwin and
28 Dunkerton 2001; Graf and Walter 2005; Scaife et al. 2005; Cagnazzo and Manzini
29 2009; Ineson and Scaife 2009; Thompson et al. 2011; Reichler et al. 2012; Kidston et
30 al. 2015; Sheng et al. 2015; Li et al. 2016; Zhang et al. 2016, 2018; Huang et al. 2017;
31 Waugh et al. 2017). As a vital chemical component of the stratosphere, the loss and
32 recovery of stratospheric ozone can affect, to a large degree, the stratospheric
33 circulation through radiative processes (e.g., Ramaswamy et al. 1996; Labitzke and
34 Naujokat 2000; Hu and Tung 2002; Tian et al. 2010; Hu et al. 2015). Thus, variations
35 in the stratospheric ozone play an important role in the tropospheric climate change
36 by influencing the stratospheric circulation (e.g., Hu and Tung 2003; Xie et al. 2016;
37 Ivy et al. 2017; Garfinkel 2017).

38 The influence of Antarctic stratospheric ozone on the tropospheric climate
39 change is a well-studied topic (e.g., Crook et al. 2007; Son et al. 2008; Waugh et al.
40 2009, 2015; Feldstein 2011; Hu et al. 2011; Kang et al. 2011; Gerber and Son 2014;
41 Seviour et al. 2016; Xia et al. 2016) due to dramatic Antarctic stratospheric ozone loss
42 (Farman et al. 1985; Ravishankara et al. 1994, 2009; Pawson and Naujokat 1999;

43 Randel and Wu 1999, 2007; Solomon 1990, 1999). Antarctic ozone loss and the
44 resulting ozone hole can induce a decrease in the Antarctic stratospheric temperature
45 through radiative cooling (e.g., Randel and Wu 1999), which strengthens the Antarctic
46 polar vortex. Furthermore, the strengthened westerlies associated with the Antarctic
47 polar vortex extend downward from the stratosphere to surface and lead to the surface
48 temperature changes over the Antarctic continent (Turner et al. 2005; Marshall et al.
49 2006). Many observations and simulations have demonstrated that the Antarctic ozone
50 hole causes a poleward shift in the extratropical jet (Son et al. 2009, 2010), which is
51 associated with a poleward shift in the subtropical dry and precipitation zones (Son et
52 al. 2009; Polvani et al. 2011; Feldstein 2011; Kang et al. 2011), the extension of the
53 Hadley cell (Min and Son 2013; Gerber and Son 2014; Waugh et al. 2015) and even
54 changes in the ocean circulation (Russell et al. 2006; Bitz and Polvani 2012) in austral
55 summer in the Southern Hemisphere (SH). In addition, the Antarctic stratospheric
56 ozone also has effects on regional features of the SH climate, such as the Amundsen
57 Sea Low (England et al. 2016) and Antarctic precipitation (Lenaerts et al. 2018).

58 Although the multidecadal loss of Arctic ozone is much smaller than that of
59 Antarctic ozone (WMO, 2011), the interannual variability of Arctic TCO is large due
60 to the variability of stratospheric polar vortex (e.g., Solomon et al. 2014, Ivy et al.
61 2017). The years 1997 and 2011 exhibited the most severe ozone depletion ever
62 recorded over the Arctic (Lefèvre et al. 1998; Coy et al. 1997; Manney et al. 2011).
63 Thus, the influence of Arctic stratospheric ozone on the tropospheric climate has

64 received increasing attention. However, there does not seem to be overwhelming
65 consensus in the literature on the size and robustness of the effects of spring Arctic
66 ozone at present, which may be related to the amplitudes of ozone change and model
67 used in different studies. Cheung et al. (2014) used the stratospheric ozone anomalies
68 to predict the tropospheric climate related to ozone changes and found that the
69 tropospheric forecast errors in the medium-extended range are dominated by the
70 spread of ensemble members. Using a general atmospheric circulation model,
71 Karpechko et al. (2014) found that the tropospheric impacts largely come from the
72 SSTs and the ozone anomalies seem to play a minor role. Based on model studies,
73 Smith and Polvani (2014) found that for ozone anomaly amplitudes within the
74 observed range of the last three decades, their model experiments do not show
75 statistically significant impacts at the surface, while extreme Arctic ozone has a
76 significant effect on tropospheric circulation, surface temperature and precipitation.
77 Subsequently, using a fully coupled stratosphere-resolving atmospheric model, Calvo
78 et al. (2015) found that changes in the Arctic ozone induce large and robust anomalies
79 in April-May tropospheric wind, temperature and precipitation over large parts of the
80 Northern Hemisphere (NH). Ivy et al. (2017) presented observational evidence for a
81 connection between the Arctic stratospheric ozone in March and the tropospheric
82 climate and found that the stratospheric ozone is a useful predictor of spring
83 tropospheric climate in some regions of the NH. Xie et al. (2016, 2017a, 2017b)
84 reported that the Arctic stratospheric ozone variations in March lead to SSTAs similar

85 to Victoria mode (VM) over the North Pacific in April and further influence El Niño
86 Southern Oscillation (ENSO) and tropical rainfall, which lag ozone changes by
87 approximately 20 months. More recently, studies found that spring Arctic
88 stratospheric ozone has effects on local precipitation in China (Xie et al. 2018) and in
89 the northwestern United States (Ma et al. 2019). As mentioned above, many studies
90 reported the effects of spring Arctic ozone on springtime climate in NH, however, the
91 effects of ozone on summertime climate in NH have not been clarified.

92 The Victoria mode (VM) is the second leading mode of SSTs over the North
93 Pacific (Bond et al. 2003). The VM is closely related to marine ecosystem in North
94 Pacific (e.g., Chenillat et al. 2012) and has important effects on climate, such as the
95 South China Sea summer monsoon (Ding et al. 2018), precipitation (Ding et al.
96 2015a), tropical cyclones (Pu et al. 2019), and ENSO (e.g., Ding et al. 2015b, Xie et
97 al. 2016), which further influences global climate (e.g., Kumar et al. 1999; Wang et al.
98 2000). Previous studies (e.g., Song et al. 2016) indicated that winter and spring VM
99 anomalies can persist into summer through atmosphere-ocean coupling. Although
100 many studies have found that changes in the Arctic stratospheric ozone influence the
101 tropospheric climate in spring, it is unclear whether the effects of spring Arctic ozone
102 on the tropospheric climate in the NH could persist into summer. If so, what are the
103 associated mechanisms of the Arctic TCO variations in spring that impact
104 summertime climate and to what extent do changes in the Arctic TCO affect the
105 tropospheric summertime climate? Thus, in this paper, we analyze the impact of the

106 Arctic TCO variations in March on the atmospheric circulation and SSTs over the
107 North Pacific in early summer (June) and their associated mechanisms.

108 This paper is organized as follows. Section 2 describes methods and data.
109 Section 3 analyzes the connections between Arctic TCO in March and the circulation
110 and SSTs over the western North Pacific in June, as well as their underlying
111 mechanisms. Section 4 gives the results of numerical simulations with high and low
112 ozone conditions. Section 5 quantifies the extent to which the variations of circulation
113 and SSTs over the western North Pacific in June are explained by the March Arctic
114 TCO changes. In Section 6, the conclusions and discussions are given.

115

116 **2. Methods and data**

117 a. Observations and reanalysis datasets

118 The TCO data are from the total ozone mapping spectrometer (TOMS)/solar
119 backscatter ultraviolet (SBUV) dataset (Stolarski and Frith 2006) at a horizontal
120 resolution of $5^{\circ} \times 10^{\circ}$ (latitude x longitude). The TCO data from the MSR
121 (multi-sensor reanalysis) dataset (van der et al. 2010, 2015), with a horizontal
122 resolution of $0.5^{\circ} \times 0.5^{\circ}$ (latitude x longitude), are also used in this paper. Figure 1
123 shows the time series of the Arctic (60° – 90° N) TCO in March in the TOMS/SBUV
124 dataset and MSR dataset, and these two datasets show good consistency in describing
125 the interannual variability of Arctic TCO. Note that in this paper, before calculating
126 correlation coefficients between the Arctic TCO in March and other variables, the

127 TCO value is multiplied by -1 so that a positive correlation corresponds to positive
128 anomalies in Arctic TCO with decreasing years.

129 The SST data are obtained from the UK Met Office Hadley Centre for Climate
130 Prediction and Research SST (HadSST) dataset and Extended Reconstructed Sea
131 Surface Temperature version4 (ERSSTv4). Geopotential height, temperature, and
132 zonal and meridional wind are obtained from the European Centre for Medium-Range
133 Weather Forecasts (ECMWF) Reanalysis-Interim (ERA-Interim) dataset and National
134 Centers for Environmental Prediction 2 (NCEP2) reanalysis data from the US
135 Department of Energy. Data used in this paper are monthly mean for the period
136 1979–2011.

137

138 b. Model simulations

139 The model used in this paper is the National Center for Atmospheric Research's
140 Community Earth System Model (CESM) version 1.2.2. CESM is a fully coupled
141 global climate model that incorporates an interactive atmosphere (CAM/WACCM)
142 component, ocean (POP2), land (CLM4), and sea ice (CICE). For the atmospheric
143 component, we used the Whole Atmosphere Community Climate Model (WACCM),
144 version 4 (Marsh et al. 2013). WACCM4 is a climate model that has detailed
145 middle-atmosphere chemistry and a finite volume dynamical core, and it extends from
146 the surface to approximately 140 km. For our study, we disabled the interactive
147 chemistry in order to analyze the impact of stratospheric ozone changes in a specific

148 month on the tropospheric circulations. WACCM4 has 66 vertical levels, with a
149 vertical resolution of about 1 km in the tropical tropopause and lower stratosphere
150 layers. Our simulations used a horizontal resolution of $1.9^{\circ} \times 2.5^{\circ}$ (latitude \times longitude)
151 for the atmosphere and approximately the same for the ocean.

152 The original ozone data are from the CMIP5 ensemble mean ozone output
153 (1955–2005) and can be downloaded at
154 [https://svn-ccsm-inputdata.cgd.ucar.edu/trunk/inputdata/atm/waccm/ub/ghg_forcing_](https://svn-ccsm-inputdata.cgd.ucar.edu/trunk/inputdata/atm/waccm/ub/ghg_forcing_1955-2005_CMIP5_EnsMean.c140414.nc)
155 [1955-2005_CMIP5_EnsMean.c140414.nc](https://svn-ccsm-inputdata.cgd.ucar.edu/trunk/inputdata/atm/waccm/ub/ghg_forcing_1955-2005_CMIP5_EnsMean.c140414.nc), which are zonal mean ozone field. We
156 performed 4 transient experiments (R1–R4) with prescribed high and low ozone
157 scenarios to verify the influence of the stratospheric ozone on the tropospheric
158 circulation and SSTs. Note that we used stratospheric ozone forcing with interannual
159 variability in this study to obtain modeling results more close to the real atmosphere.
160 The difference among these 4 runs is March ozone concentration in the Arctic region
161 (60° – 90° N) and the ozone concentrations are prescribed as shown in Table 1.
162 Experiments R1 (ozone decreased by 15%) and R2 (ozone increased by 15%) are
163 performed to see the effects of ozone within the observed range, and this ozone
164 change is also similar to that in Xie et al. (2018) and Ma et al. (2019). Experiments
165 R3 (ozone decreased by 25%) and R4 (ozone increased by 25%) are performed to see
166 the effects of ozone with larger amplitude change. More details of the numerical
167 simulations are listed in Table 1.

168

169 c. Methods

170 To analyze the propagation of Rossby waves, wave ray paths (e.g., Karoly et al.
171 1983; Zhang et al. 2015) are calculated by solving the linear barotropic vorticity
172 equation. In the mean flow $\bar{\psi}(x, y)$, the perturbation flow function satisfies Equation
173 (1):

$$174 \quad \omega = \bar{u}_M k + \bar{v}_M l + \frac{(l \partial \bar{q} / \partial x - k \partial \bar{q} / \partial y)}{k^2 + l^2} \quad (1)$$

175 where ω is angular frequency; $(\bar{u}_M, \bar{v}_M) = ((\bar{u}, \bar{v}) / \cos \theta)$ represent the time mean zonal
176 wind and meridional wind under Mercator projection, respectively; θ represents
177 latitude; k and l represent the zonal and meridional wavenumbers, respectively; and
178 \bar{q} represents the absolute vorticity. We can obtain four equations describing the
179 group velocity (u_g, v_g) and wavenumber (k, l) (Karoly et al. 1983), which can be
180 integrated to obtain wave ray paths. The background flow field is obtained from the
181 climatological (1979–2009) mean wind field in May-June.

182 Pacific Decadal Oscillation (PDO, Mantua et al. 1997; Zhang et al. 1997) and
183 Victoria Mode (VM, Bond et al. 2003) are the first and second mode of SSTAs over
184 the North Pacific, respectively. According to the methods of Ding et al. (2015b), we
185 calculate the first two modes (i.e., PDO and VM) of SSTAs over the North Pacific
186 (20.5°N–65.5°N, 124.5°E–100.5°W) in March by empirical orthogonal function (EOF)
187 analysis.

188 To quantify the extent to which variations in the tropospheric circulation and
189 SSTs over the western North Pacific in early summer (June) are explained by the

190 Arctic TCO in March, we remove March SST signal from March Arctic TCO as
191 shown in Equation (2):

$$192 \quad \text{TCO (resi)} = \text{TCO} - \text{TCO (SST)} \quad (2)$$

193 In Equation (2), TCO represents the original time series of the Arctic TCO in March.
194 TCO (SST) is obtained by the regression of March PDO+VM index onto March
195 Arctic TCO. Thus, the residual component of TCO, namely TCO (resi), is almost
196 independent of March SSTs.

197

198 **3. Connections between Arctic TCO variations in March and the** 199 **tropospheric circulation and SST changes over the western North** 200 **Pacific in early summer**

201 Figure 2 shows the evolution of correlation coefficients between Arctic
202 (60°–90°N) TCO in March and SSTs in March–August. Figure 2 indicates that the
203 SSTAs in April (Fig. 2b) are similar to a positive VM, which is consistent with
204 previous results (Xie et al. 2017a) that a decrease in the Arctic stratospheric ozone in
205 March leads positive VM anomalies in April. Interestingly, the negative SSTAs over
206 the western North Pacific (25°–40°N, 150°E–170°W) gradually weaken in May (Fig.
207 2c) but are suddenly enhanced and become statistically significant again in June (Fig.
208 2d). The amplitude of the negative SSTAs over the western North Pacific is strong,
209 weak and strong in April, May and June (Figs. 2b–d), respectively. This phenomenon
210 also exists in the MSR dataset and ERSSTv4 dataset (Figs. 2h–j), which supports the

211 robustness of this phenomenon. It is reasonable to presume that underlying processes
212 related to the Arctic TCO changes in March enhance the amplitude of the negative
213 SSTAs over the western North Pacific in June. Therefore, it is necessary to investigate
214 the effects of the Arctic TCO in March on the tropospheric circulation and SSTs over
215 the western North Pacific in June.

216 Figure 3 shows the correlation coefficients between the Arctic TCO in March
217 and geopotential height and wind in June. Both the TOMS/SBUV dataset and MSR
218 dataset indicate that there exist an anomalous tropospheric cyclonic flow and negative
219 geopotential height anomalies associated with the decrease in March Arctic TCO over
220 the western North Pacific in June (Fig. 3). The results of ERA-Interim dataset (Figs.
221 3a–b) and NCEP2 dataset (Figs. 3c–d) are similar, which indicates that these
222 connections between the Arctic TCO in March and the circulation over the western
223 North Pacific in June are reliable and not sensitive to dataset. Figure 4 shows the
224 details of variations in the Arctic TCO in March and the upper tropospheric
225 geopotential height, lower tropospheric geopotential height and SSTs over the western
226 North Pacific from April to June. There are close connections between the Arctic TCO
227 in March and the upper tropospheric geopotential height ($r=0.61$, $p<0.01$), lower
228 tropospheric geopotential height ($r=0.53$, $p<0.01$) and SSTs ($r=0.47$, $p<0.01$) over the
229 western North Pacific in April (Figs. 4a–c), which are consistent with the results in
230 Xie et al. (2017a) that a decrease in March Arctic ozone leads geopotential height
231 anomalies similar to negative NPO (North Pacific Oscillation) and SSTAs similar to

232 positive VM over the North Pacific in April. Gradually, these correlation coefficients
233 weaken in May (Figs. 4d–f). However, the connections between the Arctic TCO in
234 March and the upper tropospheric geopotential height ($r=0.47$, $p<0.01$), lower
235 tropospheric geopotential height ($r=0.46$, $p<0.01$) and SSTs ($r=0.55$, $p<0.01$) are
236 enhanced and become statistically significant again in June (Figs. 4g–i).

237 The above results suggest close connections between the Arctic TCO in March
238 and the tropospheric circulation and SSTs over the western North Pacific in June, and
239 variations in the TCO lead variations in the circulation and SSTs by three months.
240 These lead-lag connections suggest that changes in March Arctic TCO may affect the
241 tropospheric circulation and SSTs over the western North Pacific in June. Therefore, a
242 question arises as to what mechanism is responsible for these lead-lag connections.
243 Considering that the results obtained from various datasets are similar, we only show
244 the results of the TOMS/SBUV, ERA-Interim and HadSST datasets in the following
245 text.

246 Figure 5 displays temperature and circulation anomalies associated with the
247 Arctic TCO in March. A decrease in the Arctic TCO in March corresponds to a colder
248 and stronger stratospheric polar vortex (SPV, Figs. 5a–c), indicating that the decrease
249 in the Arctic TCO strengthens the SPV through radiative processes (e.g., Ramaswamy
250 et al. 1996; Hu et al. 2011). Furthermore, the positive zonal wind anomalies extend
251 downward into the lower troposphere (Fig. 5c) through wave-mean flow interactions
252 (e.g., Haynes et al. 1991; Song et al. 2004; Chen et al. 2007; Garfinkel and Hartmann

253 2011; Garfinkel et al. 2013). Note that correlation coefficients between March Arctic
254 TCO and April and May Arctic TCO are 0.82 ($p < 0.01$), 0.72 ($p < 0.01$), respectively,
255 suggesting the auto-correlation of Arctic ozone. However, the stratospheric circulation
256 anomalies associated with April-May Arctic TCO are relatively weak and do not
257 extend downward into the troposphere (not shown), indicating that the circulation and
258 SST anomalies over the western North Pacific in June (Figs. 2–3) should be linked to
259 the March ozone rather than the April/May ozone. Xie et al. (2017a) indicated that the
260 positive zonal wind anomalies in the region 60° – 90° N, 180° – 120° W in March, caused
261 by the decreased stratospheric ozone anomalies in March, contribute to negative
262 North Pacific Oscillation (NPO) anomalies in April. It is evident that there are
263 statistically significant circulation anomalies at high latitudes in March (Fig. 5d) and
264 negative NPO anomalies in April (Fig. 5e), which force positive VM anomalies (Fig.
265 2b). Here, we investigate how April SSTAs over North Pacific related to the Arctic
266 TCO affect the circulation and SSTs over the western North Pacific in June.

267 Figure 6 shows the evolution of correlation coefficients between Arctic TCO in
268 March and the lower tropospheric geopotential height, wind and SSTs from April to
269 June. The geopotential height anomalies similar to $-NPO$ (Fig. 6d) and SST
270 anomalies similar to $+VM$ (Fig. 6a) are obvious and statistically significant in April.
271 In addition, the April VM anomalies persist and develop into the next month (Fig. 6b)
272 through atmosphere-ocean coupling (Xie and Philander 1994; Vimont et al. 2003;
273 Song et al. 2016). Vimont et al. (2003) indicated that surface heating induced by the

274 subtropical positive SSTAs over the eastern North Pacific leads to northward
275 meridional flow over the positive SSTAs regions (15° – 30° N, 160° – 120° W; Fig. 6b)
276 and, in turn, the northward meridional flow enhances the local positive SSTAs. The
277 northward meridional flow could further result in a surface cyclonic flow centered
278 over the northern and western regions (15° – 40° N, 160° – 120° W; Figs. 6b, e) of the
279 subtropical positive SSTAs (Vimont et al. 2003). The features in Fig. 6b are also
280 consistent with the results of Song et al. (2016, their Fig. 5b) describing the
281 development of VM mode associated with an anomalous cyclone over the eastern
282 North Pacific. In June, an anomalous cyclonic flow and enhanced negative SSTAs
283 (Fig. 6c) occur over the western North Pacific compared to those in May.

284 Li et al. (2015, their Fig. 11) indicated that Rossby waves in mid-lower latitudes
285 propagate westward in summertime lower troposphere. Therefore, it is reasonable that
286 the anomalous cyclonic flow over the eastern North Pacific (15° – 40° N, 160° – 120° W)
287 in May (Figs. 6b, e), associated with the decrease in the Arctic TCO in March, could
288 affect the circulation over the western North Pacific at a certain lag time through
289 westward-propagating Rossby waves. Figure 7 indicates that the lower tropospheric
290 Rossby waves originated from the eastern North Pacific will propagate to the western
291 North Pacific approximately one month later along the anticyclone path. These results
292 confirm that the cyclonic circulation anomalies over the eastern North Pacific in May
293 (Figs. 6b, e) have an effect on circulation over the western North Pacific in the
294 subsequent June (Figs. 6c, f). To further explore this lead-lag effect, we calculate

295 correlation coefficients between the geopotential height averaged over the eastern
296 Pacific (30° – 35° N, 145° – 135° W) in May and geopotential height fields over the
297 North Pacific in June as shown in Fig. 8. Figure 8 indicates that if there are negative
298 geopotential height anomalies over the eastern North Pacific (15° – 40° N, 160° – 130° W)
299 in May (Fig. 8a), there will be negative geopotential height anomalies over the
300 western North Pacific in the subsequent June (Fig. 8b). Therefore, Fig. 8 further
301 indicates that the tropospheric circulation changes over the western North Pacific in
302 June are strongly linked to the circulation anomalies over the eastern North Pacific in
303 May. Figures 7 and 8 indicate that the anomalous cyclone over the eastern North
304 Pacific in May (Figs. 6b, e) induces the anomalous cyclone over the western North
305 Pacific in June (Figs. 6c, f). Furthermore, the anomalous northerly over the western
306 North Pacific (30° – 40° N, 140° – 180° E, Figs. 3b, d or Fig. 6c), associated with the
307 anomalous cyclone, enhances the local negative SSTAs (Figs. 2d, j or Fig. 6c). Note
308 that correlation coefficients between the Arctic TCO in March and net surface
309 sensible and latent heat flux over the western North Pacific (30° – 45° N,
310 130° E– 170° W) in June are very small and not significant (not shown), suggesting that
311 the effects of SSTAs in June over the western North Pacific on local atmosphere are
312 weak.

313 Based on the above analysis, we propose a mechanism by which the Arctic TCO
314 in March influences the circulation and SSTs over the western North Pacific in June.
315 The mechanism includes the following processes: (1) A decrease in the Arctic TCO in

316 March enhances the SPV (Fig. 5) and further induces negative NPO anomalies (Fig.
317 6d) and positive Victoria mode (VM) anomalies (Fig. 6a) in April, which has been
318 clarified by previous studies (Xie et al. 2017a). (2) The April SSTAs associated with
319 the decrease in Arctic TCO in March persist and develop an anomalous cyclone over
320 the eastern North Pacific (15° – 40° N, 160° – 120° W) in May (Figs. 6b, e) through
321 atmosphere-ocean coupling (e.g., Vimont et al. 2003; Song et al. 2016). (3) This
322 anomalous cyclone (Figs. 6b, e) further leads to an anomalous cyclone over the
323 western North Pacific in June (Figs. 6c, f) by westward-propagating Rossby waves in
324 the lower troposphere, which would take approximately one month (Figs. 7–8).
325 Furthermore, the anomalous northerly over the western North Pacific (30° – 40° N,
326 140° – 180° E, Figs. 3b, d or Fig. 6c) associated with the anomalous cyclone enhances
327 the local negative SSTAs (Figs. 2d, j or Fig. 6c). The effects of an increase in Arctic
328 TCO in March are almost opposite to those of a decrease in March Arctic TCO.

329

330 **4. Simulated variations in circulation and SSTs forced by spring** 331 **Arctic ozone anomalies.**

332 In this section, we use numerical simulations to verify the results obtained from
333 the reanalysis data. The model and experiments are introduced in Section 2. Figure 9
334 shows the ozone forcing prescribed in experiments R1–R4. Figure 10 shows the
335 geopotential height and SST differences between experiments R1 (ozone decreased by
336 15%) and R2 (ozone increased by 15%). It is apparent that a 15% stratospheric ozone

337 decrease induces negative SST anomalies over the middle North Pacific (20° – 30° N,
338 120° E– 150° W) and positive SST anomalies over the northern, eastern and southern
339 North Pacific in April (Fig. 10a), which is similar to the VM. The pattern of
340 geopotential height in April is similar to NPO (Fig. 10d), with a negative center over
341 southern regions (15° – 35° N, 160° E– 150° W) of North Pacific and a positive center
342 over the northern regions (40° – 60° N, 160° E– 140° W). Although the centers of the
343 NPO and VM in Figs. 10a, d are located further south than those in the reanalysis
344 dataset (Figs. 6a, d), their patterns are overall similar. Figs. 10a, d support the result
345 that the stratospheric ozone decrease in March could induce NPO and VM anomalies
346 over the North Pacific in April.

347 Comparing experiments R1 and R2, the model cannot capture the ozone-related
348 negative geopotential height anomalies and the enhanced negative SSTs over the
349 western North Pacific in June as exhibited in the reanalysis data (Figs. 6c, f). This
350 may be because that the differences in VM anomaly between experiments R1 and R2
351 are weak (Fig. 10a). Especially, positive SSTAs over the eastern North Pacific regions
352 (10° – 30° N, 160° – 120° W; Fig. 10a) are weak so that the development of cyclonic
353 circulation anomaly over the eastern North Pacific (10° – 30° N, 160° – 120° W) is not
354 standout (Figs. 10b, e), which is a key process that induces the cyclonic circulation
355 anomaly and enhanced negative SST anomalies over the western North Pacific in
356 June as shown in Figs. 6b, e. Therefore, the anomalous cyclonic flow and enhanced
357 negative SST anomalies over the western North Pacific in June are also not

358 reproduced by the model experiments (Figs. 10c, f). Thus, we performed another two
359 numerical experiments (R3 and R4) to see if a larger ozone decrease can induce the
360 circulation and SST anomalies in June.

361 Figure 11 shows the geopotential height and SST differences between
362 experiments R3 (ozone decreased by 25%) and R4 (ozone increased by 25%). Similar
363 to the results of experiments R1 and R2, there are still ozone-induced NPO and VM
364 anomalies over the North Pacific in April (Figs. 11a, d), and the SST differences (Fig.
365 11a) are larger than that in experiments R1–R2 (Fig. 10a). Furthermore, these
366 experiments can capture the development of the anomalous cyclone over the eastern
367 North Pacific (20° – 40° N, 180° – 140° W) in May (Figs. 11b, e) induced by the ozone
368 decrease and the subsequent ozone-induced anomalous cyclone over the western
369 North Pacific (30° – 50° N, 120° – 140° E) in June (Figs. 11c, f), supporting the results in
370 reanalysis data that the VM anomaly associated with March Arctic ozone induces an
371 anomalous cyclone over the western North Pacific in June (Figs. 6c, f). Note that the
372 negative SST anomalies over the western North Pacific (30° – 50° N, 130° E– 180°) in
373 June (Fig. 11c) are not enhanced compared to that in May (Fig. 11b), which may be
374 because that the position of the anomalous cyclone is further west (30° – 50° N,
375 120° – 140° E, Fig. 11f) than those in the reanalysis data (Fig. 6f).

376 Figure 10 suggests that the simulation with a 15% ozone decrease reproduces too
377 weak VM anomaly in April (Fig. 10a) to induce the anomalous cyclone over the
378 western North Pacific in June, which may be related to the limitation in model ability

379 to simulate the air-sea feedback processes over North Pacific. However, when the
380 amplitude of ozone change is increased to 25%, the model could reproduce a large
381 VM anomaly in April (Fig. 11a) and the subsequent anomalous cyclones in May and
382 June (Figs. 11e, f), which are similar to that in the reanalysis data (Fig. 6). Both Fig.
383 10 and Fig. 11 indicate that stratospheric ozone changes in March contribute to VM
384 anomaly over North Pacific in April and Fig. 11 further supports the rationality of the
385 mechanism proposed in this study.

386

387 **5. Contribution of the Arctic TCO variations in March to changes in** 388 **the circulation and SSTs over the western North Pacific in early** 389 **summer**

390 In this section, we quantify the extent to which the variation in the tropospheric
391 circulation and SSTs over the western North Pacific in early summer (June) could be
392 explained by the Arctic TCO in March. Note that there likely exists a bi-directional
393 connection in March between the Arctic TCO and the SSTs over the North Pacific, i.e.,
394 the SSTs in March (Fig. 2a) may affect stratospheric ozone. Therefore, we check the
395 relationship in March between SST and Arctic TCO. The spatial patterns of PDO and
396 VM are shown in Fig. 12a and Fig. 12b, respectively. It is found that correlation
397 coefficients between the Arctic TCO in March and PDO and VM are -0.36 ($p < 0.05$)
398 and -0.39 ($p < 0.05$), respectively (Figs. 12c–d). The correlation coefficient between
399 the Arctic TCO and PDO+VM is -0.53 ($p < 0.01$). The statistically significant

400 correlation coefficient in March between Arctic TCO and SSTs is likely linked to the
401 effects of North Pacific SSTs on the stratospheric polar vortex (Jadin et al. 2010;
402 Hurwitz et al. 2012; Garfinkel et al. 2015; Woo et al. 2015; Kren et al. 2016; Hu et al.
403 2018; Hu and Guan 2018) and thereby the Arctic TCO (e.g., Schoeberl and Hartmann
404 1991). Therefore, to accurately estimate the extent to which the variations in the
405 tropospheric circulation and SSTs over the western North Pacific in June are
406 explained by the Arctic TCO changes in March, we first remove March SST signal
407 from March Arctic TCO using a linear regression model as shown in Equation (2).

408 Figure 13 shows the correlation coefficients between the TCO (resi) in March
409 and the tropospheric circulation and SSTs over the western North Pacific in June.
410 Although the correlation coefficients in Fig. 13 are smaller than those in Figs. 2–3,
411 statistically significant negative correlation coefficients still exist over the western
412 North Pacific (Figs. 13a–c), which further indicates that a decrease in the Arctic TCO
413 in March leads to the tropospheric cyclonic circulation anomalies and negative SSTAs
414 over the western North Pacific in June and vice versa. Moreover, 10–20% of the
415 variations in the tropospheric circulation and SSTs over the western North Pacific in
416 June are contributed by the Arctic TCO variations in March (Figs. 13d–f).

417

418 **6. Conclusions and discussions**

419 In this study, various observations, reanalysis datasets, and a general circulation
420 model (CESM-WACCM4) were used to probe the potential connections between

421 TCO variations and the tropospheric circulation and SST changes. We found that the
422 tropospheric cyclonic circulation anomalies and negative SSTAs over the western
423 North Pacific (30° – 45° N, 130° E– 170° W) in June are closely linked to the decrease in
424 Arctic TCO in March and vice versa. In addition, variations in the Arctic TCO in
425 March lead the changes in the tropospheric circulation and SSTs over the western
426 North Pacific by three months.

427 We further analyzed the underlying mechanism responsible for the lead-lag
428 correlations between the Arctic TCO in March and the tropospheric circulation and
429 SSTs over the western North Pacific in June. The main mechanisms are as follows: (1)
430 A decrease in the Arctic TCO in March strengthens the stratospheric polar vortex
431 (Figs. 5a–c) and further induces negative NPO anomalies (Fig. 6d) and positive
432 Victoria mode (VM) anomalies (Fig. 6a) in April, which are consistent with previous
433 study (Xie et al. 2017a). Subsequently, the April VM anomaly associated with the
434 decrease in the Arctic TCO in March persists and develops an anomalous cyclone
435 over the eastern North Pacific in May (Figs. 6b, e) through atmosphere-ocean
436 coupling. (2) This anomalous cyclone over the eastern North Pacific in May (Figs. 6b,
437 e) further causes an anomalous cyclonic flow over the western North Pacific in June
438 (Figs. 6c, f) via westward-propagating Rossby waves in the lower troposphere, which
439 would take approximately one month (Figs. 7–8). Furthermore, the anomalous
440 northerly over western North Pacific (30° – 40° N, 140° – 180° E; Figs. 3b, d or Fig. 6c)
441 associated with the anomalous cyclone enhances the local negative SSTAs (Figs. 2d, j

442 or Fig. 6c). The effects of an increase in the Arctic TCO in March on the tropospheric
443 circulation and SSTs over the western North Pacific are almost opposite to those of a
444 decrease in March Arctic TCO.

445 The simulated results also indicate that the stratospheric ozone decrease
446 contributes to NPO and VM anomalies over the North Pacific in April (Figs. 10a, d
447 and Figs. 11a, d), which are helpful additions to previous results (Xie et al. 2016,
448 2017a). Moreover, the ozone-induced anomalous cyclones over the eastern North
449 Pacific (20° – 40° N, 180° – 140° W) in May (Fig. 11e) and over the western North
450 Pacific (30° – 50° N, 120° – 140° E) in June (Fig. 11f) further support the results in the
451 reanalysis data that the positive VM anomaly associated with the stratospheric ozone
452 decrease develops an anomalous cyclone over the eastern North Pacific in May (Figs.
453 6b, e) via atmosphere-ocean coupling, which further induces an anomalous cyclone
454 over the western North Pacific in June (Figs. 6c, f) by westward-propagating Rossby
455 waves (Fig. 7).

456 Our analysis indicates that 10–20% of the variations in the tropospheric
457 circulation and SSTs over the western North Pacific in June are contributed by the
458 Arctic TCO variations in March (Fig. 13), implying that the TCO variation in March
459 could be a useful seasonal-timescale predictor of the tropospheric circulation and SST
460 changes over the western North Pacific in early summer. The above results also imply
461 that the SSTs over the western North Pacific in early summer may become warmer in
462 the future due to stratospheric ozone recovery.

463 Due to the large internal variability of Arctic climate, it is necessary to use a
464 large enough ozone anomaly to distinguish robust atmospheric circulation change
465 associated with stratospheric ozone changes from that driven by natural variability. In
466 addition, the relationships between March Arctic TCO and the tropospheric
467 circulation and SSTs in June are really strong in various observations and reanalysis
468 datasets (Figs. 2–4). However, whether climate model runs underestimate the
469 response remains unclear, which needs further study.

470

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477

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794

795 **Figure captions**

796 **FIG. 1.** Time series of Arctic (60°–90°N) TCO in March from the TOMS/SBUV (red
797 line) and MSR (blue line) dataset.

798 **FIG. 2.** Correlation coefficients between Arctic –TCO (TOMS/SBUV dataset) in

799 March and sea surface temperature (HadSST) in (a) March, (b) April, (c) May, (d)
800 June, (e) July, and (f) August. (g–l) Same as (a–f), but the TCO data are from the
801 MSR dataset and SST data are from the ERSSTv4 dataset. Dotted regions are
802 statistically significant at the 95% confidence level according to Student's t test. The
803 linear trends in all datasets have been removed.

804 **FIG. 3.** Correlation coefficients between Arctic $-TCO$ in March and (a) geopotential
805 height (color) and wind (vectors) at 300 hPa in June. (b) Same as (a) but for those at
806 850 hPa. In (a–b), the TCO data are from TOMS/SBUV dataset and geopotential
807 height and wind data are from ERA-Interim dataset. (c–d) Same as (a–b), but the TCO
808 data are from MSR dataset and geopotential height and wind data are from NCEP2
809 dataset. Dotted regions are statistically significant at the 95% confidence level.

810 **FIG. 4.** Blue lines are detrended and standardized time series of (a, d, g) 300 hPa
811 geopotential height (H300, averaged over 30° – 45° N, 130° E– 180°), (b, e, h) 850 hPa
812 geopotential height (H850, averaged over 30° – 45° N, 130° E– 180°), and (c, f, i) sea
813 surface temperature (SST, averaged over 30° – 40° N, 140° E– 180°) in (a–c) April, (d–f)
814 May and (g–i) June. Red lines in (a–i) are the time series of Arctic TCO in March
815 from TOMS/SBUV dataset. The correlation coefficient (r) between red line and blue
816 line in each panel is given in the title. p is the confidence level and r is statistically
817 significant at the 99% confidence level when p is less than 0.01.

818 **FIG. 5.** Latitude-height cross-section of correlation coefficients between Arctic $-TCO$
819 in March and zonal mean (a) temperature, (b) geopotential height, and (c) zonal wind

820 in March. (d) and (e) are correlation coefficients between Arctic $-TCO$ in March and
821 zonal wind at 850 hPa in March and April, respectively. Dotted regions are
822 statistically significant at the 95% confidence level.

823 **FIG. 6.** Correlation coefficients between Arctic $-TCO$ in March and (a–c) SST (color)
824 and winds (vectors) at 850 hPa in (a) April, (b) May, (c) June, and (d–f) geopotential
825 height at 850 hPa in (d) April, (e) May, (f) June. Dotted regions are statistically
826 significant at the 95% confidence level.

827 **FIG. 7.** Ray paths (coarse black lines) of Rossby waves (wavenumber 1) at 850 hPa.
828 The wave source is over the eastern North Pacific ($40^{\circ}N$, $140^{\circ}W$). (a), (b), (c), (d), (e),
829 and (f) are for the 1st, 6th, 12th, 18th, 24th, and 30th days, respectively. Color regions
830 indicate the distribution of the climatological (1979–2009) mean geopotential height
831 (gpm) at 850 hPa in May–June. The red and white regions represent high and low
832 geopotential height, respectively.

833 **FIG. 8.** Correlation coefficients between $-H$ (geopotential height averaged over
834 30° – $35^{\circ}N$, 145° – $135^{\circ}W$) at 850 hPa in May and geopotential height at 850 hPa in (a)
835 May and (b) June. Dotted regions are statistically significant at the 95% confidence
836 level.

837 **FIG. 9.** Prescribed ozone forcing used in the numerical simulations. Blue solid line,
838 red solid line, blue dashed line and red dashed line are March Arctic (60° – $90^{\circ}N$) TCO
839 prescribed in experiments R1, R2, R3 and R4, respectively. Black line is for CMIP5
840 ensemble mean ozone output.

841 **FIG. 10.** (a–c) SST (color) and horizontal wind (850 hPa, vector) differences between
842 experiments R1 (ozone decreased by 15%) and R2 (ozone increased by 15%) in (a)
843 April, (b) May, (c) June. (d–f) Geopotential height (850 hPa) difference between
844 experiments R1 and R2 in (d) April, (e) May, (f) June. Dotted regions are statistically
845 significant at the 90% confidence level.

846 **FIG. 11.** Same as FIG. 10, but for differences between experiments R3 (ozone
847 decreased by 25%) and R4 (ozone increased by 25%).

848 **FIG. 12.** The spatial patterns of the (a) EOF1 mode and (b) EOF2 mode of SSTA field
849 (after removing the globally averaged SSTAs) over North Pacific (124.5°E–100.5°W,
850 20.5°–65.5°N) in March. Variances explained by the EOF1 and EOF2 modes are
851 33.3% and 16.7%, respectively. (c–d) Detrended and standardized time series of (c)
852 Arctic TCO and PC1, (d) Arctic TCO and PC2 in March. The signs of PC1 and PC2
853 are reversed to facilitate direct comparison. The correlation coefficients between TCO
854 and PC1 and PC2 are -0.36 ($p < 0.05$) and -0.39 ($p < 0.05$), respectively.

855 **FIG. 13.** Correlation coefficients between Arctic $-TCO$ (resi) in March and (a)
856 geopotential height at 300 hPa, (b) geopotential height at 850 hPa, and (c) SST in
857 June. Dotted regions are statistically significant at the 95% confidence level. (d, e, f)
858 The explained variance (%) for (a, b, c), respectively.

859

860 **Table 1.** Fully coupled CESM-WACCM4 experiments with various prescribed
861 ozone forcings.

Experiment Prescribed ozone forcing

ment

R1 Decreased ozone run using case B_1955-2005_WACCM_SC_CN covering the period 1955–2005. Ozone forcing used is from CMIP5 ensemble mean ozone output (1955–2005) except that March ozone in the Arctic region 60°–90°N (from surface to the top of the atmosphere) is decreased by 15% compared with the CMIP5 ensemble mean ozone output (1955–2005), which was named `ghg_forcing_1955-2005_CMIP5_EnsMean.c140414.nc`, and can be downloaded at https://svn-ccsm-inputdata.cgd.ucar.edu/trunk/inputdata/atm/wacm/ub/ghg_forcing_1955-2005_CMIP5_EnsMean.c140414.nc.

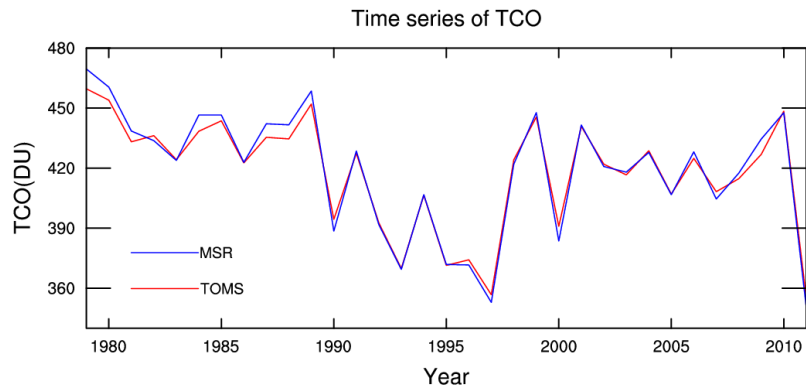
All natural and anthropogenic external forcing for R1 are based on original CESM input data.

R2 Same as R1, except that March ozone in the region 60°–90°N is increased by 15% compared with the CMIP5 ensemble mean ozone output.

R3 Same as R1, except that March ozone in the region 60°–90°N is decreased by 25% compared with the CMIP5 ensemble mean ozone output.

R4 Same as R1, except that March ozone in the region 60°–90°N is in

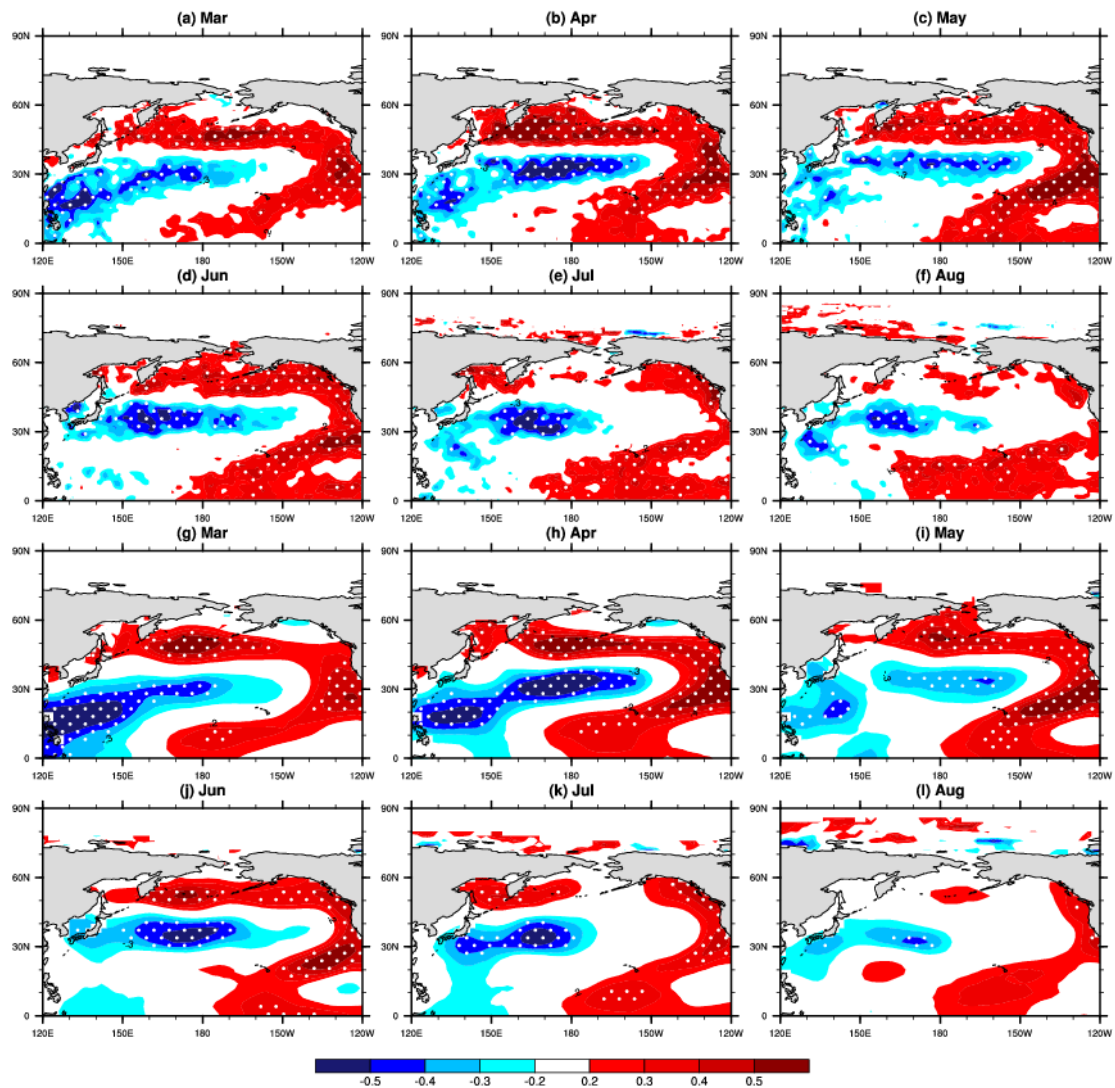
creased by 25% compared with the CMIP5 ensemble mean ozone o
utput.



862

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864 line) and MSR (blue line) dataset.

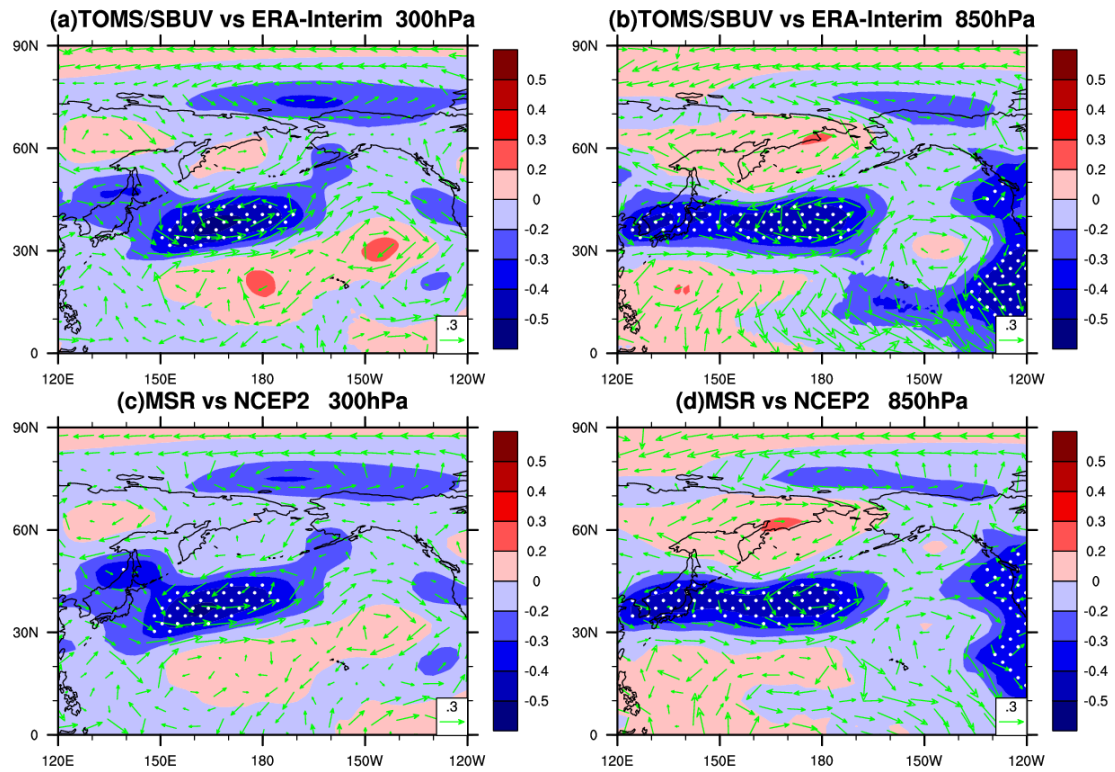
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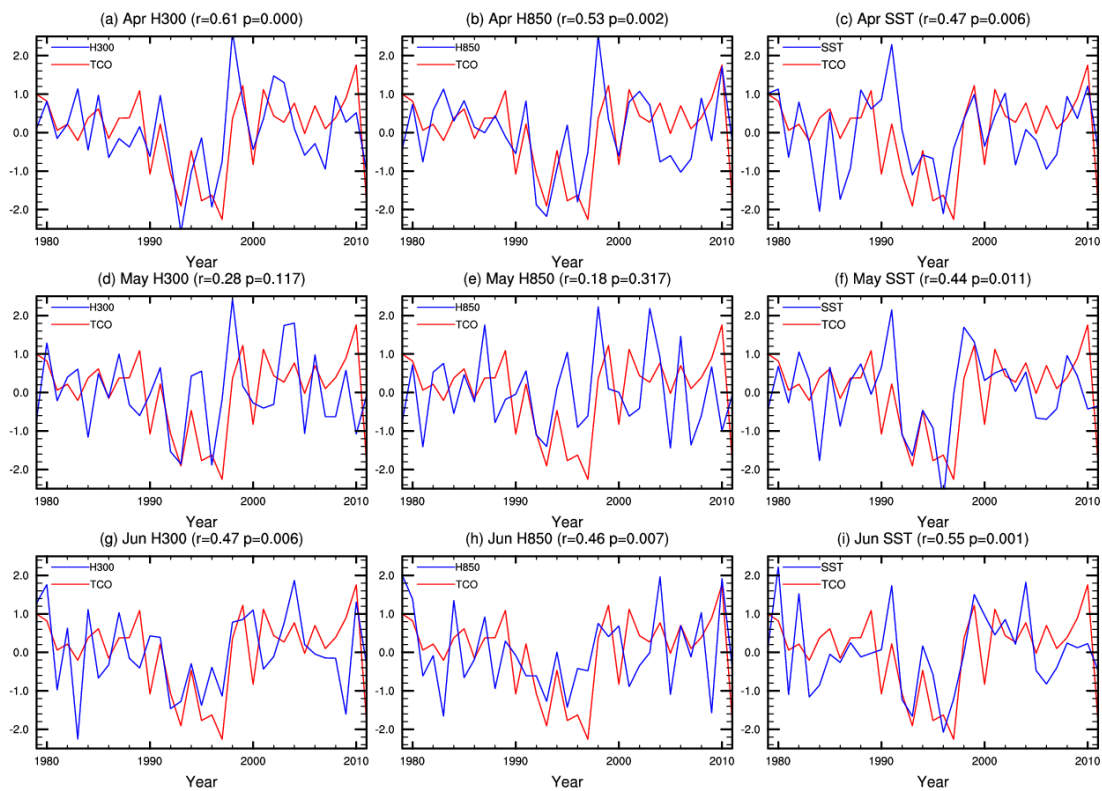
867 **FIG. 2.** Correlation coefficients between Arctic $-TCO$ (TOMS/SBUV dataset) in
 868 March and sea surface temperature (HadSST) in (a) March, (b) April, (c) May, (d)
 869 June, (e) July, and (f) August. (g–l) Same as (a–f), but the TCO data are from the
 870 MSR dataset and SST data are from the ERSSTv4 dataset. Dotted regions are
 871 statistically significant at the 95% confidence level according to Student's t test. The
 872 linear trends in all datasets have been removed.

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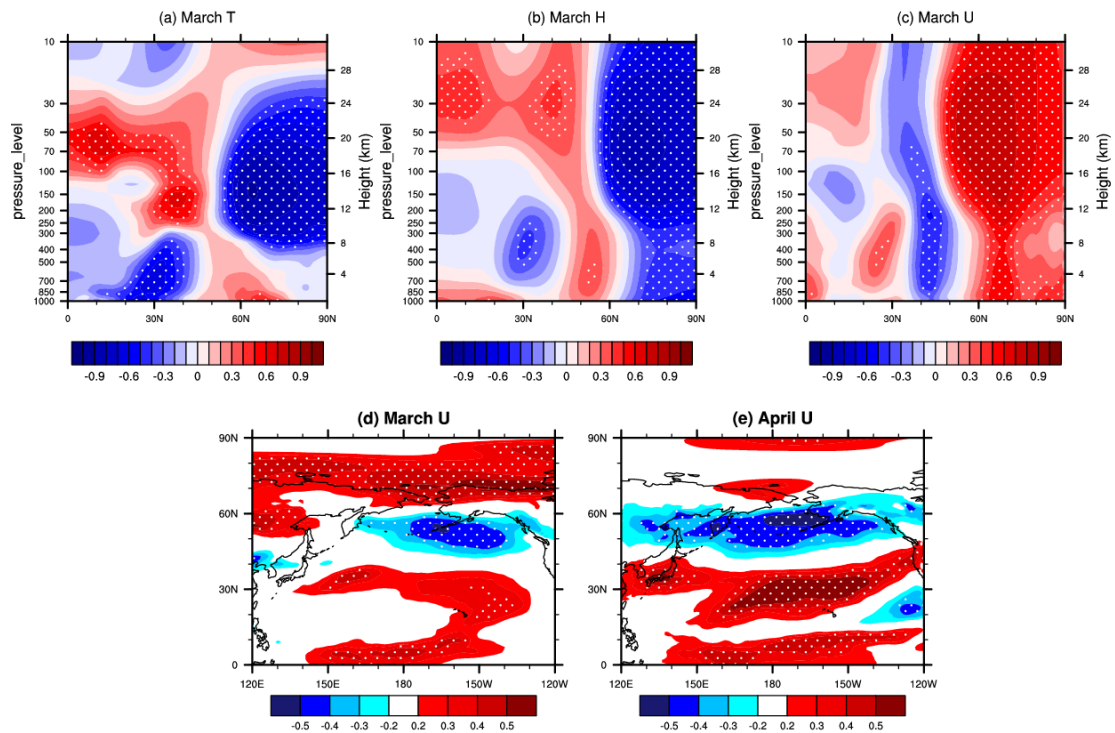
875 **FIG. 3.** Correlation coefficients between Arctic $-TCO$ in March and (a) geopotential
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 878 height and wind data are from ERA-Interim dataset. (c–d) Same as (a–b), but the TCO
 879 data are from MSR dataset and geopotential height and wind data are from NCEP2
 880 dataset. Dotted regions are statistically significant at the 95% confidence level.



881

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 889 significant at the 99% confidence level when p is less than 0.01.

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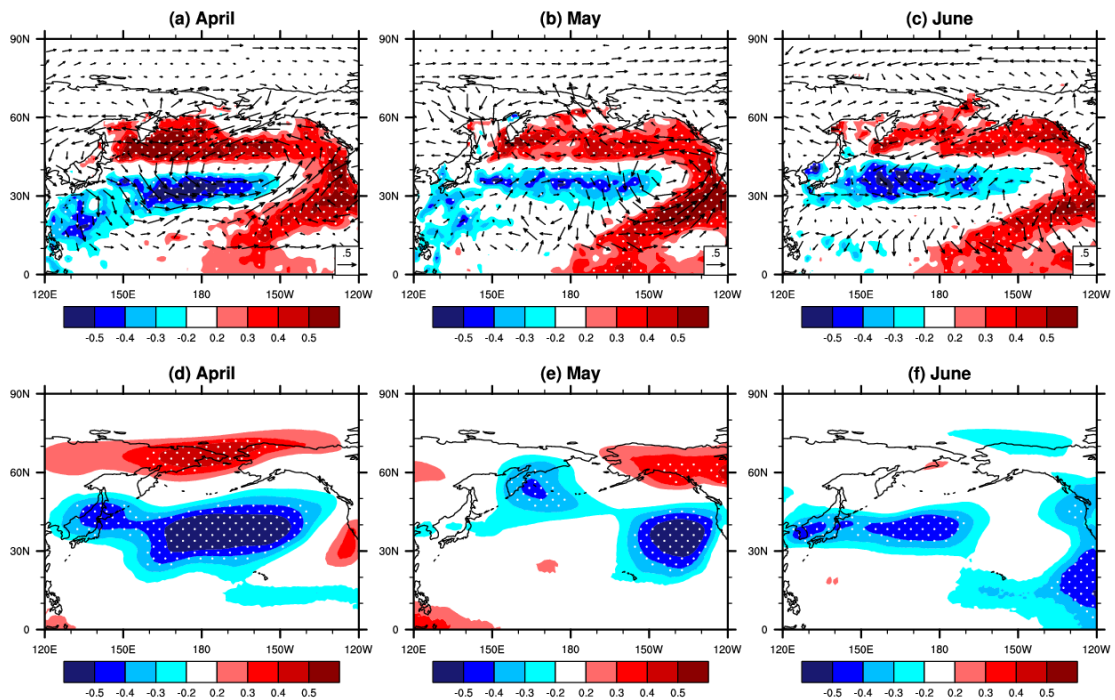
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893 in March and zonal mean (a) temperature, (b) geopotential height, and (c) zonal wind

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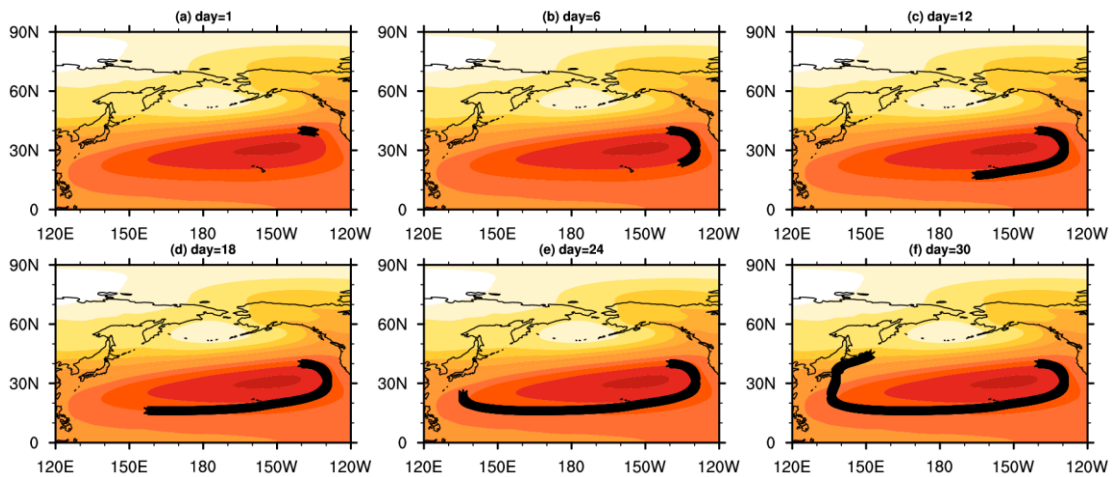
897

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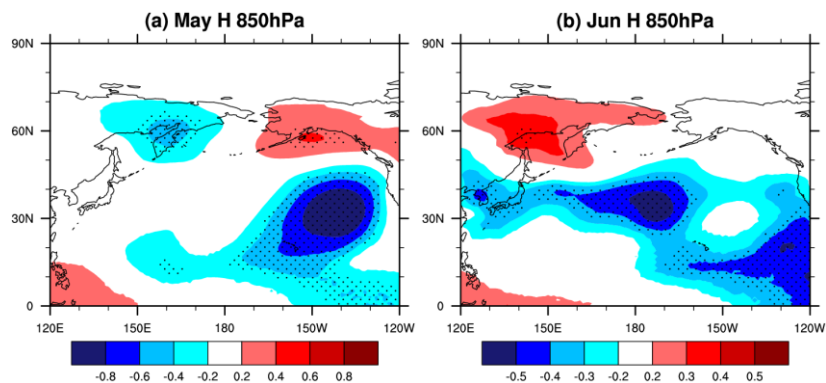
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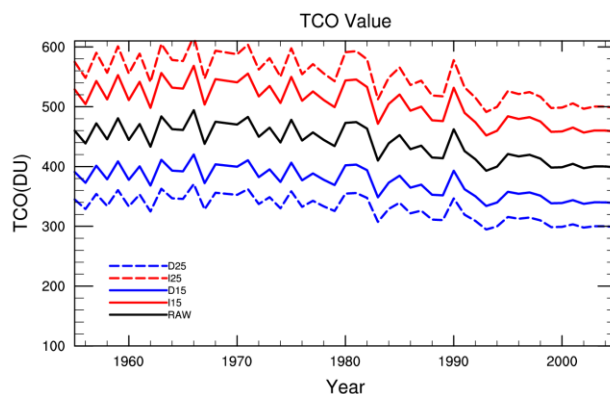
904 The wave source is over the eastern North Pacific ($40^{\circ}N$, $140^{\circ}W$). (a), (b), (c), (d), (e),

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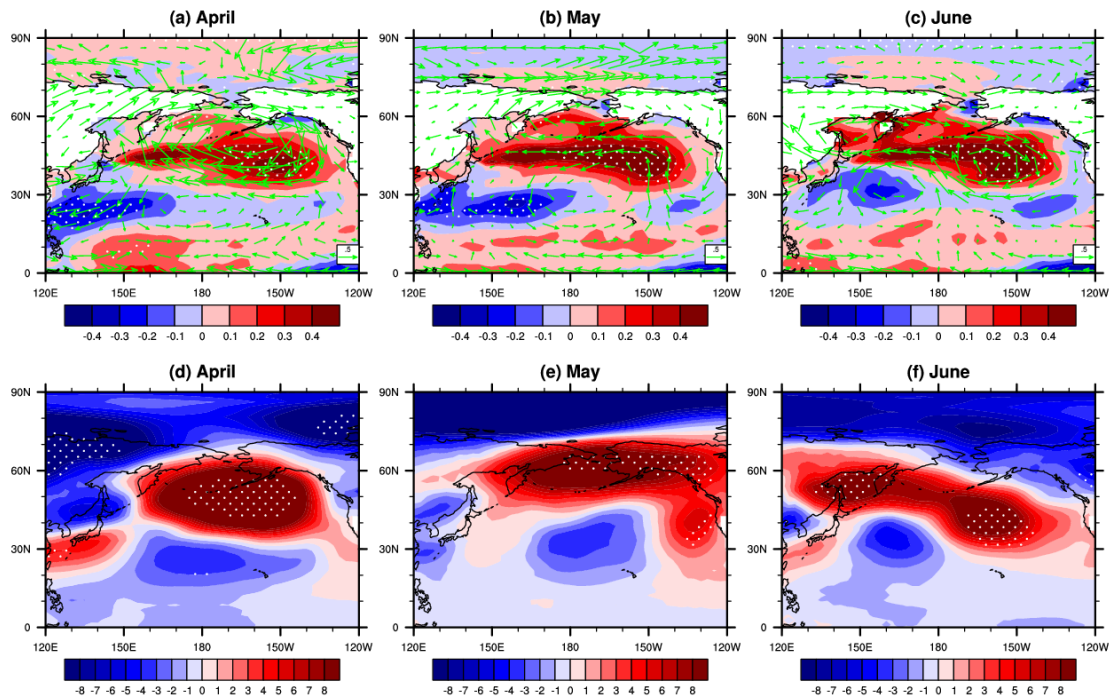
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909
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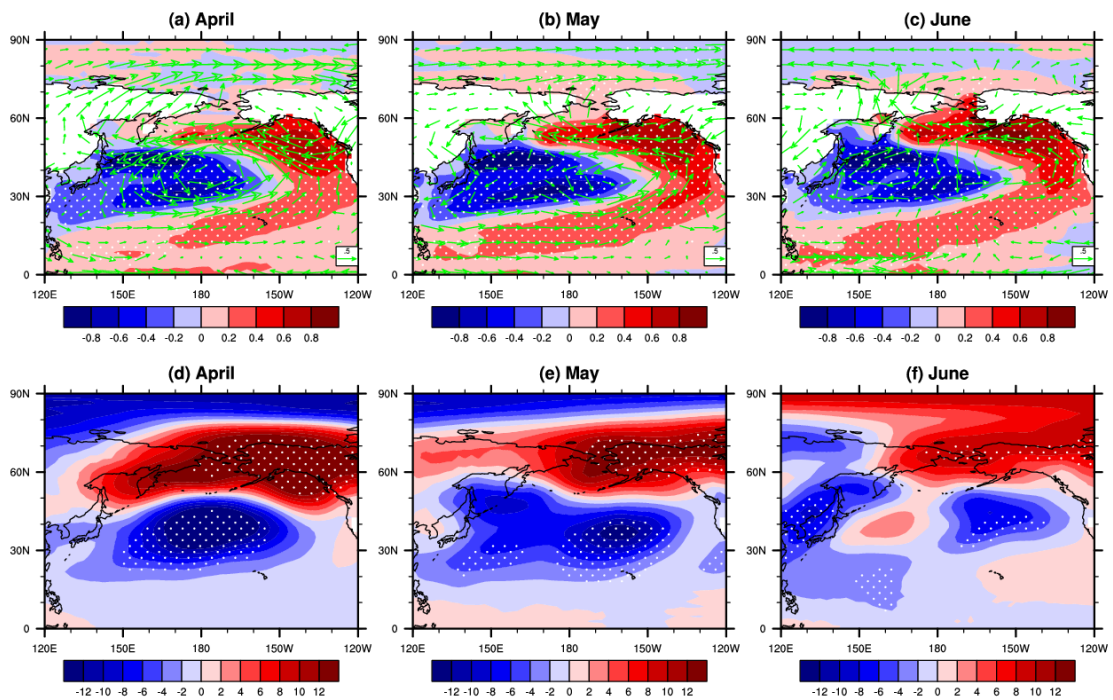


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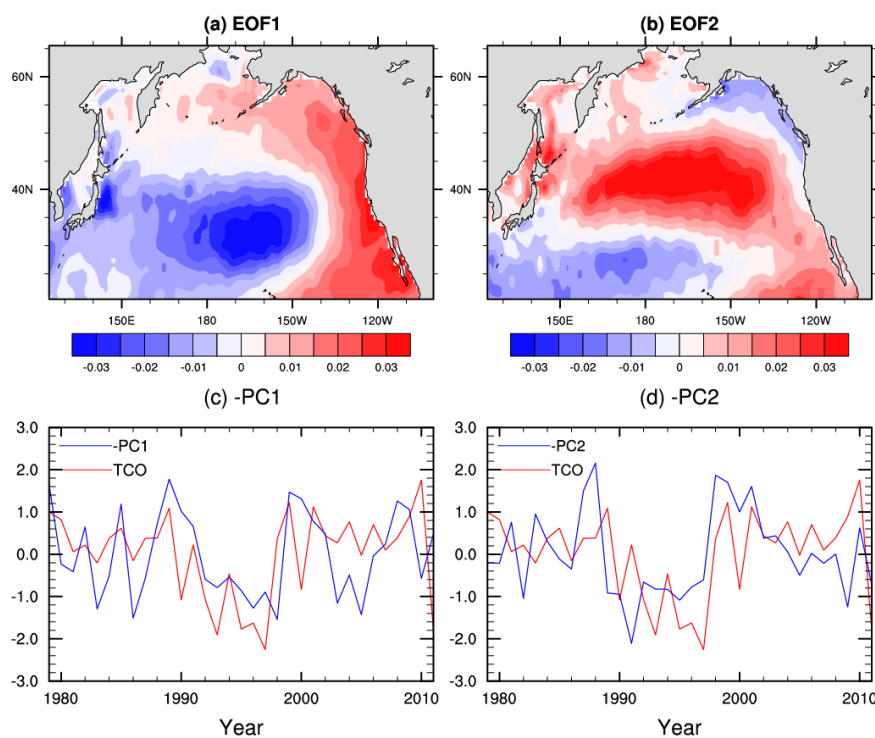
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920 **FIG. 10.** (a–c) SST (color) and horizontal wind (850 hPa, vector) differences between
 921 experiments R1 (ozone decreased by 15%) and R2 (ozone increased by 15%) in (a)
 922 April, (b) May, (c) June. (d–f) Geopotential height (850 hPa) difference between
 923 experiments R1 and R2 in (d) April, (e) May, (f) June. Dotted regions are statistically
 924 significant at the 90% confidence level.

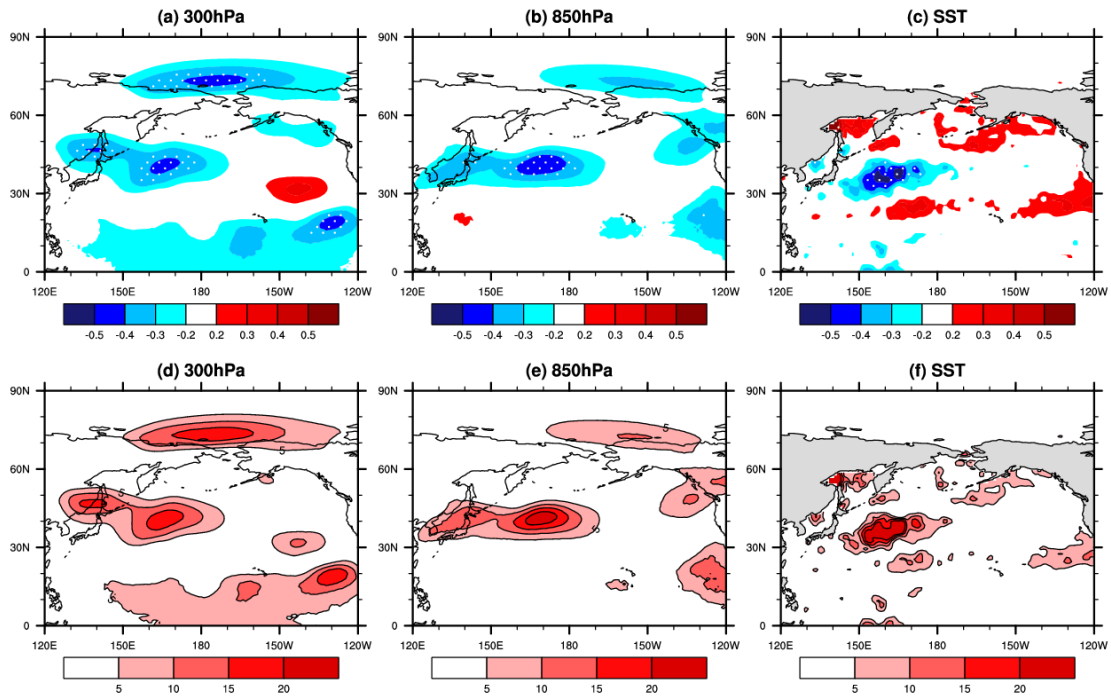


925

926 **FIG. 11.** Same as FIG. 10, but for differences between experiments R3 (ozone
 927 decreased by 25%) and R4 (ozone increased by 25%).



928
 929 **FIG. 12.** The spatial patterns of the (a) EOF1 mode and (b) EOF2 mode of SSTA field
 930 (after removing the globally averaged SSTAs) over North Pacific (124.5°E–100.5°W,
 931 20.5°–65.5°N) in March. Variances explained by the EOF1 and EOF2 modes are
 932 33.3% and 16.7%, respectively. (c–d) Detrended and standardized time series of (c)
 933 Arctic TCO and PC1, (d) Arctic TCO and PC2 in March. The signs of PC1 and PC2
 934 are reversed to facilitate direct comparison. The correlation coefficients between TCO
 935 and PC1 and PC2 are -0.36 ($p < 0.05$) and -0.39 ($p < 0.05$), respectively.



936

937 **FIG. 13.** Correlation coefficients between Arctic $-TCO$ (resi) in March and (a)

938 geopotential height at 300 hPa, (b) geopotential height at 850 hPa, and (c) SST in

939 June. Dotted regions are statistically significant at the 95% confidence level. (d, e, f)

940 The explained variance (%) for (a, b, c), respectively.